

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7029
P&S# 6600
Date: 07/16/10
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 12, 2010:

Banc of America Specialist, Inc. No. 0020

- The following firm will no longer participate in the Fund/SERV, Networking, MF-Daily Price and Rate, ACATS Fund/SERV and Commission Settlement services, as detailed below:

Last Trade Date, July 21, 2010:

Foreside Distribution Services, L.P./Sollertia Funds PLC No. 5431

- The following firms will change their names only, as detailed below:

First Trade Date, July 16, 2010:

From: ML Life Insurance Company of New York No. 4638

To: Transamerica Advisors Life Insurance Company of New York No. 4638

First Trade Date, July 16, 2010:

From: Merrill Lynch Life Insurance Company No. 4728

To: Transamerica Advisors Life Insurance Company No. 4728

- The following firm will begin participation in the ACATS Fun/SERV service, as detailed below:

First Trade Date, August 2, 2010:

Teachers Advisors, Inc. No. 5668

- The following firm will begin participation in the Fund/SERV, Networking, Commission Settlement, MF-Daily Price and Rate File, ACATS and ACATS Fund/SERV services, as detailed below:

First Trade Date, July 19, 2010:

USAA Investment Management Company No. 5935
 Networking Symbol: IP
 Contact: Michelle Graham
 Phone: (210) 913-0323

- An additional number has been assigned to Rafferty Capital Markets, LLC (#5726) for the purpose of utilizing Fund/SERV and Networking, as detailed below:

First Trade Date, July 26, 2010:

Rafferty Capital Markets, LLC/Chou America Mutual Fund No. 5323
 Networking Symbol: S3
 Contact: Travis Randall
 Phone: (207) 347-2060

- Please update the NSCC Directory dated July 2010 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, July 15, 2010</u> Anderson & Strudwick, Inc.	LGNT	0052	ANSL	
<u>Add First Trade Date, July 16, 2010</u> MF Global Inc.	MANS	0120	MFFT	
<u>Add First Trade Date, July 26, 2010</u> Marquette De Bary Co, Inc.	FCCP	0141	MDEB	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, July 14, 2010</u> Automated Trading Desk Brokerage Services, LLC	SILK	0501	YALL	
<u>Delete Last Trade Date, July 16, 2010</u> Cary Street Partners, LLC	FCCP	0141	CARY	
Managed Account Services, LLC	FCCP	0141	MASE	

Gorian Investment Group	FCCP	0141	GTRN
Rhodes Securities Inc.	FCCP	0141	RHDS
Register & Akers Investments	FCCP	0141	REGR
Nations Financial Group, Inc.	FCCP	0141	NFGR
Regional Brokers, Inc.	FCCP	0141	RBII
Stofan Agazzi & Company, Inc.	FCCP	0141	SZZI
Brighton Securities Corporation	FCCP	0141	BGHT

Delete Last Trade Date, July 19, 2010

Westrock Advisors, Inc.	NFSC	0226	WROC
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Add First Trade Date, July 14, 2010

Quantlab Securities LP	ETCC	0873	QETC
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Add First Trade Date, July 16, 2010

Rafferty Capital Markets, LLC	MLCO	0161	RAFF
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Add First Trade Date, July 19, 2010

Merlin Securities, LLC	PRST	0551	MLIN
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<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change, Effective First Trade Date, July 16, 2010

From: Finance 500, Inc.	PFCL	0158	FANC
To: Finance 500, Inc.	PFSI	0234	FANC

If you have any questions regarding this notice, please contact Mark Hendrickson (813) 470-1232 or E-mail NSCCAA@DTCC.com