

IMPORTANT NOTICE

National Securities Clearing Corporation



*Revised 8/25/10

A#: 7036
P&S# 6607
Date: July 29, 2010
To: All Participants
Attention: Managing Partner/Officer; P&S Manager, Cashier; Operations Manager;
Manager, Data Processing Manager, IT Manager
From: Product Management
Subject: Testing File for Field Elimination on Legacy Trade Capture Machine
Readable Output (MRO)

Subject to SEC approval, NSCC plans to implement **Universal Trade Capture (UTC)**, the most comprehensive redesign of its trade capture system in decades, in January 2011. Details regarding the redesign will follow in subsequent NSCC Important Notices.

In preparation for the Universal Trade Capture (UTC) application migration, please note that NSCC plans to eliminate certain fields on its legacy trade capture contract MRO files. We anticipate that Legacy contract MRO changes will go into effect beginning November 2010.

On **August 6, 2010**, legacy contract MRO canned test output will be made available for Members. This file will allow Members to test the existing trade capture contract MRO files without the fields that will be eliminated once UTC is implemented.

Legacy Contract MRO Information

NSCC will continue to support the legacy intraday MRO data files with the rollout of the new UTC application. The following legacy intraday MROs will continue to be supported but specific fields will be populated:

AutoRoute ID #	Report Name
02663127	Correspondent Clearing Intra-Day MRO
02023183	OTC Locked-In Intra-Day MRO
02013036	NYSE Intra-Day MRO
02143125	RIO Intra-Day MRO (Regional)

*No Longer Supported Legacy Contract MRO Fields

Description	Length	Start	End	Type	Comments
BIC Format	11	17	27	A/N	Zero/Blank Filled
BIC Format	11	36	46	A/N	Zero/Blank Filled
BIC Format	11	74	84	A/N	Zero/Blank Filled
BIC Format	11	93	103	A/N	Zero/Blank Filled
Last Market Match	2	113	114	A/N	Zero/Blank Filled
Order Time	6	210	215	A/N	Zero/Blank Filled
Accepted/Rejected	1	335	335	A/N	Zero/Blank Filled
OCS Comments1	10	339	348	A/N	Zero/Blank Filled
OCS Comments 2	17	349	363	A/N	Zero/Blank Filled
ADOT Execution	1	364	364	A/N	Zero/Blank Filled
CAP Order Execution	1	365	365	A/N	Zero/Blank Filled
NX Execution	1	366	366	A/N	Zero/Blank Filled
Xpress Execution	1	367	367	A/N	Zero/Blank Filled
Inhibitor	1	368	368	A/N	Zero/Blank Filled
Order Date Notation	6	378	383	A/N	Zero/Blank Filled
Display Book Report Link	6	384	389	A/N	Zero/Blank Filled
Future Use	4	390	393		Zero/Blank Filled
Bill to Broker	4	394	397	A/N	Zero/Blank Filled
Primary Listing	1	400	400	A/N	Zero/Blank Filled

Additional Information

For more information about UTC, including future testing and implementation dates, please see Important Notice A#7030, P&S#6601, dated July 21, 2010.

For assistance with testing, please contact the Enterprise Service Center at 1-888-372-2721, option 5, option 1.

If you have any questions or need additional support in accessing the UTC web page, please call or email our Training Administrator at 888-258-6393, or training_administrator@dtcc.com.

For questions about the Universal Trade Capture, please contact your Relationship Manager or the undersigned at (212) 855-2262.

Tim Garrett
Product Management
Equities Clearance

* Table Revised on August 25, 2010 (Row deleted: Description: Trade Status)