

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7042
P&S# 6613
Date: August 12, 2010
To: All Participants
Attention: Managing Partner/Officer, P&S Manager, Cashier Data
Processing Manager, Fund/Serv Manager
From: Enterprise Service Center
Subject: LABOR DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE, and NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Monday, September 6, 2010 in observance of Labor Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS:

Trade input for OTC equities for trade date September 3, 2010 will be accepted no later than 12:00 Midnight on September 3, 2010. Trade input for Real Time Trade Matching (RTTM) for Corporates, Municipals, and UITS (CMU) for trade date September 3, 2010 will be accepted no later than 8:00 PM on September 3, 2010.

CNS CONTINUOUS NET SETTLEMENT (CNS):

Seg. Exemptions and Priority Requests for settlement of Tuesday, September 7, 2010 will be accepted on Friday evening, September 3, 2010.

CENTRAL DELIVERY, SETTLEMENT (ESS):

No Deliver or Settlement Operations will be provided on Monday, September 6, 2010.

INTER-CITY DELIVERIES:

Inter-City Deliveries will be accepted on Friday, September 3, 2010 for settlement on Tuesday, September 7, 2010.

STOCK BORROW PROGRAM:

Stock Borrow availability information for the Night Cycle will be accepted on Friday Evening, September 3, 2010 for settlement Tuesday, September 7, 2010. The Daytime Stock Borrow for settlement Tuesday, September 7, 2010 will be accepted on Tuesday, September 7, 2010.

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

ACAT – AUTOMATED CUSTOMER ACCOUNT TRANSFER:

No ACAT activity will be accepted on Monday, September 6, 2010.

ACAT – FUND/SERV INTERFACE:

No ACAT – FUND/Serv Interface activity will be accepted on Monday, September 6, 2010.

ACAT-IPS

No ACAT-IPS Interface activity will be accepted on Monday, September 6, 2010.

ACAT-COST BASIS

No Cost Basis Activity will be accepted on Monday, September 6, 2010.

MUTUAL FUND COMMISSION SETTLEMENT:

No Mutual Fund Commission Settlement activity will be accepted on Monday, September 6, 2010.

FUND/SERV AND NETWORKING:

No Fund/SERV or Networking activity will be accepted on Monday, September 6, 2010.

INSURANCE PROCESSING:

IPS files received on Monday, September 6, 2010 will be processed. **POV files will be transmitted on Monday, September 6, 2010** (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding all other files will be produced and distributed on Tuesday, September 7, 2010.

Questions regarding this Important Notice should be directed to your Relationship Manager or Relationship Services at (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Enterprise Service Center