

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7045
P&S# 6616
Date: August 18, 2010
To: All Participants
Attention: GENERAL COUNSEL; CHIEF COMPLIANCE OFFICER; CHIEF FINANCIAL OFFICER; MANAGING PARTNER/OFFICER; OPERATIONS PARTNER/OFFICER; MANAGER, OPERATIONS; MANAGER P&S DEPARTMENT; MANAGER DATA PROCESSING DEPARTMENT; CASHIER; MANAGER, IT
From: General Counsel's Office
Subject: Important Notices – Available via RSS (Really Simple Syndication) – Free of Charge

Important Notices issued by the clearing agency subsidiaries of The Depository Trust & Clearing Corporation¹ C

C www.dtcc.com. Under the Rules of each clearing agency, Important Notices are deemed delivered to participants once they have been posted to this website participants are required, pursuant to the Rules of each clearing agency, to retrieve and review Important Notices daily.

In response to customer feedback requesting easier, faster access to news and information about DTCC, DTCC expanded the capabilities of its corporate website by making DTC, NSCC and FICC Important Notices available via RSS (Really Simple Syndication), an XML-based technology that allows customers and other subscribers to receive website content in real time, and to customize the information they receive from the site and decide how they will receive it.

RSS is becoming the global standard for delivering customized information from websites quickly and cost-effectively. RSS newsfeeds are highly customizable and can be accessed in several ways:

- A free web service. Several RSS readers are available for free on the web, including [Google Reader](#), [My Yahoo!](#) and [NewsGator](#).
- Email software. Some email systems, such as Microsoft Outlook 2007, Lotus Notes 7.0.2. and Mozilla Thunderbird, come with built-in RSS readers.

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- Web browsers. An increasing number of web browsers, including Internet Explorer 7 and Mozilla Firefox, have RSS-feed capability built in. Simply click the RSS icon on the web page to subscribe, and then click on the Favorites Center button to view the feed (or feeds) for DTCC notices.
- Corporate intranets. RSS feeds can be placed directly on corporate intranet sites using software such as SharePoint, allowing employees to digest information at their leisure (note: to integrate the RSS feeds directly into a designated section of your company intranet, please consult with your IT department).

How it works

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the upper-right-hand corner of every page on www.dtcc.com. Once they have established their preferences, including the type of information they want to receive and where they will view it, their newsfeeds are automatically updated with new content from www.dtcc.com as soon as it is released.

Subscribers can receive information via any device that connects to a web browser, such as computers, Blackberrys or cellphones. They also can choose to receive newsfeeds via their email systems. Information is never sent in actual email messages, meaning it does not burden corporate email scanning, storage and retention systems. (The email system must include tools that read RSS, as do Microsoft Outlook 2007 and Lotus Notes 7.0.2.)

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-capable web page, such as iGoogle, MyYahoo or NewsGator, or corporate intranet software like SharePoint. For example, F F ge and allows subscribers to create a personalized page that gathers information from across the web. Once customers have decided how to obtain their newsfeeds, they will be able to automatically receive updated, customized information about DTCC and the industry in one place.

Benefits to subscribers

In addition to Important Notices, the Subscription Center makes available other information about DTCC and its subsidiaries, including but not limited to C C major newsletters. Benefits of subscribing include:

- Real-time updates. As soon as information is posted to www.dtcc.com, customers receive the content.
- sometimes get subject to such filters.
- Flexible options. RSS newsfeeds are supported by a growing list of software tools, making it easy and economical for fi corporate intranet if they choose. For instance, a customer could program an index of Important Notices to appear directly on its intranet.

How to get RSS

- C sit www.dtcc.com located in the top right corner of every page on the site. Users may then view or subscribe to a feed or series of feeds by clicking on the orange RSS logo next to the desired content. No email address or personal information is required to access RSS content.
- The DTCC Subscription Center additionally offers one-click access for users of My Yahoo! or Google Reader, each of which provides free Web-based access to RSS feeds. Alternatively, users may subscribe using a stand-alone RSS feed reader application, or by using feed readers integrated with commonly used applications such as Microsoft Outlook 2007, Lotus Notes and Internet Explorer 7.0. Some software allows RSS feeds to be displayed on corporate intranets, providing another outlet for this highly flexible technology (please consult with your IT department).

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