

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7054
P&S# 6625
Date: September 2, 2010
To: ALL PARTICIPANTS
Attention: MANAGING PARTNER/OFFICER, OPERATIONS
PARTNER/OFFICER, COMPLIANCE OFFICER
From: PRODUCT MANAGEMENT
Subject: CHANGES TO NSCC CLEARANCE FOR NYSE AMEX SPLIT REPORTING –
IMPLEMENTATION DATE

As previously announced on NSCC Important Notice A#6932, P&S #6502 dated January 11, 2010, National Securities Clearing Corporation (NSCC) will report trade data separately for NYSE and NYSE AMEX self regulatory organizations (SROs). In support of these changes, NSCC has modified its NYSE post trade processing and reporting.

NYSE- 400 byte Intraday Machine Readable Output (MRO), AutoRoute Product ID 02013036.
NSCC will add an additional value to The “Originating Market/Trade Source” data field (position 105-108) so it can report the correct trade source of transactions.

AutoRoute Product ID	Name	Position	Description	Change
02013036	NYSE Intraday MRO	105-108	Originating Market/ trade source	XNYS = NYSE XASE = NYSE Amex

Consolidated Net Settlement Trade Summary (CTS), AutoRoute Product ID 02042354
NSCC will report XASE transactions on the CNS CTS with a value of AM = American Stock Exchange. This will be reflected in the Market Name data field (position 26-27). The AM = American Stock Exchange identifier is currently a valid value in the Market Name data field.

This Important Notice announces NSCC’s implementation schedule for the above mentioned modifications. NSCC will implement these changes into production on October 1, 2010.

For additional questions regarding this notice contact the ESC, you’re DTCC Relationship Manager or the undersigned at 212-855-5667

Bill J. Kapogiannis
Director, Product Management

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