

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7055
P&S# 6626
Date: September 2, 2010
To: ALL PARTICIPANTS
Attention: MANAGING PARTNER/OFFICER, OPERATIONS
PARTNER/OFFICER, COMPLIANCE OFFICER
From: PRODUCT MANAGEMENT
Subject: NSCC SUPPORT FOR BATS BYX EXCHANGE INDUSTRY TESTS AND
PRODUCTION IMPLEMENTATION DATE

Industry Test

BATS BYX exchange has scheduled an industry test on Saturday, September 18, 2010. NSCC will support this test by providing participant test output from its test region on the Saturday test date.

NSCC will provide participants the Regional Interface Operation (RIO) Machine Readable Output (MRO) file, AutoRoute #02983125, containing BATS BYX exchange trade data. Please note that the NSCC RIO MRO test files will contain the previous Friday's Trade Date (9/17/2010).

Participant Testing

In order to receive test output, participants must have a valid network connection to DTCC's test region and be set up for test output, AutoRoute # 02983125. To confirm that your firm has the appropriate network connection and test set up, contact the DTCC Enterprise Service Center (ESC) at 888-382-2721, option 5, option 1.

Production Implementation Date

Starting with trade activity of Friday, October 15, 2010, participants will begin receiving trade activity for BATS BYX exchange from NSCC. For more information on identifying BATS BYX on your trade output, please see Important Notice A#6937, P&S 6507 dated January 26, 2010.

If you need additional information or have additional questions about this important notice, please contact the ESC, your DTCC Relationship Manager or the undersigned at 212-855-5697.

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