

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7060
P&S#: 6631
Date: 09/17/10
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 15, 2010:

Foreside Distribution Services, L.P/Commonwealth International Series Trust No. 4878

- The following firm will no longer participate in Commission Settlement, as detailed below:

Last Trade Date, September 15, 2010:

First Clearing LLC/Wachovia Securities, LLC/201 No. 0201

- The following firms will change their names only, as detailed below:

First Trade Date, September 20, 2010:

From: Wedbush Morgan Securities Inc. No. 0103

To: Wedbush Securities Inc. No. 0103

From: WSI Stock Loan No. 5166

To: Wedbush Securities Inc./Stock Loan No. 5166

- An additional number has been assigned to UMB Distribution Services LLC/UMB Scout Fds (#4854) for the purpose of utilizing Fund/SERV, Networking, MF-Daily Price and Rate File, Commission Settlement and ACATS Fund/SERV services, as detailed below:

First Trade Date, September 16, 2010:

UMB Distribution Services, LLC/Commonwealth International Series Trust No. 4878

Networking Symbol: G1

Contact: Darren Siverling

Phone: (414) 299-2160

- The following firm will begin participation in the When Issued Municipal Bond Comparison System, as detailed below:

First Trade Date, September 20, 2010:

U.S. Bank N.A./Municipal Securities Group
Muni Alpha-Identifier

No. 6729
CMMB

- Please update the NSCC Directory dated July 2010 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, September 14, 2010</u> Jesup & Lamont Securities Corp.	FCCP	0141	JSLC	
<u>Delete Last Trade Date, September 16, 2010</u> Oakpoint Advisors LLC	BSSC	0352	WIMA	
<u>Delete Last Trade Date, September 17, 2010</u> Safdie Investment Services Corp.	MLCO	0161	CBIS	
Morizio Associates, LLC	MLCO	0161	ISER	
Shinko Securities (U.S.A.) Inc.	MLCO	0161	SHUS	
Stock Mart (The)	MLCO	0161	STOK	
<u>Add First Trade Date, September 22, 2010</u> Fortrend Securities, Inc.	LGNT	0052	FORI	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, September 14, 2010</u> Prospera Financial Services	FCCP	0141	ADSO	
<u>Delete Last Trade Date, September 17, 2010</u> Pelion Securities Corp.	PFSI	0234	PELI	
E-Brokerage, LLC	SILK	0501	EBRK	
Holland Capital Management, L.P.	MLCO	0161	HCMI	

Marco Consulting Group, Inc. Herndon Plant Oakley LTD	MLCO 0161 FCCP 0141	TMCG HPOL
<u>Add First Trade Date, September 16, 2010</u> ABN AMRO Clearing Chicago LLC	SHMR 0695	MXTA
<u>Add First Trade Date, September 17, 2010</u> Jump Trading	SHMR 0695	PXGG
<u>Add First Trade Date, September 20, 2010</u> Avila Capital Markets, Inc. Trading Access, LLC Souza Barros Securities, Inc.	PFSI 0234 SHMR 0695 PERS 0443	AVIL TRDA SOUZ

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, September 15, 2010</u> From: Gramercy Financial Services, LLC To: Gramercy Financial Services, LLC	BSSC PERS	0352 0443	GMCY GMCY	
<u>Add First Trade Date, September 13, 2010</u> Sumridge Partners, LLC	BSSC	0352	SMRD	
<u>Add First Trade Date, September 16, 2010</u> Gilbert, Doniger Co., Inc.	PERS	0443	GDCO	
<u>Add First Trade Date, September 20, 2010</u> Cortview Capital Securities LLC	PERS	0443	CORT	
<u>Add First Trade Date, September 22, 2010</u> U.S. CA Securities LLC	NFSC	0226	USCA	

If you have any questions regarding this notice, please contact Mark Hendrickson (813) 470-1232 or E-mail NSCCAA@DTCC.com