

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7083
P&S#: 6654
Date: 10/22/10
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Adrean Hinojosa, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, October 22, 2010:

NBC Securities, Inc. No. 0306

Last Trade Date, October 26, 2010:

Foreside Distribution Services, LP/WB Capital Mutual Funds No. 5578

- The following firm will change their name only, as detailed below:

First Trade Date, October 25, 2010:

From: Hartford Investment Fcnl Svcs Co/529 Plan No. 5892

To: Hartford Investment Financial Services Co/Upromise No. 5892

- The following firms will begin participation in the ACATS service, as detailed below:

First Trade Date, October 25, 2010:

DWS Investments Distributors, Inc. No. 5503

First Trade Date, November 1, 2010:

Putnam Retail Management Limited Partnership No. 5505

- An additional number has been assigned to Foreside Distribution Services, L.P. (#4967) for the purpose of utilizing Fund/SERV, MF-Daily Price and Rate File, Networking, Commission Settlement and ACATS Fund/SERV services, as detailed below:

First Trade Date, October 22, 2010:

Foreside Distribution Services, LP/Ridgeworth Money Market Funds No. 5348

Networking Symbol: DN

Contact: June Lomax

Phone: (614) 470-8259

- An additional number has been assigned to American Century Investment Services, Inc. (#5646) for the purpose of utilizing Fund/SERV, MF-Daily Price and Rate File, Networking and Commission Settlement services, as detailed below:

First Trade Date, October 25, 2010:

American Century Investment Services Inc./Kansas Postsecondary Savings Program No. 5338
 Networking Symbol: VN

Contact: Debra Driscoll

Phone: (671) 454-6458

- Please update the NSCC Directory dated October 2010 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Executing Broker Name Change Effective First Trade Date, October 19, 2010</u>				
From: CIG Corp.	LGNT	0052	CIGC	
To: CIG Securities	LGNT	0052	CIGC	

- Please update the NSCC Directory dated October 2010 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, October 25, 2010</u>				
From: Penson Financial Services, Inc.	MLCO	0161	PFBJ	
To: Penson Financial Services, Inc.	PFSI	0234	PFBJ	
<u>Delete Last Trade Date, October 18, 2010</u>				
Bear Stearns/Clearance Service	BSSC	0352	BSCS	
<u>Delete Last Trade Date, October 20, 2010</u>				
Electronic Brokerage Systems, LLC	BELZ	0627	NCMF	
Electronic Brokerage Systems, LLC	BELZ	0627	EUGE	
<u>Add First Trade Date, October 20, 2010</u>				
Benjamin S. Edwards Incorporated	PERS	0443	BFEC	
Cohen & Company Capital Markets, LLC	PERS	0443	CCMT	

Add First Trade Date, October 22, 2010

Penson Financial Services, Inc.

PFSI 0234 PFBI

Add First Trade Date, October 25, 2010

Electronic Brokerage Systems, LLC

EBSC 0393 LYNK

Add First Trade Date, November 1, 2010

Cutter & Company, Inc.

CLRV 0702 CUTW

If you have any questions regarding this notice, please contact Mark Hendrickson (813) 470-1232 or E-mail NSCCAA@DTCC.com