

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7099
P&S#: 6670
Date: November 12, 2010
To: All Participants
Attention: Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From: Enterprise Service Center
Subject: Thanksgiving Day 2010 Important Notice

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Thursday, November 25, 2010 in observance of Thanksgiving Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS

Trade input for OTC equities for trade date Wednesday, November 24, 2010 will be accepted no later than 12:00 Midnight on Wednesday, November 24, 2010. Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Wednesday, November 24, 2010 will be accepted no later than 8:00 pm on November 24, 2010.

CNS CONTINUOUS NET SETTLEMENT

Seg. Exemptions and Priority Requests for settlement Friday, November 26, 2010 will be accepted on Wednesday evening, November 24, 2010.

CENTRAL DELIVERY AND SETTLEMENT

No Delivery or Settlement Operations will be provided on Thursday, November 25, 2010.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on Wednesday, November 24, 2010 for settlement Friday, November 26, 2010.

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

STOCK BORROW PROGRAM

Stock Borrow availability information for the Night Cycle will be accepted on Wednesday, November 24, 2010 for settlement Friday, November 26, 2010. The Daytime Stock Borrow for settlement Friday, November 26, 2010 will be accepted on Friday, November 26, 2010.

ACAT- AUTOMATED CUSTOMER ACCOUNT TRANSFER

No ACAT activity will be accepted on Thursday, November 25, 2010.

ACAT-FUND/SERV

No ACAT-FUND/SERV Interface activity will be accepted on Thursday, November 25, 2010.

ACATS/IPS

NO ACATS/IPS Interface activity will be accepted on Thursday, November 25, 2010.

COST BASIS

No COST BASIS activity will be accepted on Thursday, November 25, 2010.

MUTUAL FUND COMMISSION SETTLEMENT

No Mutual Fund Commission Settlement activity will be accepted on Thursday, November 25, 2010.

FUND/SERV AND NETWORKING

No Fund/SERV or Networking activity will be accepted on Thursday, November 25, 2010.

INSURANCE PROCESSING

IPS files received on Thursday, November 25, 2010 will be processed. **POV files will be transmitted on Thursday, November 25, 2010** (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding all other files will be produced and distributed on Friday, November 26, 2010.

Questions regarding this Important Notice should be directed to Participant Services at (800) 422-0582 or the undersigned at (212) 855-5947.

**Robert Famigletti
Manager
Enterprise Service Center**