

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7107
P&S#: 6678
Date: 11/26/10
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Adrean Hinojosa, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will begin participation in the OTC Comparison service, as detailed below:

First Trade Date, November 29, 2010:

ABN AMRO Securities (USA) LLC
OTC Alpha Identifier:

No. 0349
ABNA

- The following firm will begin participation in the MF-Daily Price and Rate File service, as detailed below:

First Trade Date, December 3, 2010:

Northern Lights Distributors, LLC/Toews Funds

No. 5906

- Please update the NSCC Directory dated October 2010 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, November 29, 2010</u>				
From: Loop Capital Markets, LLC	PFCL	0158	LOOP	
To: Loop Capital Markets, LLC	PERS	0443	LOOP	
<u>Delete Last Trade Date, November 23, 2010</u>				
Vision Brokerage Services, LLC	VSIN	0595	VBSX	
<u>Add First Trade Date, November 23, 2010</u>				
Domestic Securities Inc.	PFSI	0234	DSIP	

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- Please update the NSCC Directory dated October 2010 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, November 24, 2010</u> CIBC World Markets Corp.				
	CWMK	0438	HTAP	
<u>Add First Trade Date, November 23, 2010</u> Standard Chartered Securities (North America) Inc.				
	PERS	0443	SCBS	
<u>Add First Trade Date, November 24, 2010</u> Vision Brokerage Services, LLC				
	VSIN	0595	VBSL	
<u>Add First Trade Date, November 26, 2010</u> ABN AMRO Clearing Chicago LLC				
	SHMR	0695	QLBA	
<u>Add First Trade Date, December 1, 2010</u> Bianco Financial Inc.				
	PFCL	0158	BFIB	

If you have any questions regarding this notice, please contact Mark Hendrickson (813) 470-1232 or E-mail NSCCAA@DTCC.com