

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7112
P&S# 6683
Date: December 2, 2010
To: ALL PARTICIPANTS
Attention: MANAGING PARTNER/OFFICER, OPERATIONS
PARTNER/OFFICER, COMPLIANCE OFFICER
From: GENERAL COUNSEL'S OFFICE
Subject: WITHDRAWAL (AND REFILING) OF RULE FILING – SR-NSCC-
2010-15 – [AMENDMENT TO RULE 57 (“INSURANCE AND
RETIREMENT PROCESSING SERVICES”)]

On November 4, 2010, National Securities Clearing Corporation (“NSCC”) submitted rule filing SR-NSCC-2010-15 with the Securities and Exchange Commission (“Commission”) pursuant to paragraph (A) of Section 19(b)(3) of the Securities and Exchange Act of 1934. The rule filing proposed to amend NSCC’s Rules related to the Insurance and Retirement Processing Service (“IPS”) to offer a service related to the automation of the process to transfer, replace and exchange (collectively referred to as “Replace”) existing insurance contracts.

Please note that rule filing SR-NSCC-2010-15 (originally submitted for immediate effectiveness) was withdrawn on November 17, 2010, and subsequently refilled with the Commission (utilizing the same filing number, pursuant to Section 19(b)(2) of the Securities and Exchange Act of 1934) on November 18, 2010. Participants should refer to NSCC Important Notice A#7113 (P&S #6684) dated December 2, 2010 for further information.

Questions regarding this Important Notice may be directed to Peter Le Piane, Director at email plepiane@dtcc.com or (212) 855-5606.