

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7116
P&S#: 6687
Date: December 9, 2010
To: All Participants
Attention: Managing Partner/Officer; P&S Manager; Cashier Manager, Data Processing Manager, Insurance Processing Manager, Mutual Fund Processing Manager
From: Enterprise Service Center
Subject: NEW YEAR'S DAY SCHEDULE

New Years Day will be observed on Saturday, January 1, 2011. National Securities Clearing Corporation will operate a normal Saturday schedule. The following changes in NSCC's operations and schedules will be in effect:

INTER-CITY DELIVERIES (IESS)

Due to a Holiday in Canada (New Years Day Observance) on Monday, January 3, 2011, Inter-City Deliveries will be accepted on Monday, January 3, 2011 for settlement Tuesday, January 4, 2011.

MUTUAL FUND COMMISSION SETTLEMENT

Mutual Fund Commission Settlement activity will be accepted on Saturday, January 1, 2011.

FUND/SERV AND NETWORKING

Mutual Fund Networking system **will** be available for processing on Saturday January 1, 2011.

INSURANCE PROCESSING

Insurance processing **will** be available on Saturday, January 1, 2011.

Questions regarding this Important Notice should be directed to Participant Services at (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Enterprise Service Center

Non-Confidential

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