

# IMPORTANT NOTICE

## National Securities Clearing Corporation



**A#:** 7130  
**P&S#:** 6701  
**Date:** December 29, 2010  
**To:** ALL PARTICIPANTS  
**Attention:** MANAGING PARTNER/OFFICER, OPERATIONS  
PARTNER/OFFICER, COMPLIANCE OFFICER  
**From:** PRODUCT MANAGEMENT  
**Subject:** MIGRATION OF LEGACY TRADE CAPTURE APPLICATIONS TO UTC –  
SCHEDULED OUTPUT DELAYS

Subject to SEC approval, National Securities Clearing Corporation (NSCC) plans to implement Universal Trade Capture (UTC), the most comprehensive redesign of its trade capture system in decades, during the first quarter 2011. For more details about UTC and the overall testing and implementation schedule, see Important Notice A#7030, P&S#6601, dated July 21, 2010.

NSCC has begun migrating its trade capture functionality from its legacy applications to the UTC application (see table below). Our next migration is scheduled for January 7, 2011.

Scheduled Migration Date	Application	AutoRoute ID #
Completed	Correspondent Clearing (CORR)	02063127
January 7, 2011	New York Stock Exchange (NYSE)	02013036
January 28, 2011	Regional Exchanges (RIO)	02143125
February 11, 2011	Over the Counter (OTC)	02023183

**To ensure a smooth transition of each legacy trade capture application to UTC, NSCC expects some delays in the legacy application's intraday MRO contract output on the scheduled migration date (see table above). Delays are expected to be minimal in nature, and may only occur in the first few output cycles of a day. Members are also alerted that the CNS Consolidated Trade Summary (AutoRoute #02042354) may also experience similar output delays.**

For additional questions regarding this notice contact the ESC, your DTCC Relationship Manager or the undersigned at [bkapogiannis@dtcc.com](mailto:bkapogiannis@dtcc.com).

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