



<b>B #:</b>	0720-12
<b>Date:</b>	May 3, 2012
<b>To:</b>	All Participants
<b>Category:</b>	Settlement
<b>From:</b>	Stephen Wasserman
<b>Attention:</b>	Settlement Manager/Managing Director/Cashier
<b>Subject:</b>	Receiver Authorized Delivery (RAD) Redesign

Important Notice B# 0059-12 dated March 30, 2012, announced the Depository Trust Company's (DTC) mandatory migration of its Receiver Authorized Delivery (RAD) system to the Settlement Web. This notice serves as a reminder to all current Participant Terminal System (PTS) and Participant Browser Service (PBS) RAD users that as of the evening of May 11th, 2012, screen-based RAD processing capabilities will be available in the Settlement Web only. If your firm processes transactions from RAD or has the need to create new or modify existing RAD profiles, it is imperative that you not only have access to the Settlement Web, but also have the proper permission level. For assistance with assigning the correct permission level (core role), refer to Appendix E in the following previously cited DTC Important Notice: [http://www.dtcc.com/downloads/legal/imp\\_notices/2012/dtc/set/0059-12.pdf](http://www.dtcc.com/downloads/legal/imp_notices/2012/dtc/set/0059-12.pdf).

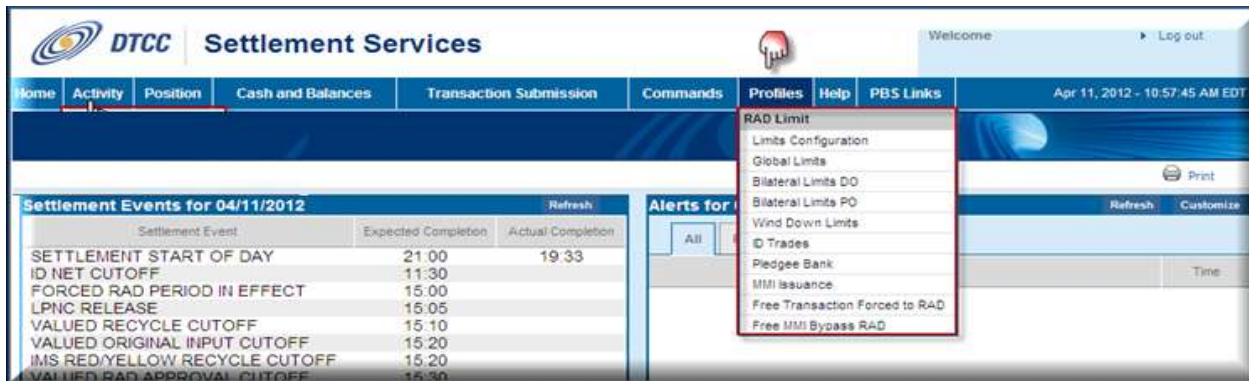
DTC would like to remind users that, as part of its implementation plan, existing RAD profiles will be made available **for testing purposes only** on May 4, 2012 through May 10, 2012 in the Settlement Web. **Any profile changes made in the Settlement Web between May 4<sup>th</sup> and May 10<sup>th</sup> will not affect production transaction processing. Only profile changes made in PTS/PBS during this time will impact production transaction processing.** On the evening of May 10<sup>th</sup>, DTC will overlay RAD profiles from PTS and PBS into the Settlement Web effectively synchronizing your RAD profiles across PTS, PBS and the Settlement Web and preparing Participants for the implementation on the evening of May 11<sup>th</sup>.

The exception will be for the new ID transaction and Free MMI DO profiles. Since these profiles do not exist in RAD today, they will not be overlaid with data from the existing profiles on the evening of May 10<sup>th</sup>. Any updates to these two profiles from May 4<sup>th</sup> on will be in effect on May 14<sup>th</sup>. Also, any profile changes made in PTS/PBS on May 11<sup>th</sup> will be immediately effective. However, the change should also be made in the Settlement Web in order to be in effect as of May 14, 2012.

DTC is making these profiles screens available prior to the transaction processing component of the RAD redesign to allow users to familiarize themselves with the look and feel of the new screens. RAD profiles are located in the "Profiles" menu tab of the Settlement Web's navigation bar.

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Upon implementation of this project, Night Deliver Orders (NDOs) submitted before noon on settlement date - 1 will continue be routed to RAD, if applicable, upon authorization by the deliverer. NDOs received after 12:00 p.m. on settlement date -1 will be routed to RAD, if applicable, during the night cycle. In addition, NDOs submitted by the DO 24x6 method will be routed through the IMS NDO transaction type. Participants that send in NDOs through this format and want output of their NDOs will need to update their IMS Notification profile.

For more information about the RAD redesign initiative, please contact Stephen Wasserman, Director, Settlement Product Management, at 212-855-3270 (swasserman@dtcc.com) or your DTCC Relationship Manager.

For assistance with the following:

- Accessing Settlement Web interface – Contact the Access Coordinator at your firm
- Identifying your firm’s Access Coordinator – Please call 888-382-2721 and use option 1, option 3
- Registering for Settlement Web access – Please call 888-382-2721 and use option 5, then option 2
- Navigating the Settlement Web – Please call 888-258-6393