DTCC | *Important Notice*
DTCC Solutions LLC

#:	CBRS005.12
Date:	April 11, 2012
To:	All current and prospective Cost Basis Reporting Service (CBRS) users
From:	Lydia Midwood
Subject:	Revised CBRS Record Layouts for July and November deployments

DTCC has scheduled two deployments for changes to the Cost Basis Reporting Service (CBRS): deployment #1 will go to production on July 27, 2012, and deployment #2 will go to production on November 30, 2012.

In CBRS Important Notice # CBRS002.12, DTCC published changes to the CBRS record layouts for deployment #1.[1]

This Important Notice announces one revision to the record layouts for deployment #1, and changes to the record layouts for deployment #2.

CBRS user testing for deployment #2 is scheduled to begin on Friday, October 5, 2012. At that time, testing for the existing record layouts will no longer be supported. **These changes are scheduled to go live in production on Friday, November 30, 2012**. Starting on Friday, November 30, 2012, CBRS will expect input in the new format, and produce output in the new format.

Record layout revision for deployment #1

The rules for the new **ACATS Asset Sequence Number** field on the Asset Input record layout have been revised. The highlighted text indicates the new sections of the rules:

> Required when Transaction Type is 01 = ACATS and the 'Date Transfer was Initiated' or 'Settlement Date of Transfer' is later than 07/26/2012.
>
> Format is 6 digits. Value in this field must be numeric and greater than zero. Use leading zeros if necessary.

The date 07/26/2012 on the rule above is based on the day before the new code will be available in the Production environment. The ACATS Asset Sequence Number will not be required for ACATS transfers whose 'Date Transfer was Initiated' or 'Settlement Date of Transfer' occurs before the new CBRS code is available in Production.

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[1] Important Notice # CBRS002.12 was originally published on January 25, 2012, and revised on February 3, 2012.

Changes to the record layouts for deployment #2

These changes apply to data input via the automated mainframe connection, as well as to WebDirect screens and the Upload template. There are no changes to the transaction output for deployment #2. Please review the list of changes below to determine how they will affect your CBRS programming.

The CBRS record layouts can be found on DTCC's website at:

http://www.dtcc.com/products/documentation/cs/cbrs.php

Specifics of the Changes to the Record Layouts

Fields on the Asset Input and Tax Lot Input record layouts will change. The following table details the changes. After each name in the **Field** column, there is a code indicating which record layout contains the field: **A** = Asset Input, **T =** Tax Lot Input.

Field	Change
Receiver Customer Account Number (A & T)	• Field will be required for Transaction Type 56
Deliverer Customer Account Number (A & T)	• Field will be required for Transaction Type 56
Tax Lot Current Cost (T)	• Field will be required for Asset Categories OPT = Options, WAR = Warrants, and RGT = Rights

Additional Information
Please see the CBRS User Guide to learn more about the service:
http://www.dtcc.com/products/documentation/cs/cbrs.php

A copy of this Important Notice can be obtained from DTCC's website:
http://www.dtcc.com/products/documentation/cs/cbrs_notices.php

If you have questions about CBRS, please call your Relationship Manager or the Customer Service Hotline at 888-382-2721, option 6, then option 7, then option 2.