



<b>A#:</b>	<b>7352</b>
<b>P&amp;S #:</b>	<b>6922</b>
<b>Date:</b>	<b>January 9, 2012</b>
<b>To:</b>	<b>All Participants</b>
<b>Attention:</b>	<b>Managing Partner/Officer, P&amp;S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants</b>
<b>Subject:</b>	<b>Martin Luther King, Jr. Day</b>

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Monday, January 16, 2012 in observance of Martin Luther King, Jr. Day. The following changes in NSCC's operations and schedules will be in effect:

**COMPARISON SYSTEMS:**

Trade input for OTC equities for trade date January 13, 2012 will be accepted no later than 12:00 Midnight on January 13, 2012. Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date January 13, 2012 will be accepted no later than 8:00 p.m. on January 13, 2012.

**CNS CONTINUOUS NET SETTLEMENT:**

Seg. Exemptions and Priority Requests for settlement Tuesday, January 17, 2012 will be accepted on Friday evening, January 13, 2012.

**CENTRAL DELIVERY AND SETTLEMENT:**

No Delivery or Settlement Operations will be provided on Monday, January 16, 2012.

**INTER-CITY DELIVERIES:**

Inter-City Deliveries will be accepted on Friday, January 13, 2012 for settlement Tuesday, January 17, 2012.

**STOCK BORROW PROGRAM:**

Stock Borrow availability information for the Night Cycle will be accepted on Friday evening, January 13, 2012 for settlement Tuesday, January 17, 2012. The Daytime Stock Borrow for settlement Tuesday, January 17, 2012 will be accepted on Tuesday, January 17, 2012.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

**ACAT - AUTOMATED CUSTOMER ACCOUNT TRANSFER:**

No ACAT activity will be accepted on Monday, January 16, 2012.

**ACAT - FUND/SERV INTERFACE:**

No ACAT - FUND/SERV Interface activity will be accepted on Monday, January 16, 2012.

**ACAT - COST BASIS**

No COST BASIS activity will be accepted on Monday, January 16, 2012.

**ACATS / IPS**

No ACATS/IPS Interface activity will be accepted on Monday, January 16, 2012.

**OBLIGATION WAREHOUSE**

The Obligation Warehouse (OW) will be closed for business on Monday, January 16, 2012. Any submission after close of business Friday January 13, 2012 should be for the next business date of January 17, 2012.

**MUTUAL FUND COMMISSION SETTLEMENT:**

No Mutual Fund Commission Settlement activity will be accepted on Monday, January 16, 2012

**FUND/SERV AND NETWORKING:**

No Fund/SERV or Networking activity will be accepted on Monday, January 16, 2012

**INSURANCE PROCESSING:**

IPS files received on Monday, January 16, 2012 will be processed. **POV files will be transmitted on** Monday, January 16, 2012 (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding all other files will be produced and distributed on Tuesday, January 17, 2012.

Any Questions regarding this Important Notice can be directed to NSCC Participant Services at (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti  
Manager  
Enterprise Service Center