



Important Notice
National Securities Clearing Corporation

A#:	7359
P&S #:	6929
Date:	1/20/2012
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ADREAN HINOJOSA, ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will begin participation in MF-Daily Price and Rate service, as detailed below:
First Trade Date, January 19, 2012:
Global Trust Company/NRS TA No. 5311
- The following firm will begin participation in Corporate Bond Comparison service, as detailed below:
First Trade Date, January 23, 2012:
Macquarie Capital (USA) Inc. No. 0114
- The following firm will begin participation in the ACATS service, as detailed below:
First Trade Date, January 23, 2012:
Thornburg Securities Corporation No. 5541
- The following firm will begin participation in the Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:
First Trade Date, January 20, 2012:
Davlin Philanthropic Funds No. 3612
Networking Symbol: 72
Contact: Gregory Getts
Phone: (440) 922-0066 ext 101
- The following firm will begin participation in the Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:
First Trade Date, January 23, 2012:
CAMCO Investors Trust No. 3613
Networking Symbol: 73
Contact: Gregory Getts
Phone: (440) 922-0066 ext 101

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- The following additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services, as detailed below:

First Trade Date, January 20, 2012:

Northern Lights Distributors, LLC/Horizon Active Asset Allocation Fund No. 3614
 Networking Symbol: 75
 Contact: Mike Nielsen
 Phone: (402) 493-1731 Ext. 3

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking, Commission Settlement, MF-Daily Price and Rate, ACATS and ACATS Fund/SERV services, as detailed below:

First Trade Date, January 23, 2012:

Quasar Distributors, LLC/Cove Street Funds No. 3749
 Networking Symbol: 09
 Contact: Casey Sauer
 Phone: (414) 347-5766

- The following additional number has been assigned to BHIL Distributors, Inc. (#6532) for the purpose of utilizing Fund/SERV, Networking and Commission Settlement services, as detailed below:

First Trade Date, January 23, 2012:

BHIL Distributors, Inc./Robeco Institutional Asset Management B.V. No. 3607
 Networking Symbol: 61
 Contact: Patricia Mobilia
 Phone: 011-352-2605-2152

- The following additional number has been assigned to The O.N. Equity Sales Company (#0092) for the purpose of utilizing the Insurance Processing Services, Fund/SERV, Networking, MF-Daily Price and Rate and Commission Settlement services as detailed below:

First Trade Date, January 23, 2012:

The O.N. Equity Sales Company/Ohio National No. 4753
 Networking Symbol: 74
 Contact: Nancy Westbrock
 Phone: (513) 794-3628

- Please update the NSCC Directory dated January 2012 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
LGNT	0052	GRND	

Delete Last Trade Date, January 18, 2012

Grand Capital Corp.

- Please update the NSCC Directory dated January 2012 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, January 23, 2012</u>				
From: Macquarie Capital (USA) Inc.	PFCL	0158	MACQ	
To: Macquarie Capital (USA) Inc.	MSUS	0114	MACQ	
<u>Executing Broker Name Change Effective First Trade Date, January 23, 2012</u>				
From: Northpoint Trading Partners, LLC	BSSC	0352	NOPO	
To: ConvergEx Prime Services LLC	BSSC	0352	NOPO	
<u>Delete Last Trade Date, January 17, 2012</u>				
Guzman & Company	PFCL	0158	GZMN	
<u>Delete Last Trade Date, January 20, 2012</u>				
Torc Investments And Research LLC	SHMR	0695	TORI	
<u>Add First Trade Date, February 1, 2012</u>				
SMBC Nikko Securities America, Inc.	PERS	0443	SUMI	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, January 20, 2012</u>				
Macquarie Capital (USA) Inc.	PFCL	0158	MACQ	
<u>Add First Trade Date, January 20, 2012</u>				
Torino Capital LLC		0235	TORI	

If you have any questions regarding this notice, please contact Mark Hendrickson (813) 470-1232 or E-mail NSCCAA@DTCC.com