



Important Notice
National Securities Clearing Corporation

A#:	7384
P&S #:	6954
Date:	2/24/2012
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ADREAN HINOJOSA, ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will change their name only, as detailed below:

First Trade Date, March 1, 2012:

From: BNY Mellon Distributors Inc.	No.	0440
To: BNY Mellon Distributors LLC	No.	0440
From: BNY Mellon Distributors Inc./BNYM I.S. Trust Co FBO Morningstar Wrap Program Customers	No.	0474
To: BNY Mellon Distributors LLC/ BNYM I.S. Trust Co FBO Morningstar Wrap Program Customers	No.	0474
From: BNY Mellon Distributors Inc./BNYM I.S. Trust Co FBO Health Savings Account Customers	No.	0592
To: BNY Mellon Distributors LLC/BNYM I.S. Trust Co FBO Health Savings Account Customers	No.	0592
From: BNY Mellon Distributors Inc./BNYM I.S. Trust Co FBO JJB Hilliard WL Lyons Inc Wrap Program Customers	No.	0649
To: BNY Mellon Distributors LLC/BNYM I.S. Trust Co FBO JJB Hilliard WL Lyons Inc	No.	0649
From: BNY Mellon Distributors Inc./BNYM I.S. Trust FBO Managers Fund Wrap Program Customers	No.	0657
To: BNY Mellon Distributors LLC/ BNYM I.S. Trust FBO Managers Fund Wrap Program Customers	No.	0657
From: BNY Mellon Distributors Inc./Primerica Financial Services	No.	0689
To: BNY Mellon Distributors LLC/Primerica Financial Services	No.	0689

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Non-Confidential

From: BNY Mellon Distributors Inc./BNYM I.S. Trust Co FBO Fundmanager Clients	No.	5914
To: BNY Mellon Distributors LLC/BNYM I.S. Trust Co FBO Fundmanager Clients	No.	5914
From: BNY Mellon Distributors Inc.	No.	6658
To: BNY Mellon Distributors LLC	No.	6658
From: BNY Mellon Distributors Inc./Dimensional Funds PLC and Dimensional Funds II PLC	No.	3746
To: BNY Mellon Distributors LLC/ Dimensional Funds PLC and Dimensional Funds II PLC	No.	3746
From: BNY Mellon Distributors Inc./Versus Capital Multi-Manager Real Estate Income Fund LLC	No.	3750
To: BNY Mellon Distributors LLC/ Versus Capital Multi-Manager Real Estate Income Fund LLC	No.	3750
From: BNY Mellon Distributors Inc./Lincoln Advisors Trust	No.	3788
To: BNY Mellon Distributors LLC/Lincoln Advisors Trust	No.	3788
From: BNY Mellon Distributors Inc./Fairholme Funds, Inc.	No.	4870
To: BNY Mellon Distributors LLC/Fairholme Funds, Inc.	No.	4870
From: BNY Mellon Distributors Inc./Simple Alternatives Funds of the RBB Fund, Inc.	No.	5342
To: BNY Mellon Distributors LLC/Simple Alternatives Funds of the RBB Fund, Inc.	No.	5342
From: BNY Mellon Distributors Inc./Spirit of America Investment Fund, Inc.	No.	5362
To: BNY Mellon Distributors LLC/Spirit of America Investment Funds	No.	5362
From: BNY Mellon Distributors Inc./Perimeter Capital Management Funds of the RBB Fund, Inc.	No.	5416
To: BNY Mellon Distributors LLC/Perimeter Capital Management of the RBB Fund, Inc.	No.	5416
From: BNY Mellon Distributors Inc./Consulting Group Capital Markets Funds	No.	5422
To: BNY Mellon Distributors LLC/Consulting Group Capital Markets Funds	No.	5422
From: BNY Mellon Distributors Inc./Stratton Family of Funds	No.	5448
To: BNY Mellon Distributors LLC/Stratton Family of Funds	No.	5448
From: BNY Mellon Distributors Inc./First Puerto Rico Family of Funds	No.	5547
To: BNY Mellon Distributors LLC/ First Puerto Rico Family of Funds	No.	5547
From: BNY Mellon Distributors Inc./Fundvantage Trust	No.	5611
To: BNY Mellon Distributors LLC/Fundvantage Trust	No.	5611

From: BNY Mellon Distributors Inc./Sterling Capital Funds	No.	5648
To: BNY Mellon Distributors LLC/Sterling Capital Funds	No.	5648
From: BNY Mellon Distributors Inc./Old Westbury Funds, Inc.	No.	5651
To: BNY Mellon Distributors LLC/Old Westbury Funds, Inc.	No.	5651
From: BNY Mellon Distributors Inc./The Industry Leaders Fund	No.	5654
To: BNY Mellon Distributors LLC/The Industry Leaders Fund	No.	5654
From: BNY Mellon Distributors Inc./Aston Funds	No.	5699
To: BNY Mellon Distributors LLC/Aston Funds	No.	5699
From: BNY Mellon Distributors Inc./Kalmar Pooled Investment Trust	No.	5716
To: BNY Mellon Distributors LLC/Kalmar Pooled Investment Trust	No.	5716
From: BNY Mellon Distributors Inc./Matthews International Funds DBA Matthews Asia Funds	No.	5724
To: BNY Mellon Distributors LLC/Matthews International Funds DBA Matthews Asia Funds	No.	5724
From: BNY Mellon Distributors Inc./MGI Funds	No.	5725
To: BNY Mellon Distributors LLC/Mercer Funds	No.	5725
From: BNY Mellon Distributors Inc./Guidestone Funds	No.	5782
To: BNY Mellon Distributors LLC/Guidestone Funds	No.	5782
From: BNY Mellon Distributors Inc./Robeco Investment Funds of the RBB Fund, Inc.	No.	5800
To: BNY Mellon Distributors LLC/Robeco Investment Funds of the RBB Fund, Inc.	No.	5800
From: BNY Mellon Distributors Inc./Money Market Portfolio of the RBB Fund, Inc.	No.	5808
To: BNY Mellon Distributors LLC/The RBB Fund, Inc.	No.	5808
From: BNY Mellon Distributors Inc./Bogle Investment Management Funds of the RBB Fund, Inc.	No.	5818
To: BNY Mellon Distributors LLC/ Bogle Investment Management Funds of the RBB Fund, Inc.	No.	5818
From: BNY Mellon Distributors Inc./High Mark Funds	No.	5820
To: BNY Mellon Distributors LLC/High Mark Funds	No.	5820
From: BNY Mellon Distributors Inc./Schneider Capital Management Funds of the RBB Fund, Inc.	No.	5856
To: BNY Mellon Distributors LLC/ Schneider Capital Management Funds of the RBB Fund, Inc.	No.	5856

From: BNY Mellon Distributors Inc./Matson Money, Inc Funds of the RBB Fund, Inc.	No.	5947
To: BNY Mellon Distributors LLC/Matson Money, Inc Funds of the RBB Fund, Inc.	No.	5947
From: BNY Mellon Distributors Inc./Pyxis Funds	No.	5979
To: BNY Mellon Distributors LLC/Pyxis Funds	No.	5979
From: BNY Mellon Distributors Inc./The Torray Funds	No.	6554
To: BNY Mellon Distributors LLC/The Torray Funds	No.	6554
From: BNY Mellon Distributors Inc./E.I.I. Realty Securities Trust	No.	6579
To: BNY Mellon Distributors LLC/E.I.I. Realty Securities Trust	No.	6579
From: BNY Mellon Distributors Inc./The Motley Fool Funds Trust	No.	6594
To: BNY Mellon Distributors LLC/The Motley Fool Funds Trust	No.	6594
From: BNY Mellon Distributors Inc./Metropolitan West Funds	No.	6644
To: BNY Mellon Distributors LLC/Metropolitan West Funds	No.	6644
From: BNY Mellon Distributors Inc./Marvin & Palmer Funds of the RBB Fund, Inc	No.	6679
To: BNY Mellon Distributors LLC/Marvin & Palmer Funds of the RBB Fund, Inc.	No.	6679

- The following firms will begin participation in the MF-Daily Price and Rate service, as detailed below:

First Trade Date, February 27, 2012:

Legg Mason Investor Services, LLC/Legg Mason Collective Trust	No.	5630
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First Trade Date, March 1, 2012:

Walhausen Funds	No.	5595
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- The following firm will begin participation in the ACATS and ACATS Fund/SERV services, as detailed below:

First Trade Date, March 5, 2012:

Quasar Distributors, LLC/ Aegis Funds	No.	6612
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- Please update the NSCC Directory dated February 2012 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, February 29, 2012</u>				
From: First Honolulu Securities Inc.	MSRO	0727	FHON	
To: First Honolulu Securities Inc.		0235	FHON	

From: Planned Investment Co., Inc.	MSRO	0727	PICC
To: Planned Investment Co., Inc.		0235	PICC
From: Minnesota Valley Investments	MSRO	0727	MVII
To: Minnesota Valley Investments		0235	MVII
From: Downstate Securities Group, Inc.	MSRO	0727	DDBI
To: Downstate Securities Group, Inc.		0235	DDBI
From: J.W. Millegan, Inc.	MSRO	0727	JWML
To: J.W. Millegan, Inc.		0235	JWML
From: Prime Solutions Securities, Inc.	MSRO	0727	STLR
To: Prime Solutions Securities, Inc.		0235	STLR
From: Harvest Financial Corporation	MSRO	0727	HVST
To: Harvest Financial Corporation		0235	HVST
From: Trustmont Financial Group, Inc.	MSRO	0727	CFGM
To: Trustmont Financial Group, Inc.		0235	CFGM
From: Sorsby Financial Corp.	MSRO	0727	SORS
To: Sorsby Financial Corp.		0235	SORS
From: Indiana Securities of Indianapolis, LLC	MSRO	0727	INDI
To: Indiana Securities of Indianapolis, LLC		0235	INDI
From: Palmieri (Angnardo) & Co., Inc.	MSRO	0727	DPAA
To: Palmieri (Angnardo) & Co., Inc.		0235	DPAA
From: Ward's Financial Services, Ltd.	MSRO	0727	WARF
To: Ward's Financial Services, Ltd.		0235	WARF
From: Mellin Securities, Inc.	MSRO	0727	MELN
To: Mellin Securities, Inc.		0235	MELN
From: Berghoff & Company, Inc.	MSRO	0727	BEMC
To: Berghoff & Company, Inc.		0235	BEMC
From: Heritage Financial Systems, Inc.	MSRO	0727	HFSB
To: Heritage Financial Systems, Inc.		0235	HFSB
From: Andes Capital Group, LLC	MSRO	0727	ACGP
To: Andes Capital Group, LLC		0235	ACGP
From: Lake Forest Securities, LLC	MSRO	0727	LAKE
To: Lake Forest Securities, LLC.		0235	LAKE

From: L.B. Fisher & Company	MSRO	0727	FISH
To: L.B. Fisher & Company		0235	FISH
From: Northstar Financial Partners, Inc.	MSRO	0727	NSFP
To: Northstar Financial Partners, Inc.		0235	NSFP
From: Podesta & Co.	MSRO	0727	PODE
To: Podesta & Co.		0235	PODE
From: Lemley, Yarling & Co.	MSRO	0727	LEYA
To: Lemley, Yarling & Co.		0235	LEYA
From: Infinity Financial Services	MSRO	0727	NFTY
To: Infinity Financial Services		0235	NFTY
From: Concorde Investment Services, LLC	MSRO	0727	CNCD
To: Concorde Investment Services, LLC		0235	CNCD
From: The Capital Group Securities, Inc.	MSRO	0727	CGSE
To: The Capital Group Securities, Inc.		0235	CGSE
From: Sunstreet Securiteis, LLC	MSRO	0727	SUST
To: Sunstreet Securiteis, LLC		0235	SUST
From: Cressman Esser Securities, Inc.	MSRO	0727	CESI
To: Cressman Esser Securities, Inc.		0235	CESI
From: Benchmark Investments, Inc.	MSRO	0727	BMRK
To: Benchmark Investments, Inc.		0235	BMRK
From: PTI Securities & Futures L.P.	MSRO	0727	PTIS
To: PTI Securities & Futures L.P.		0235	PTIS

**Clearing Broker Change and Executing Broker Name
Change Effective First Trade Date, February 29, 2012**

From: L&M Securities Co.	MSRO	0727	LMSC
To: Bay Colony Securities Co., Inc.		0235	LMSC

Delete Last Trade Date, February 27, 2012

MMC Securities Corp.	MLCO	0161	MMCS
Mizuho Securities USA, Inc.	MLCO	0161	MZHX
Neonet Securities, Inc.	MLCO	0161	NNET

Add First Trade Date, February 29, 2012

Protected Investors of America	NFSC	0226	PIAC
Chauner Securities, Inc.		0235	CHNR

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Executing Broker Name Change Effective First Trade Date, February 27, 2012</u>				
From: MasterTrader.com	PFCL	0158	MSTR	
To: Stock USA Execution Services, Inc	PFCL	0158	MSTR	
<u>Clearing Broker Change Effective First Trade Date, February 29, 2012</u>				
From: Thurston, Springer, Miller, Herd & Titak, Inc.	MSRO	0727	TMBI	
To: Thurston, Springer, Miller, Herd & Titak, Inc.		0235	TMBI	
From: Investment Network, Inc.	MSRO	0727	IVNT	
To: Investment Network, Inc.		0235	IVNT	
From: Krueger Brokerage, Inc.	MSRO	0727	KRUG	
To: Krueger Brokerage, Inc.		0235	KRUG	
From: MTL Equity Products, Inc.	MSRO	0727	MTLE	
To: MTL Equity Products, Inc.		0235	MTLE	
From: Forest Securities, Inc.	MSRO	0727	FORE	
To: Forest Securities, Inc.		0235	FORE	
From: Rodgers Brothers, Inc.	MSRO	0727	ROBO	
To: Rodgers Brothers, Inc.		0235	ROBO	
From: Don Alexander Investments, Inc.	MSRO	0727	DAII	
To: Don Alexander Investments, Inc.		0235	DAII	
From: Mack Investments Securities, Inc.	MSRO	0727	MINV	
To: Mack Investments Securities, Inc.		0235	MINV	
From: Beaconsfield Financial Serv.	MSRO	0727	BEAF	
To: Beaconsfield Financial Serv.		0235	BEAF	
<u>Delete Last Trade Date, February 21, 2012</u>				
ABN AMRO Clearing Chicago LLC	APMA	0396	PARA	
<u>Delete Last Trade Date, February 22, 2012</u>				
First Tryon Securities LLC	PERS	0443	TRYN	
Hancock Securities Group, LLC	MSRO	0727	DHAN	
<u>Delete Last Trade Date, February 23, 2012</u>				
Banc of America Specialist Inc.	MLCO	0161	BASP	

Add First Trade Date, February 24, 2012

Convergex Prime Services LLC IBKR 0017 CXPS

Add First Trade Date, February 27, 2012

Title Securities, Inc. WEDB 0103 DIRL
Wedbush Securities Inc. WEDB 0103 WMHY

- Please update the NSCC Directory dated February 2012 (for Municipal Bond Comparison System) as detailed below:

Clearing Broker Identifiers Executing Broker Identifiers
Alpha Numeric Alpha Numeric

Clearing Broker Change Effective First Trade Date, February 23, 2012

From: Hancock Securities Group, LLC MSRO 0727 DHAN
To: Hancock Securities Group, LLC PERS 0443 DHAN

Delete Last Trade Date, February 22, 2012

Kaufman Bros., L.P. NFSC 0226 KBRO

Add First Trade Date, February 29, 2012

Thurston, Springer, Miller, Herd & Titak, Inc. 0235 TMBI
Investment Network, Inc. 0235 IVNT
Krueger Brokerage, Inc. 0235 KRUG
MTL Equity Products, Inc. 0235 MTLE
Forest Securities, Inc. 0235 FORE
Rodgers Brothers, Inc. 0235 ROBO
Don Alexander Investments, Inc. 0235 DAII
Mack Investments Securities, Inc. 0235 MINV
Beaconsfield Financial Serv. 0235 BEAF

If you have any questions regarding this notice, please contact Mark Hendrickson (813) 470-1232 or E-mail NSCCAA@DTCC.com