



*Important Notice*  
**National Securities Clearing Corporation**

**A#:** 7394  
**P&S#** 6964  
**DATE:** MARCH 15, 2012  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGING PARTNER/OFFICER; P&S MANAGER, CASHIER;  
 OPERATIONS MANAGER; MANAGER, DATA PROCESSING MANAGER,  
 IT MANAGER  
**FROM:** PRODUCT MANAGEMENT  
**SUBJECT:** NYSE OUTPUT REPORTING CHANGES - NSCC ANONYMITY  
 INDICATOR

The NYSE and NYSE Amex (collectively, the “Exchange”) plan to begin using the NSCC anonymity indicator when it sends comparison sides to NSCC. The Exchange will begin phasing out the use of the legacy omnibus accounts of TOD (0772) and LOC (0787) and will replace those accounts with the contra clearing firm of 8602, NSCC’s designated clearing number for anonymous reporting. The Exchange anticipates this change to take place later this year in connection with its rollout of their new match engine, Universal Trading Platform (“UTP”). NSCC will issue a separate Important Notice announcing the date this change will take place.

Please see below for details on how this change will impact NSCC clearance records:

<b>Contract Field</b>	<b>Pre-UTP</b>	<b>Post-UTP</b>
<u>Contra Clearing Firm</u> Legacy MRO: position 70-73 UTC MRO: position 280-287 UTC Messages: Tag 448, party role 18	0772 (TOD) 0787 (LOC) 0769 (DOT)	8602 (ANON)
<u>Contra Badge ID</u> Legacy MRO: position 85-88 UTC MRO: position 304-311 UTC Messages: Tag 448, party role 12	SPEC	0000

For further details on this change please review Trading Technology Information Circular PT178 issued by the Exchange on 03/12/2012.

For questions about this Important Notice, please contact the undersigned at [tgarrett@dtcc.com](mailto:tgarrett@dtcc.com).

Tim Garrett  
 Product Management, Equities Clearance