



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	7398
<b>P&amp;S #:</b>	6968
<b>Date:</b>	3/23/2012
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ADREAN HINOJOSA, ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, March 21, 2012:**

Union Security Insurance Company No. 4544

**Last Trade Date, March 22, 2012:**

Quasar Distributors, LLC/Stephens Funds No. 5744

- The following firm will no longer participate in the OTC Comparison service, as detailed below:

**Last Trade Date, March 20, 2012:**

Orion Securities (USA) Inc./CDS No. 5034  
OTC Alpha Identifier: YORK

- The following firm will begin participation in the OTC Comparison service, as detailed below:

**First Trade Date, March 20, 2012:**

ABN AMRO Clearing Chicago LLC/Bonds No. 0575  
OTC Alpha Identifier: ABND

- The following firm will begin participation in the Commission Settlement service, as detailed below:

**First Trade Date, March 23, 2012:**

Unified Financial Securities, Inc./Leeb Focus Funds No. 5302

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, March 23, 2012:**

CNL Capital Markets Corp. No. 3662  
CNL Capital Markets Corp./CNL Lifestyle Properties Inc. No. 3599  
CNL Capital Markets Corp./CB Richard Ellis Realty Trust No. 3656

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CNL Capital Markets Corp./Global Growth Trust, Inc.	No.	3657
CNL Capital Markets Corp./Global Income Trust, Inc.	No.	3658
CNL Capital Markets Corp./Corporate Capital Trust	No.	3659
CNL Capital Markets Corp./CNL Healthcare Trust, Inc.	No.	3661

**First Trade Date, March 26, 2012:**

Hines Securities, Inc.	No.	3584
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- The following Data Service Only member will begin participation in the Insurance Processing Services, as detailed below:

**First Trade Date, March 26, 2012:**

Ausdal Financial Partners, Inc.	No.	6905
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- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV

**Delete Last Trade Date, March 22, 2012**

Stone Securities Corp.

PERS 0443 STNE

**Add First Trade Date, March 19, 2012**

Belvedere Trading LLC

SILK 0501 BELV

**Add First Trade Date, March 20, 2012**

Jane Street Markets, LLC

ABN AMRO Clearing Chicago LLC

PRST 0551 JSOP  
APMA 0396 ATGX

**Add First Trade Date, March 26, 2012**

BMA Securities

LSCI 0512 BMSS

**Add First Trade Date, March 30, 2012**

First Ballantyne, LLC

SALI 0750 FBLT

If you have any questions regarding this notice, please contact Mark Hendrickson (813) 470-1232 or E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com)