



Important Notice
National Securities Clearing Corporation

A#:	7404
P&S #:	6974
Date:	4/05/2012
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ADREAN HINOJOSA, ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:
Last Trade Date, April 5, 2012:
Longleaf Partners Funds Trust No. 4863
- The following firm will begin participation in the MF-Daily Price and Rate service, as detailed below:
First Trade Date, April 9, 2012:
BNP Paribas Securities Corp. No. 5551
- The following firm will begin participation in the Fund/SERV, Networking, ACATS and ACATS Fund/SERV services, as detailed below:
First Trade Date, April 5, 2012:
Valley Forge Fund, Inc. No. 3611
Networking Symbol: 4Z
Contact: Gregg Getts
Phone: (440) 922-0066 x 101
- The following additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, Commission Settlement, ACATS Fund/SERV and MF-Daily Price and Rate services, as detailed below:
First Trade Date, April 9, 2012:
ALPS Distributors, Inc./Wakefield Fund No. 3688
Networking Symbol: 5X
Contact: Brian Bishop
Phone: (720) 917-0617

- The following additional number has been assigned to Rafferty Capital Markets, LLC. (#5726) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV and MF-Profile services, as detailed below:

First Trade Date, April 9, 2012:

Rafferty Capital Markets, LLC/Longleaf Partner Funds

No. 4863

Networking Symbol:

KM

Contact: Duncan Harwood

Phone: (901) 761-2474

- Please update the NSCC Directory dated March 2012 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Executing Broker Name Change Effective First Trade Date, April 4, 2012

From: Cheevers Hand & Angeline Inc.

SALI	0750	CBFG
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To: CBG Financial Group, Inc.

SALI	0750	CBFG
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Clearing Broker Change Effective First Trade Date, April 11, 2012

From: Romano Brothers and Company

MSRO	0727	ROMO
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To: Romano Brothers and Company

FCCP	0141	ROMO
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Delete Last Trade Date, April 2, 2012

BNY Convergen Execution Solutions LLC

PFCL	0158	ECOP
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Bhirud Associates, Inc.

BSSC	0352	BHIR
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Daiwa Capital Markets America Inc.

BSSC	0352	DCMO
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Add First Trade Date, April 4, 2012

MBSC Securities Corporation

MLCO	0161	MBSC
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- Please update the NSCC Directory dated March 2012 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change Effective First Trade Date, April 4, 2012

From: Penson Financial Services, Inc.

PFCL	0158	PFTM
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To: Penson Financial Services, Inc.

FLTG	0330	PFTM
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Delete Last Trade Date, April 3, 2012

Lime Brokerage LLC	SILK	0501	LIME
Lime Brokerage LLC	SILK	0501	LIMB

Delete Last Trade Date, April 5, 2012

Cuttone & Co., Inc.	SILK	0501	CUGS
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Add First Trade Date, April 3, 2012

ABN AMRO Clearing Chicago LLC	APMA	0396	HEDX
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Add First Trade Date, April 5, 2012

Maxim Group LLC	MSCO	0050	MXMS
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Add First Trade Date, April 9, 2012

Penson Financial Services, Inc.	PFCL	0158	PFRO
Libucki & Co., LLC	ECUT	0100	LBKI

- Please update the NSCC Directory dated March 2012 (for Municipal Bond Comparison System) as detailed below:

<u>Delete Last Trade Date, April 2, 2012</u>	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
Omivest, Inc.	NFSC	0226	OMNV	

If you have any questions regarding this notice, please contact Mark Hendrickson (813) 470-1232 or E-mail NSCCAA@DTCC.com