



Important Notice
National Securities Clearing Corporation

A#:	7411
P&S #:	6981
Date:	4/20/2012
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ADREAN HINOJOSA, ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will begin participation in the Obligation Warehouse service, as detailed below:
First Trade Date, April 17, 2012:
ABN AMRO Clearing Chicago LLC No. 0695
ABN AMRO Clearing Chicago LLC/CPM No. 0330
- The following firm will begin participation in the MF-Daily Price and Rate service, as detailed below:
First Trade Date, April 23, 2012:
Edmond de Rothschild Asset Management No. 3718
- The following firm will begin participation in the MF-Daily Price and Rate and ACATS Fund/SERV services, as detailed below:
First Trade Date, April 25, 2012:
Advance Capital I, Inc. No. 5860
- The following additional number has been assigned to Rafferty Capital Markets, LLC (#5726) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:
First Trade Date, April 23, 2012:
Rafferty Capital Markets, LLC/Hagin Keystone Market Neutral Fund No. 3313
Networking Symbol: IM
Contact: Bob Anastasi
Phone: (440) 922-0066
- The following additional number has been assigned to Western National Life Insurance Company (#4623) for the purpose of utilizing Insurance Processing Services, as detailed below:
First Trade Date, April 23, 2012:
Western National Life Insurance Company/SunAmerica Amarillo non-NY No. 3310

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Non-Confidential

- Please update the NSCC Directory dated April 2012 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, April 16, 2012</u>				
HKC Securities	BSSC	0352	HKCS	
CJS Securities, Inc.	BSSC	0352	CJSI	
<u>Delete Last Trade Date, April 18, 2012</u>				
Fintech Securities	MSRO	0727	FINX	
<u>Add First Trade Date, April 18, 2012</u>				
Griffin Securities, Inc.	ECUT	0100	GSEC	
<u>Add First Trade Date, April 23, 2012</u>				
Securities Management & Research, Inc.	NFSC	0226	SMRI	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, April 16, 2012</u>				
From: Forefront Capital Markets LLC	IBKR	0017	FFCM	
To: Forefront Capital Markets LLC		0235	FFCM	
<u>Clearing Broker Change Effective First Trade Date, April 17, 2012</u>				
From: Brookview Capital LLC	SILK	0501	BKVW	
To: Brookview Capital LLC	PERS	0443	BKVW	
<u>Clearing Broker and Executing Broker Name Change Effective First Trade Date, April 23, 2012</u>				
From: Noble International Investments Inc.	PERS	0443	NOBL	
To: Noble Financial Capital Markets	PFCL	0158	NOBL	
<u>Delete Last Trade Date, April 16, 2012</u>				
ABN AMRO Clearing Chicago LLC	SHMR	0695	FSIK	
ABN AMRO Clearing Chicago LLC	SHMR	0695	FTMG	
ABN AMRO Clearing Chicago LLC	SHMR	0695	TMGX	
Dart Executions, LLC	SHMR	0695	DRCT	
Jump Trading	SHMR	0695	EGOT	
Penson Financial Services, Inc.	SHMR	0695	PFAS	
ABN AMRO Clearing Chicago LLC	APMA	0396	FRTA	

Delete Last Trade Date, April 17, 2012

Sungard Institutional Brokerage Inc.

PERS 0443 SIBI

Add First Trade Date, April 17, 2012

Wedbush Securities Inc.

WEDB 0103 WMVG

Add First Trade Date, April 20, 2012

Wedbush Securities Inc.

WEDB 0103 WBJB

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<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, April 18, 2012

Condor Securities, Inc.

NFSC	0226	COND	
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Add First Trade Date, April 16, 2012

Forefront Capital Markets LLC

	0235	FFCM	
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Add First Trade Date, April 17, 2012

Brookview Capital LLC

PERS	0443	BKVV	
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Continuity Partners Group, LLC

NFSC	0226	CPGL	
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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.