



Important Notice
National Securities Clearing Corporation

A#:	7412
P&S#:	6982
Date:	April 20, 2012
To:	ALL PARTICIPANTS
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	SCHEDULE CHANGES TO NETWORKING AND MUTUAL FUND PROFILE SERVICE II FILES

Effective **Monday, July 23, 2012**, National Securities Clearing Corporation (NSCC) will implement changes to DTCC Transmission Schedules in the Production and Participant Services Environment (PSE) regions. The following file schedules will be affected:

Networking Omni/SERV

- 529 Aggregation
- Position Reporting

Mutual Funds Profile Service II

- Distribution Declaration records 40, 41, 42, 43, 44

Testing

Participants can begin testing the new PSE cycles in the PSE test region starting June 18, 2012.

Documentation

“Mutual Fund Networking and Omni/SERV Transmission Schedules” and “Mutual Fund Profile II Batch File Transmission Schedule” documents will be updated on or about May 7th, 2012 on DTCC’s Wealth Management Services Website at <http://www.dtcc.com>.

Questions and comments regarding this Important Notice may be directed to your Wealth Management Services Relationship Manager at 212-855- 8877.

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Networking Omni/SERV Schedule Changes

Production Changes:

1. Add a New Input Cycle to Networking Omni/SERV 529 Aggregation Files Schedule

Add a 3rd input cycle to the Networking Omni/SERV 529 Aggregation Files Transmission schedule to extend the input window.

Cycle Counter	From (Mon-Sat)	Until (Mon-Sat)	Extracted (Mon-Sat)	Send By (Mon-Sat)
1	1:00 AM	4:00 AM	4:15 AM	4:30 AM
2	4:00 AM	6:00 AM	6:15 AM	6:30 AM
3	6:00 AM	8:00 AM	8:15 AM	8:30 AM

2. Add a New Input Cycle to Networking Omni/SERV 529 Aggregation Files Schedule – Fund Aggregator

Add a 2nd input cycle to the Networking Omni/SERV 529 Aggregation Files Transmission schedule to allow Fund Aggregators to submit files until 12 Noon.

Cycle Counter	From (Mon-Sat)	Until (Mon-Sat)	Extracted (Mon-Sat)	Send By (Mon-Sat)
1	6:00 AM	10:00 AM	10:15 AM	10:30 AM
2	10:00 AM	12:00 Noon	12:15 PM	12:30 PM

3. Add 4 New Input Cycles to Networking Omni/SERV Position File Transmission Schedule

Add 4 new input cycles to the Networking Omni/SERV Position Files Transmission schedule to extend the input window.

Cycle Counter	From (Mon-Sat)	Until (Mon-Sat)	Extracted (Mon-Sat)	Send By (Mon-Sat)
1	12:00 AM	1:00 AM	1:15 AM	2:00 AM
2	1:00 AM	2:00 AM	2:15 AM	3:00 AM
3	2:00 AM	3:00 AM	3:15 AM	4:00 AM
4	3:00 AM	4:00 AM	4:15 AM	5:00 AM
5	4:00 AM	5:00 AM	5:15 AM	6:00 AM
6	5:00 AM	6:00 AM	6:15 AM	7:00 AM
7	6:00 AM	7:00 AM	7:15 AM	8:00 AM
8	7:00 AM	8:00 AM	8:15 AM	9:00 AM
9	8:00 AM	9:00 AM	9:15 AM	10:00 AM
10	9:00 AM	12:00 Noon	12:15 PM	1:00 PM
11	12:00 Noon	2:00 PM	2:15 PM	3:00 PM
12	2:00 PM	3:00 PM	3:15 PM	4:00 PM

13	3:00 PM	4:00 PM	4:15 PM	5:00 PM
14	4:00 PM	5:00 PM	5:15 PM	6:00 PM

PSE Changes

4. Add a New Input Cycle to Networking Omni/SERV 529 Aggregation Files Schedule – Fund Aggregator in PSE

Add a 2nd input cycle to the Networking Omni/SERV 529 Aggregation Files PSE Transmission schedule to allow Fund Aggregators to submit files until 1 PM.

Cycle Counter	From (Mon-Sat)	Until (Mon-Sat)	Extracted (Mon-Sat)	Send By (Mon-Sat)
1	10:00 AM	12:00 Noon	12:15 Noon	12:30 Noon
2	12:00 Noon	1:00 PM	1:15 PM	1:30 PM

Mutual Fund Profile Service II Schedule Changes

Production Changes:

1. Add 20 new cycles to Mutual Fund Profile Service II Distribution Declaration Transmission Schedule

Add 20 new output cycles to the Mutual Fund Profile Service II schedule. The new multi batch schedule will have the following cycles:

Cycle Counter	From (Mon-Fri)	Until (Mon-Fri)	Extracted (Mon-Fri)	Send By (Mon-Fri)
1	12:00 Noon	12:30 PM	12:45 PM	1:00 PM
2	12:31 PM	1:00 PM	1:15 PM	1:30 PM
3	1:01 PM	1:30 PM	1:45 PM	2:00 PM
4	1:31 PM	2:00 PM	2:15 PM	2:30 PM
5	2:01 PM	2:30 PM	2:45 PM	3:00 PM
6	2:31 PM	3:00 PM	3:15 PM	3:30 PM
7	3:01 PM	3:30 PM	3:45 PM	4:00 PM
8	3:31 PM	4:00 PM	4:15 PM	4:30 PM
9	4:01 PM	4:30 PM	4:45 PM	5:00 PM
10	4:31 PM	5:00 PM	5:15 PM	5:30 PM
11	5:01 PM	5:30 PM	5:45 PM	6:00 PM
12	5:31 PM	6:00 PM	6:15 PM	6:30 PM
13	6:01 PM	6:30 PM	6:45 PM	7:00 PM
14	6:31 PM	7:00 PM	7:15 PM	7:30 PM
15	7:01 PM	7:30 PM	7:45 PM	8:00 PM
16	7:31 PM	8:00 PM	8:15 PM	8:30 PM
17	8:01 PM	8:30 PM	8:45 PM	9:00 PM
18	8:31 PM	9:00 PM	9:15 PM	9:30 PM

19	9:01 PM	9:30 PM	9:45 PM	10:00 PM
98	9:31 PM	10:00 PM	10:15 PM	10:30 PM

PSE Changes

2. Add 1 new cycle to Mutual Fund Profile Service II Distribution Declaration Transmission Schedule in PSE

Add 1 new output cycle to the Mutual Fund Profile Service II PSE schedule.

Cycle Counter	From (Mon-Fri)	Until (Mon-Fri)	Extracted (Mon-Fri)	Send By (Mon-Fri)
1	8:00 AM	4:00 PM	4:00 PM	4:00 PM
2	4:00 PM	5:00 PM	5:00 PM	5:00 PM