



Important Notice
National Securities Clearing Corporation

A#:	7427
P&S #:	6997
Date:	5/18/2012
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ADREAN HINOJOSA, ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will no longer participate in the Insurance Processing Service and Coonc

- The following firm will begin participation in the OTC Comparison and Obligation Warehouse services, as detailed below:

First Trade Date, June 18, 2012:

Citigroup Global Markets Inc./Correspondent Clearing
OTC Alpha Identifier

No. 0505
CGMI

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, ACATS and ACATS Fund/SERV services, as detailed below:

First Trade Date, May 18, 2012:

Ultimus Fund Distributors, LLC/The Cutler Trust
Networking Symbol:
Contact: Doug Jones
Phone: (513) 587-3408

No. 3335
UF

- Please update the NSCC Directory dated May 2012 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, May 16, 2012</u>				
Legend Merchant Group, Inc.		0235	LGND	
Midsouth Capital, Inc.		0235	MIDS	
Stormharbour Securities LP		0235	STHA	
<u>Delete Last Trade Date, May 18, 2012</u>				
Blackbook Capital LLC	PFCL	0158	PHNX	

- Please update the NSCC Directory dated May 2012 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective</u>				
<u>First Trade Date, May 18, 2012</u>				
From: Westor Capital Group, Inc.	PFCL	0158	WESX	
To: Westor Capital Group, Inc.	ETCC	0873	WESX	
<u>Clearing Broker Change Effective</u>				
<u>First Trade Date, May 21, 2012</u>				
From: SMF Trading, Inc.	PFCL	0158	SMFT	
To: SMF Trading, Inc.	WEDB	0103	SMFT	

