



**Important Notice**  
**National Securities Clearing Corporation**

<b>A#:</b>	7446
<b>P&amp;S #:</b>	7016
<b>Date:</b>	6/22/2012
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ADREAN HINOJOSA, ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, June 15, 2012:**

Brookstone Securities, Inc. No. 0495

**Last Trade Date, June 19, 2012:**

Grubb & Ellis Equity Advisors, Transfer Agent, LLC No. 5415  
Grubb & Ellis Equity Advisors, Transfer Agent, LLC No. 3464  
Grubb & Ellis Equity Advisors, Transfer Agent, LLC No. 3465

**Last Trade Date, June 21, 2012:**

Shattuck Hammond Partners LLC No. 6019

**Last Trade Date, June 25, 2012:**

Aviva Insurance Company of New York No. 6424  
Aviva Life Insurance Company No. 6425

- The following firm will no longer participate in the MF-Profile service, as detailed below:

**Last Trade Date, June 22, 2012:**

First Trust Portfolios L.P./First Trust Series Fund No. 5374

- The following firm will no longer participate in the Fund/SERV, Networking, Commission Settlement, ACATS and ACATS Fund/SERV services, as detailed below:

**Last Trade Date, June 22, 2012:**

First National Bank of Omaha No. 2254

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- The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, June 18, 2012:**

Managers Investment Group, LLC No. 5736

**First Trade Date, June 27, 2012:**

Touchstone Securities, Inc. No. 5711

**First Trade Date, July 2, 2012:**

Olstein Associates L.P. No. 5775

- The following firm will begin participation in the MF-Daily Price and Rate service, as detailed below:

**First Trade Date, June 19, 2012:**

Pacific Life Insurance Company No. 4532

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, June 25, 2012:**

Thompson Davis & Co., Inc. No. 3836

- The following additional numbers have been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking, Commission Settlement and ACATS Fund/SERV services, as detailed below:

**First Trade Date, June 28, 2012:**

Quasar Distributors, LLC/Logan Funds No. 3305

Networking Symbol: 7Q

Contact: Casey Sauer

Phone: (414) 347-5766

**First Trade Date, June 29, 2012:**

Quasar Distributors, LLC/KDC Funds No. 3644

Networking Symbol: 90

Contact: Casey Sauer

Phone: (414) 347-5766

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:

**First Trade Date, June 29, 2012:**

Quasar Distributors, LLC/Lawson Kroeker Funds No. 3345

Networking Symbol: YY

Contact: Casey Sauer

Phone: (414) 347-5766

- Please update the NSCC Directory dated June 2012 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Add First Trade Date, June 21, 2012</u></b> Colorado Financial Service Corporation	FSWC	0309	COFS	

- Please update the NSCC Directory dated June 2012 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Add First Trade Date, June 20, 2012</u></b> Electronic Transaction Clearing, Inc.	ETCC	0873	ETBB	
<b><u>Add First Trade Date, June 27, 2012</u></b> Kipling Jones & Co., Ltd	MSCO	0050	KIPL	

- Please update the NSCC Directory dated June 2012 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Executing Broker Name Change Effective First Trade Date, June 25, 2012</u></b> From: First Internet Securities Network To: Landolt Securities, Inc.	NFSC	0226	FISN	
	NFSC	0226	FISN	

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).