



A#: 7448
P&S# 7018
Date: June 25, 2012
To: ALL PARTICIPANTS
Attention: MANAGERS; OPERATIONS DEPT FOR ANNUITIES & LIFE INSURANCE,
BROKERAGE OPERATIONS, INFORMATION SYSTEMS
From: NSCC Insurance & Retirement Services
Subject: I&RS 2012 September Enhancement Release

Overview:

In order to support industry requests, the Insurance and Retirement Processing Services (I&RS) has scheduled an enhancement release for September 2012. This is the second of two scheduled enhancement releases for 2012. I&RS Enhancement Releases include Review Board Enhancement Requests submitted by participants, as well as maintenance items National Securities Clearing Corporation (“NSCC”) deems necessary to improve the overall operational performance of the system.

The enhancements in this release will affect the following functionalities:

AAP – Asset Pricing

APP/SUB - Initial Application and Subsequent Premium

FAR – Financial Activity Reporting

LNA – Licensing and Appointments

POV - Positions and Values, which is made up of 3 types:

- PVF – Positions & Values Full Refresh File
- PNF – Positions New Business File
- PFF – Positions Focused File

STL – Settlement Processing

Important Note:

There are mandatory changes as part of this release. Please see the Requirements Document for detail on which changes are optional and which changes are required. To view the Requirements Document, see instructions in Specification Documents section of this notice.

Schedule:

- Test – Thursday, August 23, 2012
- Production – Thursday, September 20, 2012

Specification Documents:

Documentation is available at the I&RS website, <http://www.dtcc.com/products/insurance/>. Click on the Participant Section and then Enhancements.

- To view the actual enhancement requests, click on Enhancements Approved for Future Release.
- To view Draft Layouts, Requirements Document and applicable Standard Usage, click on Current Draft Layouts and Specification Documents (most current release).

The following documentation will be listed. All changes to the record layouts will be highlighted in yellow. Items may be added to the list below if necessary.

- 2012 September Enhancement Release Technical Specifications Document

Flat Files:

- Draft AAP Record Layouts
- Draft APP/SUB Record Layouts
- Draft FAR Record Layouts
- Draft POV Record Layouts
- Draft STL Record Layouts

Release Specifications:

The following information indicates the exact changes made to the record layouts. These changes are based on the approved recommendation made by the originator of the enhancement request.

The actual enhancement request can be found at our website under “IPS Enhancements Approved for Future Release” in the User Group section of our website. Do not use the actual enhancement request document for programming. The draft record layouts and detailed specification document should be used for this purpose. The actual enhancement request documents are provided to understand the business purpose of the change.

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For additional information on this enhancement release, please contact your Relationship Manager.

Jeanann Smith
Director, Product Management

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