

**#:** MS#136  
**Date:** January 25, 2013  
**To:** Distribution  
**From:** MarkitSERV Equity Product Management and Legal Department  
**Subject:** Revisions to the MarkitSERV Operating Procedures (the "Operating Procedures") – Equity Products

At the close of business (NY) on January 25, 2013, MarkitSERV will implement enhancements to DSMatch for Equity products. To facilitate these enhancements, on Friday January 25, the DSMatch Equities Web application will be down from 6:00 PM – 7:00 PM EDT / 11:00 PM – 12:00 AM GMT. MQ messages will be accepted but will be queued and processed after deployment is complete and the new functionality verified. This notice refers to updates specific to the MarkitSERV Operating Procedures (the "Operating Procedures"). Capitalized terms used herein and not otherwise defined shall have those meaning referenced in the Operating Procedures.

DSMatch for Equity products has added the availability of certain reporting fields as part of the Transaction Record for Equity Options, Swaps, Variance Swaps and Dividend Swaps and provided for the option to transmit the Transaction Record for these product types to the DTCC Derivatives Repository Ltd, and, if applicable, to the DTCC Data Repository (U.S.) LLC.

Appendices D (Equity Share Options), E (Equity Index Options), G (Equity Share Swaps) and H (Equity Index Swaps), I (Equity Share Variance Swaps), J (Equity Index Variance Swaps), M (Equity Share Dividend Swaps) and N (Equity Index Dividend Swaps) have been updated to reflect these enhancements. See attached blacklines of Appendices listed herein.

The enhancements referred to above are reflected on the attached documents and are effective upon implementation, unless otherwise noted. By submitting affected transactions to the applicable system, the User agrees to waive any applicable notice requirements relating to the changes.

Any questions or comments regarding this Important Notice or MarkitSERV in general should be directed to your account manager, [CAG@markitserv.com](mailto:CAG@markitserv.com) or to Chise Yamamoto, Equity Derivatives Product Management, at 646-505-2306, [chise.yamamoto@markitserv.com](mailto:chise.yamamoto@markitserv.com).