# DTCC | The Depository Trust Company

*Important Notice*

| B #: | 1276-13 |
|---|---|
| **Date:** | August 6, 2013 |
| **To:** | All Participants |
| **Category:** | Dividends |
| **From:** | Asset Services – Corporate Actions Transformation Product Management Team |
| **Attention:** | Managing Directors/Vice Presidents/Managers/Supervisors |
| **Subject:** | DTCC Corporate Actions Transformation Monthly Industry Calls - **REVISED** |

DTCC will be hosting a series of monthly industry calls to engage and inform the DTC Participant community of key deadlines and important topics pertaining to the Corporate Actions Transformation initiative.

The series began in July and will be held monthly through the end of the year.

Topics covered will include information related to the three client pillars of the initiative:
- New Data Model aligned with market practice replaces DTC's proprietary function-code data model
- New Corporate Actions web browser – CA Web – replaces PTS and PBS
- New ISO 20022 messages replace CCF files

A demo of the new CA Web browser will also be provided. There is a mandatory migration for all Participants from PTS/PBS to CA Web for Distributions announcements and processing in June 2014. The following ten functions will be retired and will no longer available for use in PTS/PBS for Distributions events:
- EDS, DIVA, DAWN, SDAR, DPAL, PIAR, ADJI, DUEB, TAXI, BOOK

| Upcoming Deadlines |
|---|
| **June 2014 – PTS/PBS is retired for <u>Distributions</u> events** |
| PTS/PBS corporate actions applications EDS, DIVA, SDAR, DAWN, DPAL, ADJI, PIAR, DUEB, BOOK and TAXI will be retired for Distributions events in **<u>June 2014</u>** and all Participants are required to transition to the new corporate actions browser – CA Web. |
| **December 2015 – CCF files are retired for <u>all Announcements</u>** |
| All CCF corporate actions announcements files – including DIVANN, CMORT, REDEMA, REDEMC, REDEMG, REOGN2, REORGA, REORGC, REORGM – will be retired by **<u>December 2015.</u>** All Participants wishing to receive systemic announcements from DTC must be migrated to ISO 20022. |
| **2014-2015 - Redemption and Reorg phases begin** |
| The Redemption and Reorganization phases of CA Transformation will begin in 2014 and 2015, respectively. Retirement dates of PTS/PBS and CCF files for full lifecycle processing of these event groups will be announced in the near future. |

## Calendar of Events

Dates for the industry calls through the end of the year are as follows.  **Note that the date for the November call has changed as indicated below:**

| Date | Time |
|---|---|
| Monday, August 19 | 12-1pm EST |
| Wednesday, September 18 | 12-1pm EST |
| Wednesday, October 16 | 12-1pm EST |
| Thursday, November 21 | 12-1pm EST |
| Thursday, December 12 | 12-1pm EST |

## Registration Details

Registration details and agendas for the calls are sent monthly to individuals on the Corporate Actions Transformation distribution list. If you haven't already added your name to the list and would like to receive invitations for future calls, email CATransformation@dtcc.com.

*If you previously received an invitation for an industry call, your name is already on the distribution list.*

Registration is required for each monthly call.

## Resources

Business and technical information on the initiative (including overview webcasts of CA Web) are available on the Corporate Actions Transformation Learning Center: https://dtcclearning.com/learning/assetservices/products/corporate-actions-reengineering-new.html

A new series of self-paced webcasts and live Q&A sessions via webinar will be launched in the near future to discuss CA Web. Individuals on the Corporate Actions Transformation distribution list will receive registration details when they become available.

Questions regarding this notice may be directed to your Relationship Manager or our Client Account Representative Team (CART) at 212-855-4270.