



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	<b>7566</b>
<b>P&amp;S#:</b>	<b>7136</b>
<b>Date:</b>	<b>January 8, 2013</b>
<b>To:</b>	<b>All Participants</b>
<b>Attention:</b>	<b>Managing Partner/Officer, P&amp;S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants</b>
<b>From:</b>	<b>Enterprise Service Center</b>
<b>Subject:</b>	<b>MARTIN LUTHER KING, JR. DAY</b>

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Monday, January 21, 2013 in observance of Martin Luther King Jr. Day. The following changes in NSCC's operations and schedules will be in effect:

**COMPARISON SYSTEMS**

Trade input for OTC equities for trade date January 18, 2013 will be accepted no later than 12:00 Midnight on January 18, 2013. Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date January 18, 2013 will be accepted no later than 8:00pm on January 18, 2013.

**CNS CONTINUOUS NET SETTLEMENT**

Seg. Exemptions and Priority Requests for settlement Tuesday, January 22, 2013 will be accepted on Friday evening, January 18, 2013.

**CENTRAL DELIVERY AND SETTLEMENT**

No Delivery or Settlement Operations will be provided on Monday, January 21, 2013.

**INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Friday, January 18, 2013 for settlement Tuesday, January 22, 2013.

**STOCK BORROW PROGRAM**

Stock Borrow availability information for the Night Cycle will be accepted on Friday evening, January 18, 2013 for settlement Tuesday, January 22, 2013. The Daytime Stock Borrow for settlement Tuesday, January 22, 2013 will be accepted on Tuesday, January 22, 2013.

**ACAT - AUTOMATED CUSTOMER ACCOUNT TRANSFER**

No ACAT activity will be accepted on Monday, January 21, 2013.

**ACAT - FUND/SERV INTERFACE**

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

Non-Confidential

No ACAT - FUND/SERV Interface activity will be accepted on Monday, January 21, 2013.

**ACATS / IPS**

No ACATS/IPS Interface activity will be accepted on Monday, January 21, 2013.

**OBLIGATION WAREHOUSE**

The Obligation Warehouse (OW) will be closed for business on Monday January 21, 2013. Any submission after close of business Friday January 18, 2013 should be for the next business date of January 22, 2013.

**MUTUAL FUND COMMISSION SETTLEMENT**

No Mutual Fund Commission Settlement activity will be accepted on Monday, January 21, 2013

**FUND/SERV AND NETWORKING**

No Fund/SERV, Networking, or OMNI/SERV activity will be accepted on Monday, January 21, 2013.

**INSURANCE PROCESSING**

IPS files received on Monday, January 21, 2013 will be processed. **POV files will be transmitted on Monday, January 21, 2013** (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding all other files will be produced and distributed on Tuesday, January 22, 2013.

Any Questions regarding this Important Notice can be directed to NSCC Participant Services at (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti  
Manager  
Enterprise Service Center