



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	7639
<b>P&amp;S #:</b>	7209
<b>Date:</b>	05/24/2013
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ADREAN HINOJOSA, ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, May 21, 2013:**

Quasar Distributors, LLC/Artio Global Fund

No. 6675

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis, MF – Daily Price and Rate File, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, May 22, 2013:**

Foreside Fund Services, LLC/Aberdeen Investment Funds-Formally Known as  
Artio Global Fund

No. 6675

Networking Symbol:

9Z

Contact: Ben Moser

Phone: (215) 405-2440

- The following firm will retire from all services, as detailed below:

**Last Trade Date, May 31, 2013:**

Financial Advisers Of America, LLC

No. 6012

- The following firms will change their names only, as detailed below:

**First Trade Date, March 22, 2013:**

From: State Street Bank & Trust Co./Ibt-Account#2

No. 2767

To: State Street Bank & Trust Co./Blackrock Institutional Trust

No. 2767

**First Trade Date, March 28, 2013:**

From: WestLB Securities Inc.

No. 5177

To: Portigon Securities Inc.

No. 5177

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**First Trade Date, March 28, 2013:**

From: Financial Network Investment Corporation No. 0343  
To: Cetera Advisor Networks LLC No. 0343

**First Trade Date, March 28, 2013:**

From: Multi-Financial Securities Corporation No. 0466  
To: Cetera Advisors LLC No. 0466  
From: Multi-Financial Securities Corporation/Vestax Securities Corp No. 0444  
To: Cetera Advisors LLC/ Vestax Securities Corp No. 0444  
From: Multi-Financial Sec. Corp./IFG Network Sec., Inc. No. 0553  
To: Cetera Advisors LLC/ IFG Network Securities, Inc. No. 0553

- The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, June 3, 2013:**

Madison Investment Advisors, LLC/Madison Funds No. 4987  
J.P. Morgan Clearing Corp. No. 0352

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, May 28, 2013:**

SQN Capital Management, LLC No. 1089

- The following additional numbers have been assigned to Arbor Court Capital LLC (#7023) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:

**First Trade Date, May 31, 2013:**

Arbor Court Capital LLC/Elessar Funds Investment Trust No. 7931  
Networking Symbol: 3A  
Contact: Greg Getts  
Phone: (440) 922-0066 x101

**First Trade Date, May 31, 2013:**

Arbor Court Capital LLC/Endurance Series Trust No. 7932  
Networking Symbol: 3U  
Contact: Greg Getts  
Phone: (440) 922-0066 x101

**First Trade Date, May 31, 2013:**

Arbor Court Capital LLC/Clark Fork Trust No. 7934  
Networking Symbol: 6J  
Contact: Greg Getts  
Phone: (440) 922-0066 x101

- Please update the NSCC Directory dated May 2013 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Add First Trade Date, May 22, 2013</u></b> LPS Partners Inc.	SWST	0279	LPSP	

- Please update the NSCC Directory dated May 2013 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Clearing Broker Change Effective First Trade Date, May 28, 2013</u></b> From: RJL Capital Group, LLC To: RJL Capital Group, LLC	PFCL	0158	RJLC	
	IBFS	0824	RJLC	

**Delete Last Trade Date, May 23, 2013**

Wedbush Morgan Securities Inc.  
Link Brokers Derivatives Corporation  
Link Brokers Derivatives Corporation  
Link Brokers Derivatives Corporation

WEDB	0103	WMSW	
SILK	0501	LINX	
SILK	0501	LINQ	
SILK	0501	LINK	

**Delete Last Trade Date, May 24, 2013**

Lightspeed Trading, LLC

SHMR	0695	PFDK	
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**Add First Trade Date, May 22, 2013**

Dart Executions, LLC

APMA	0396	DRTL	
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- Please update the NSCC Directory dated May 2013 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Add First Trade Date, May 23, 2013</u></b> CV Brokerage Inc.	ICBK	0388	CVBR	

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).