

FUND/SERV FUNDS

| Name | No. | | |
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| ABN AMRO Investment Trust Company | 5493 | ALPS Distributors, Inc./CRM Funds | 6619 |
| Accrued Equities, Inc. | 5678 | ALPS Distributors, Inc./Cullen Funds | 5466 |
| ACS HR Solutions LLC | 7102 | ALPS Distributors, Inc./Cullen Funds plc | 3710 |
| Adirondack Funds | 6613 | ALPS Distributors, Inc./Fifth Third Funds | 5874 |
| ADP Inc./TPA | 7120 | ALPS Distributors, Inc./Financial Investors Variable Insurance Trust | 6609 |
| Advance Capital I, Inc. | 5860 | ALPS Distributors, Inc./FirstHand Funds | 4901 |
| Adviser Dealer Services, Inc. | 5706 | ALPS Distributors, Inc./FIT-ALPS Funds | 5904 |
| Advisor Central, LLC | 3900 | ALPS Distributors, Inc./Forward Funds | 5889 |
| Advisors Clearing Network, Inc. | 0359 | ALPS Distributors, Inc./GLG Partners | 5390 |
| AEGON/Transamerica Life Insurance Company | 4566 | ALPS Distributors, Inc./Grandeur Peak Funds | 3759 |
| AEGON/Western Reserve Life Assurance Company of Ohio | 4567 | ALPS Distributors, Inc./Heartland Funds | 5571 |
| AIG Life Insurance Company | 4537 | ALPS Distributors, Inc./Henssler Funds | 6550 |
| AIG Retirement Advisors, Inc. | 0542 | ALPS Distributors, Inc./Holland Balanced Fund | 6597 |
| AIG Retirement Advisors, Inc./Account 2 | 0681 | ALPS Distributors, Inc./Index IQ Trust | 6505 |
| Alliance Bernstein Investment Research and Investment Management, Inc. | 5515 | ALPS Distributors, Inc./Laudus Funds | 4903 |
| AllianceBernstein Investment Research and Investment Mgmt, Inc./Sanford C. Bernstein | 5553 | ALPS Distributors, Inc./MTB Funds | 5696 |
| AllianceBernstein Investment Research and Investment Mgmt., Inc./ACIT | 6584 | ALPS Distributors, Inc./Oak Funds | 5761 |
| AllianceBernstein Investment Research and Investment Mgmt., Inc./International Div. | 5809 | ALPS Distributors, Inc./Paxworld Funds | 4940 |
| Alliant Securities, Inc. Turner, Nord, Kienbaum | 8084 | ALPS Distributors, Inc./Redmont Funds | 3787 |
| Allianz Global Investors Distributors LLC | 5554 | ALPS Distributors, Inc./River North Funds | 6520 |
| Allianz Global Investors Distributors LLC/Allianz Global Investors Fund SICAV | 5609 | ALPS Distributors, Inc./State Street Institutional Investment Trust | 6516 |
| Allianz Global Investors Distributors LLC/College Access Plan | 5579 | ALPS Distributors, Inc./Stone Harbor | 5309 |
| Allianz Global Investors Distributors LLC/MI 529 Advisors Plan | 6768 | ALPS Distributors, Inc./Stonebridge Capital Management | 5806 |
| Allianz Global Investors Distributors LLC/Oklahoma Dream 529 | 5921 | ALPS Distributors, Inc./Brown Capital | 5521 |
| Allianz Global Investors Distributors LLC/Pimco Advisory Service | 5784 | ALPS Distributors, Inc./Tilson Funds | 5337 |
| Allianz Life Insurance Company of New York | 4524 | ALPS Distributors, Inc./Transparent Value Fund | 5314 |
| Allianz Life Insurance Company of North America | 4523 | ALPS Distributors, Inc./Vulcan Value Partners Fund | 5313 |
| Alpine Securities Corporation | 8072 | ALPS Distributors, Inc./WesMark Funds | 5944 |
| ALPS Distributors Inc./James Advantage Funds | 5613 | AM&M Financial Services, Inc. | 3920 |
| ALPS Distributors Inc./Whitebox Funds | 3763 | American Century Investment Services Inc./Kansas Postsecondary Savings Program | 5338 |
| ALPS Distributors, Inc. | 5642 | American Century Investment Services Inc./SICAV Funds | 3728 |
| ALPS Distributors, Inc./Ameristock Mutual Funds | 5455 | American Century Investment Services, Inc. | 5646 |
| ALPS Distributors, Inc./AQR Funds | 5922 | American Enterprise Investment Services Inc. | 0216 |
| ALPS Distributors, Inc./Aspen Funds | 3717 | American Enterprise Investment Services Inc./Beta/133 | 0756 |
| ALPS Distributors, Inc./BBH Funds | 5722 | American Funds Distributors, Inc. | 5504 |
| ALPS Distributors, Inc./BPV Funds | 3768 | American Funds Distributors, Inc. | 0754 |
| ALPS Distributors, Inc./Brown Capital | 3740 | American General Distributors, Inc. | 5718 |
| ALPS Distributors, Inc./Caldwell & Orkin | 5684 | American Growth Fund Sponsors Inc. | 5676 |
| ALPS Distributors, Inc./Cook & Bynum Funds | 5928 | American Israeli Shared Values Trust | 5310 |
| ALPS Distributors, Inc./Cortina Funds | 3762 | American National Insurance Company | 4569 |
| | | American United Life Insurance Company | 4591 |
| | | American United Life Insurance Company | 4746 |
| | | Ameritas Life Insurance Corp. | 4539 |
| | | Ancora Securities, Inc. | 4951 |
| | | Aquila Distributors, Inc. | 5552 |
| | | Ariel Distributors LLC | 5751 |
| | | Artisan Partners Distributors, LLC | 6653 |
| | | ASB Securities, LLC | 5581 |
| | | Associated Bank Green Bay | 2257 |

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| Avimore Funds | 6509 | BNY Mellon Distributors Inc./Consulting Group Capital Markets Funds | 5422 |
| Azzad Funds | 5662 | BNY Mellon Distributors Inc./E.I.I. Realty Securities Trust | 6579 |
| Baird (Robert W.) & Co., Incorporated | 0547 | BNY Mellon Distributors Inc./Fairholme Fund | 4870 |
| Banca IMI Securities Corp. | 0136 | BNY Mellon Distributors Inc./First Puerto Rico Family of Funds | 5547 |
| Bancorp Bank (The) | 4859 | BNY Mellon Distributors Inc./Fundvantage Trust | 5611 |
| Bancorp Bank (The)/Master Demand Account Advantage | 3606 | BNY Mellon Distributors Inc./Guidestone Funds | 5782 |
| Bank of America N.A. | 2236 | BNY Mellon Distributors Inc./High Mark Funds | 5820 |
| Bank of America N.A./ GWIM TRUST OPERATIONS | 0955 | BNY Mellon Distributors Inc./Highland Family of Funds | 5979 |
| The Bank of New York Mellon | 0901 | BNY Mellon Distributors Inc./Kalmar Pooled Investment Trust | 5716 |
| The Bank of New York Mellon/ Mellon Trust of New England, National Association | 0954 | BNY Mellon Distributors Inc./Marvin & Palmer Funds of the RBB Fund, Inc. | 6679 |
| Barclays Capital Inc. | 5340 | BNY Mellon Distributors Inc./Matson Family, Inc. Funds of the RBB Fund Inc. | 5947 |
| Barclays Capital Inc./Barclays Celsius Funds Ireland/Malta | 5375 | BNY Mellon Distributors Inc./Matthews International Funds DBA Matthews Asia Funds | 5724 |
| Barclays Capital, Inc. | 5101 | BNY Mellon Distributors Inc./Metropolitan West Funds | 6644 |
| Barclays Capital, Inc./LE | 0229 | BNY Mellon Distributors Inc./MGI Funds | 5725 |
| Baron Capital Inc. | 4862 | BNY Mellon Distributors Inc./Money Market Portfolio of the RBB Fund, Inc. | 5808 |
| Baum (George K.) & Co. | 0129 | BNY Mellon Distributors Inc./Old Westbury Funds, Inc. | 5651 |
| Beck (H.), Inc. | 0731 | BNY Mellon Distributors Inc./Perimeter Capital Management Funds of the RBB Fund, Inc. | 5416 |
| Benefit Trust Company | 0761 | BNY Mellon Distributors Inc./Primerica Financial Services | 0689 |
| Benefit Trust Company | 6647 | BNY Mellon Distributors Inc./Robeco Investment Funds of the RBB Fund, Inc. | 5800 |
| Bernstein (Sanford C.) & Co., Inc. | 0013 | BNY Mellon Distributors Inc./Schneider Capital Management Funds of the RBB Fund, Inc. | 5856 |
| Bertolet Capital Trust | 6701 | BNY Mellon Distributors Inc./Spirit of America Investment Fund, Inc. | 5362 |
| BHIL Distributors, Inc. | 6532 | BNY Mellon Distributors Inc./Sterling Capital Funds | 5648 |
| BHIL Distributors, Inc./Diamond Hill Funds | 4729 | BNY Mellon Distributors Inc./Stratton Family of Funds | 5448 |
| BHIL Distributors, Inc./Independent Franchise Partners US Franchise Equity Fund | 3754 | BNY Mellon Distributors Inc./The Industry Leaders Fund | 5654 |
| BHIL Distributors, Inc./Performance Funds | 5854 | BNY Mellon Distributors Inc./The Motley Fool Funds Trust | 6594 |
| BHIL Distributors, Inc./Praxis Funds | 5791 | BNY Mellon Distributors Inc./The Turray Funds | 6554 |
| Black (James I.) & Co. | 7031 | BNY Mellon Distributors Inc./BNYM I.S. Trust Co FBO Fundmanager Clients | 5914 |
| BlackRock Institutional Trust Company, N.A. | 4722 | BNY Mellon Distributors Inc./BNYM I.S. Trust Co FBO JJB Hilliard WL Lyonds Inc. | 0649 |
| BlackRock Investments, LLC | 5882 | BNY Mellon Distributors Inc./BNYM I.S. Trust Co FBO Managers Fund Wrap Program | 0657 |
| BlackRock Investments, LLC/BlackRock Global Funds | 4982 | BNY Mellon Distributors Inc./BNYM I.S. Trust Co FBO Morningstar Wrap Program | 0474 |
| BlackRock Investments, LLC/BlackRock Liquidity Funds | 5813 | BNY Mellon Distributors Inc./BNYM I.S. Trust Co FBO Health Savings Account | 0592 |
| BlackRock Investments, LLC/Bond Allocation Target Shares | 4868 | | |
| BlackRock Investments, LLC/ICS | 5123 | | |
| Blair (William) & Company, LLC | 0771 | | |
| Blair (William) & Company, LLC | 5538 | | |
| BMO Harris Bank NA/M&I Trust | 0992 | | |
| BNP Paribas Prime Brokerage, Inc. | 2154 | | |
| BNP Paribas Securities Corp. | 5551 | | |
| BNP Paribas Securities Corp. | 0049 | | |
| BNP Paribas Securities Corp./Henderson Horizon Funds | 5866 | | |
| BNP Paribas Securities Corp./Lion Global Investors | 3604 | | |
| BNY Mellon Distributors Inc. | 0440 | | |
| BNY Mellon Distributors Inc./Aston Funds | 5699 | | |
| BNY Mellon Distributors Inc./Bogle Investment Management Funds of the RBB Fund, Inc. | 5818 | | |

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| BNY Mellon Distributors Inc./Lincoln Advisors Trust | 3788 | Commonwealth Annuity and Life Insurance Company | 4521 |
| BNY Mellon Distributors Inc./Simple Alternatives Funds of The RBB Fund, Inc. | 5342 | Community Bank, N.A. | 5960 |
| BofA Distributors, Inc. | 5320 | Compass Bank | 2483 |
| Branch Banking and Trust Company | 5385 | Compass Bank/Asset Management Group | 2484 |
| Bread & Butter Fund, Inc. | 5358 | Concorde Funds, Inc. | 5669 |
| Brown Brothers Harriman & Co. | 0010 | Conestoga Funds | 4917 |
| Brown Brothers Harriman & Co. | 5930 | Cosse International Securities Inc. | 8153 |
| Brown Brothers Harriman & Co./BBH Collective Investment Series Trust | 5135 | Country Trust Bank | 2561 |
| Burnham Securities Inc. | 5583 | Credit Suisse Asset Management Securities, Inc. | 5735 |
| Cadaret, Grant & Co. Inc. | 0241 | Credit Suisse Asset Management Securities, Inc./Luxembourg Funds | 4994 |
| Calamos Financial Services LLC | 5835 | Croft Funds Corporation | 6640 |
| Calamos Financial Services LLC/Calamos Global Fund PLC | 6621 | Crowell, Weedon & Co. | 0574 |
| Caldwell Trust Company | 2687 | CUNA Brokerage Services | 0239 |
| Calvert Investment Distributors, Inc. | 5543 | Curian Clearing, LLC | 0300 |
| Capital Guardian Trust Company | 4873 | Daily Access Corporation | 7100 |
| Capital Guardian Trust Company/Capital International Funds | 5107 | Davenport & Company LLC | 0715 |
| Capital Guardian Trust Company/CGPCS | 5389 | Davidson (D.A.) & Co. Inc. | 0361 |
| Capital Investment Group, Inc. | 5664 | Davis Distributors, LLC | 5563 |
| Capital One Investment Services LLC/Chevy Chase Securities, Inc. | 0290 | Davis Distributors, LLC/Clipper Fund | 5965 |
| Capstone Asset Planning Co./Capstone Church Bond Fund | 5838 | Davis Distributors, LLC/Davis Fund Sicav | 4890 |
| Capstone Financial Services, Inc. | 5801 | Davis Distributors, LLC/Selected | 5850 |
| Cardinal Bank | 5996 | DBTC Americas-Private Bank | 2177 |
| Central Trust Bank | 2880 | DeAM Investor Services, Inc. | 5863 |
| Charles Schwab & Co. Inc./MF Clearing Services | 7471 | DeAM Investor Services, Inc./DWS Investment S.A. | 4974 |
| Charles Schwab & Co., Inc. | 5489 | Delaware Distributors L.P. | 5510 |
| Charles Schwab & Co., Inc. | 0164 | Delaware Distributors, L.P./Delaware Investments Global Funds, PLC | 6572 |
| Charles Schwab Bank | 5655 | Delaware Distributors, L.P./Optimum Funds | 6672 |
| Chevy Chase Trust Company | 4708 | Destra Capital Investments LLC | 3739 |
| CIBC World Markets Corp. | 0438 | Deutsche Bank Securities Inc. | 0573 |
| Citibank, N.A. | 0908 | DFA Securities LLC | 6684 |
| Citigroup Global Markets, Inc. | 0418 | Diamant Investment Corp. | 0344 |
| City National Bank | 2392 | Dodge & Cox Funds | 5483 |
| Clarity Fund, Inc. | 5386 | Driehaus Securities LLC | 6642 |
| Clearview Correspondent Services, LLC | 0702 | Driehaus Securities LLC / Driehaus Active Income Fund | 5923 |
| Cohen & Steers Securities LLC | 5780 | DSIL Investment Services, LLC | 6631 |
| Cohen & Steers Securities, LLC/Cohen & Steers SICAV Funds | 3600 | Dunham & Associates Investment Counsel, Inc. | 5675 |
| Columbia Management Investment Distributors, Inc. | 5501 | Dupree & Company, Inc. | 6618 |
| Columbia Management Investment Distributors, Inc./Columbia 529 Plans | 6512 | DWS Investments Distributors, Inc. | 5503 |
| Columbia Management Investment Distributors, Inc./Future Scholar | 5601 | DWS Investments Distributors Inc./Most 529 | 3721 |
| Columbia Management Investment Distributors, Inc./Trust Collective Funds | 4843 | DWS Investments Distributors, Inc./Deutsche Bank | 5459 |
| Comerica Bank | 2108 | Eagle Fund Distributors, Inc. | 5822 |
| Comerica Bank | 5878 | Eaton Vance Distributors, Inc. | 5509 |
| Commerz Markets LLC | 0126 | Eaton Vance Distributors, Inc./Eaton Vance International (Ireland) PLC | 5438 |
| | | Eaton Vance Distributors, Inc./Eaton Vance Trust Company | 5456 |
| | | Eaton Vance Distributors, Inc./Eaton Vance Variable Trust Funds | 4938 |
| | | Edmond de Rothschild Asset Management | 3718 |

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| Encompass Fund | 5397 | Foreside Distribution Services, L.P./IVA | |
| Essex National Securities, Inc. | 0254 | Fiduciary Trust | 4724 |
| E*Trade Clearing, LLC | 0385 | Foreside Distribution Services, L.P./IVA Global | |
| EULAV Securities LLC | 5827 | SICAV Fund | 5410 |
| Eaton Vance Distributors, Inc./Eaton Vance | | Foreside Distribution Services, L.P./Leader | |
| International (Caymen Islands) Funds LTD. | 5715 | Short Ten Bond Fund | 5804 |
| Fallen Angels Family of Funds | 6589 | Foreside Distribution Services, L.P./Old Mutual | |
| FBR Investment Services, Inc. | 5526 | Funds | 5750 |
| Federated Investors Corp. | 5529 | Foreside Distribution Services, L.P./Old Mutual | |
| Federated Securities Corp./Federated | | Global Funds PLC | 5940 |
| International Management Limited | 5759 | Foreside Distribution Services, L.P./Permal | |
| Fenimore Asset Management Trust | 6632 | Offshore Funds | 4888 |
| Fidelity Distributors Corporation/Fidelity | | Foreside Distribution Services, L.P./Ridgeworth | |
| International Limited | 5785 | Funds | 4920 |
| Fidelity Investments Institutional Services Co., | | Foreside Distribution Services, L.P./Signature | |
| Inc. | 5894 | Liquidity Fund, Ltd. | 6673 |
| Fiduciary Management Inc. | 6664 | Foreside Distribution Services, L.P./Skandia | |
| Fiduciary Trust Company International | 0937 | Global Funds PLC | 5826 |
| Fifth Third Bank (The) | 2116 | Foreside Distribution Services, L.P./SunTrust | |
| Financial Network Investment Corporation | 0343 | Bank Collective Trust Funds | 5562 |
| Financial West Group, Inc | 4713 | Foreside Distribution Services, L.P./The Boston | |
| First Clearing LLC | 0141 | Trust & Walden Funds | 6622 |
| First Clearing, LLC/Wachovia Securities | 0030 | Foreside Distribution Services, L.P./Union Bank | |
| First Dominion Capital Corporation | 5823 | of California N.A. Stable Val. Fund | 4695 |
| 1st Global Capital Corp. | 0296 | Foreside Distribution Services, L.P./USAllianz | |
| First Investors Corporation | 5545 | Mutual Funds | 6628 |
| First Investor's Corporation | 5146 | Foreside Distribution Services, L.P./Victory | |
| First National Bank of Omaha | 2254 | Funds | 5686 |
| First National Bank of Omaha/Nebraska | | Foreside Distribution Services, L.P./Victory | |
| Educational Savings Trust | 5371 | Institutional Funds | 5723 |
| First Pacific Mutual Fund, Inc. | 3745 | Foreside Fund Services, LLC | 5659 |
| First Southwest Company | 0309 | Foreside Fund Services, LLC/Nomura Partners | |
| First Tennessee Brokerage, Inc. | 0192 | Funds, Inc. | 5426 |
| First Trust Portfolios L.P./First Trust Series | | Foreside Fund Services, LLC/Dundee Wealth | |
| Funds | 5374 | Funds | 6549 |
| First Trust Portfolios, L.P. | 6575 | Foreside Fund Services, LLC/ Wright Investor | 5872 |
| First Trust Portfolios, L.P. | 8244 | Foreside Fund Services, LLC/Aberdeen Funds | 4899 |
| First Trust Portfolios, L.P./First Defined | | Foreside Fund Services, LLC/American Beacon | |
| Portfolios Fund LLC | 6603 | Funds | 5742 |
| FMSBonds, Inc. | 0061 | Foreside Fund Services, LLC/Bennett Group of | |
| Folio(fn) Investments, Inc. | 0728 | Funds | 5544 |
| Foreside Distribution Services, L.P./AmSouth | | Foreside Fund Services, LLC/Bridgeway Funds | 5485 |
| Funds | 5816 | Foreside Fund Services, LLC/Century Funds | 5597 |
| Foreside Distribution Services, L.P./Asset | | Foreside Fund Services, LLC/Del Rey Global | |
| Management Fund | 6641 | Investors Fund | 5134 |
| Foreside Distribution Services, L.P./Cavanal Hill | | Foreside Fund Services, LLC/Henderson Global | |
| Funds | 5807 | Funds | 5528 |
| Foreside Distribution Services, L.P./First State | | Foreside Fund Services, LLC/Liberty Street | |
| Trust Company | 4928 | Funds | 6767 |
| Foreside Distribution Services, L.P./Horizon | | Foreside Fund Services, LLC/Performance | |
| Global Advisors Umbrella Fund LLC | 5633 | Trust | 5324 |
| Foreside Distribution Services, L.P./HSBC | | Foreside Fund Services, LLC/PMC Funds | 5747 |
| Investor Funds | 5814 | Foreside Fund Services, LLC/Sound Shore | |
| Foreside Distribution Services, L.P./IMS Funds | 4995 | Funds | 5649 |
| Foreside Distribution Services, L.P./IRT Mutual | | Foreside Fund Services, LLC/Turner Funds | 5592 |
| Funds | 6614 | Foreside Fund Services, LLC/Wintergreen Fund | 5721 |

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| Forester Funds, Inc. | 4949 | Hartford Investment Financial Services Co./Upromise | 5892 |
| Foresters Equity Services, Inc. | 0149 | Hartford Investment Financial Services/Hartford Advantage Investments Ltd. | 4829 |
| Forside Distribution Services LP/Northern Trust Funds | 6633 | Hartford Life Insurance Company (The) | 4501 |
| 401K Investment Services, Inc./TPA | 7119 | Hartford Life Insurance Company/HLS Fund of Funds | 4681 |
| 401Kstore.com (The) | 7129 | Hartford Life Insurance Company/Mutual Fund | 4599 |
| FPA Fund Distributors, Inc. | 5851 | Hartford Securities Distribution Company, Inc. | 0765 |
| Frank Funds | 6671 | Hartford Securities Distribution Company, Inc./PRG | 5920 |
| Franklin/Templeton Distributors, Inc. | 5534 | Hazlett, Burt & Watson, Inc. | 0252 |
| Fred Alger & Co., Inc./Alger Sicav | 4950 | Hewitt Associates LLC | 7107 |
| Fred Alger & Company, Incorporated | 5594 | Homestead Funds, Inc. | 6553 |
| Frontegra Funds, Inc. | 5507 | HSBC Bank USA, N.A./IPB | 2122 |
| Frontier Trust Company | 2563 | HSBC Bank USA, National Association | 2165 |
| FSC Securities Corp. | 0169 | HSBC Securities (USA) Inc. | 0816 |
| FSC Securities Corporation/Advantage Capital Funds Distributor, LLC | 0191 | Huntington National Bank | 2305 |
| Funds Distributor, LLC/GMO Trust | 6680 | ICMA-RC Services, LLC | 0282 |
| Funds Distributor, LLC/MIRAE Asset Discovery Funds | 5322 | ICMA-RC Services, LLC | 4942 |
| Funds Distributor, LLC/Munder Funds | 5617 | Icon Distributors, Inc. | 5549 |
| Funds Distributor, LLC/Ashmore Funds | 5195 | IFC Holding, Inc./Invest Financial Corporation | 0566 |
| Funds Distributor, LLC/GMO U.S. Core Equity Series Fund | 3601 | ING Financial Partners, Inc./LSSI | 0465 |
| Gabelli Funds - (NFDS) | 5574 | ING Institutional Plan Services, LLC | 7108 |
| Gartmore Morley Capital Management, Inc. | 4811 | ING Investment Advisors, LLC | 0291 |
| GE Financial Trust Company | 5970 | ING Investment Advisors, LLC/TPA Accounts | 0591 |
| GE Investment Distributors, Inc. | 5679 | ING Investments Distributor, LLC | 5734 |
| Genworth Financial Securities Corporation | 0471 | ING Investments Distributor, LLC/ING IODC | 5859 |
| Genworth Life and Annuity Insurance Company | 4525 | ING Investments Distributor, LLC/ING Offshore | 5473 |
| Genworth Life and Annuity Insurance Company | 5752 | ING Life Insurance and Annuity Company/Fund Serv/Trading | 4575 |
| Genworth Life Insurance Company of New York | 4553 | ING National Trust Company | 2360 |
| Glenmede Trust Company, N.A. (The) | 2139 | ING USA Annuity and Life Insurance Company/Mutual Funds Trading | 4510 |
| Glickenhau & Co. | 5514 | Ingalls & Snyder, LLC | 0124 |
| Global Trust Company | 5915 | Institutional Investor Trust | 3709 |
| Global Trust Company/NTRS | 5357 | Integrity Funds Distributor, Inc. | 5657 |
| Global Trust Company/NRS | 5311 | Interactive Brokers, LLC/Retail Clearance | 0534 |
| Gold Coast Securities, Inc. | 4083 | International Strategy & Investment Group, Inc. | 5591 |
| Goldman, Sachs & Co. | 0005 | Invesco Distributors, Inc. | 5540 |
| Goldman Sachs & Co. | 5572 | Invesco Distributors, Inc./Fund Management Co. | 5450 |
| Goldman Sachs & Co./Commerce Funds | 5799 | Invesco Distributors, Inc./Offshore | 6592 |
| Goldman Sachs & Co./Goldman Sachs International Funds | 6570 | Invesco Distributors, Inc./AIM Global Advisors Limited | 4882 |
| Goldman Sachs Execution & Clearing, L.P. | 0501 | Investacorp, Inc. | 0138 |
| Guardian Insurance & Annuity Company, Inc./Fund Trading | 4637 | Investment Centers of America, Inc. | 0565 |
| Guardian Investor Services LLC | 5573 | Janney Montgomery Scott LLC | 0374 |
| GWFS Equities, Inc. | 0257 | Janus Distributors LLC | 5781 |
| GWFS Equities, Inc. | 5330 | Janus Distributors LLC/Janus Capital International Limited | 5467 |
| GWFS Equities, Inc./Orchard Trust Company LLC | 3702 | Jefferies & Co., Inc. | 0019 |
| Hand Benefits & Trust Company | 4866 | Jefferson National Life Insurance Company | 4573 |
| Hand Benefits & Trust Company | 5950 | J.J.B. Hilliard, W.L. Lyons, LLC | 0768 |
| Hantz Financial Services, Inc. | 0614 | John Hancock Funds, LLC | 5582 |
| Harbor Funds Distributors, Inc. | 5471 | | |
| Harris, N.A. | 2697 | | |
| Hartford Investment Financial Services Co. | 4856 | | |

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| John Hancock Life Insurance Company (USA) / Global Diversification | 4716 | Loring Ward Securities, Inc. | 5672 |
| John Hancock Life Insurance Company (USA) /Diversified Equity | 4714 | LPL Financial Corporation | 0075 |
| John Hancock Life Insurance Company (USA) /Fundamental Holdings | 4715 | M&I Distributors LLC/Marshall Funds | 6561 |
| John Hancock Life Insurance Company of New York/RPS SEG Funds & Accounting ET7 | 4705 | M&I Distributors, LLC | 5352 |
| Jones (Edward D.) & Co. | 0057 | Madison Investment Advisors, LLC | 6577 |
| JP Morgan Chase Bank/ADP Express Account | 0409 | Madison Investment Advisors, LLC/Members Mutual Fund | 4987 |
| JP Morgan Chase Bank/J.P. Morgan InstitutionalInvestments Inc. | 5978 | Madison Investment Advisors, LLC/Ultra Series Fund | 3765 |
| JPMorgan Chase Bank N.A./JPMorgan Chase Health Savings | 0915 | Mainsail Group, LLC | 5411 |
| JP Morgan Chase Bank, N.A./Schroder Investments | 6699 | Managers Investment Group, LLC | 5736 |
| JPMorgan Chase Bank N.A./Worldwide Securities Services Hewitt Accounts | 0204 | Manning & Napier Investor Services, Inc. | 5753 |
| JPMorgan Chase Bank/PCS Shared Services | 2255 | Manor Investment Funds, Inc. | 5709 |
| JPMorgan Chase Bank/TIAA-CREF | 2006 | Manufacturers & Traders Trust Company/TPA | 2128 |
| J.P. Morgan Clearing Corp. | 0352 | Marsco Investment Corporation | 0287 |
| JPMorgan Distribution Services, Inc. | 5599 | Massachusetts Mutual Life Insurance Co./Fund Operations | 4534 |
| J.P. Morgan Institutional Investments, Inc. | 4871 | Massachusetts Mutual Life Insurance Company | 4545 |
| JP Morgan Retirement Plan Services LLC | 7147 | Matrix Capital Group, Inc./Compass EMP Funds | 6607 |
| JPMorgan Chase Bank/PB-PCS Shared Services | 6652 | Matrix Capital Group, Inc./Delux Funds | 3748 |
| JPMorgan Distribution Services, Inc./JPM Off-Shore Funds | 5798 | Matrix Capital Group, Inc./Frank Funds | 5372 |
| J.P. Morgan Securities LLC | 5865 | Matrix Capital Group, Inc./Nest Egg Funds | 5694 |
| Keeley Investment Corp. | 5661 | Matix Capital Group, Inc./JAG Funds | 3603 |
| Key Bank N.A. | 5608 | Matrix Capital Group, Inc. | 5681 |
| KeyBanc Capital Markets Inc. | 0799 | Matrix Capital Group, Inc. / The Monteagle Funds | 6697 |
| Keybank National Association | 2205 | Matrix Capital Group, Inc./Amidex | 6606 |
| King (C.L.) & Associates, Inc. | 0743 | Matrix Capital Group, Inc./Catalyst Funds | 5527 |
| KMS Financial Services, Inc. | 4835 | Matrix Capital Group, Inc./Congressional Effect Fund | 5637 |
| Koonce Securities Inc. | 0712 | Matrix Capital Group, Inc./Pope Family of Funds | 5695 |
| Lazard Capital Markets LLC | 0308 | Matrix Capital Group, Inc./Shields & Company | 5843 |
| Lazard Freres & Co., LLC | 4850 | Matthew 25 Fund, Inc. | 6637 |
| Lazard Freres & Co., LLC/Lazard Global Active Funds (Offshore) | 5417 | MBSC Securities Corporation | 5523 |
| Legent Clearing LLC | 0052 | MBSC Securities Corporation/Mellon Bank N.A. (MCM) | 6657 |
| Legg Mason Investor Services, LLC | 5749 | MBSC Securities Corporation/Mellon Bank N.A. (SMAM) | 6538 |
| Legg Mason Investor Services, LLC/529 Plans | 5570 | MBSC Securities Corporation/Mellon Bank, NA (TBC) | 6598 |
| Legg Mason Investor Services, LLC/Citifunds | 5834 | MBSC Securities Corporation/Mellon Global Funds | 6615 |
| Legg Mason Investor Services, LLC/Legg Mason Collective Trust | 5630 | MBSC Securities Corporation/The Bank of New York Mellon | 5913 |
| Legg Mason Investor Services, LLC/Legg Mason Global Funds PLC | 5833 | Mercer Trust Company | 0347 |
| Lerner (David) Associates, Inc. | 5144 | Mercer Trust Company | 7103 |
| Lifetime Achievement Fund, Inc. | 6514 | Merrill Lynch, Pierce, Fenner & Smith Inc. | 0161 |
| Lincoln Investment Planning Inc. | 0145 | Merrill Lynch, Pierce, Fenner & Smith Incorporated/Fixed Income | 0773 |
| Lincoln Life & Annuity Company of New York | 4686 | Mesirow Financial, Inc. | 0727 |
| Lincoln National Life Insurance Company/II | 4685 | MetLife Securities, Inc. | 0484 |
| Longleaf Partners Funds | 4863 | Metlife Securities, Inc./Metlife Defined Contribution Group | 0597 |
| Loomis Sayles Trust Company, LLC | 3755 | Metlife Securities, Inc./TPA | 7131 |
| Lord Abbett Distributors | 5500 | Metropolitan Life Insurance Company | 5777 |

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| MFS Distributors Inc. | 5516 | Nicholas Company, Inc. | 5590 |
| MFS Heritage Trust Company | 6605 | Northeast Investors Growth Fund | 5821 |
| MFS International Funds | 5840 | Northeast Investors Trust | 5778 |
| M Fund Inc. | 5425 | Northeast Retirement Services, Inc | 7104 |
| MG Trust Company, LLC | 5954 | Northern Lights Distributors, LLC | 4915 |
| M Holdings Securities, Inc. | 0757 | Northern Lights Distributors, LLC/13D Activist Fund | 3796 |
| Mid Atlantic Capital Corp. | 0101 | Northern Lights Distributors, LLC/7Twelve Balanced Fund | 5604 |
| Mid Atlantic Capital Corp./Sungard-Hinsdale | 0102 | Northern Lights Distributors, LLC/Adaptive Allocation Fund | 6694 |
| Midas Securities Group, Inc. | 5890 | Northern Lights Distributors, LLC/AdvisorOne Funds | 4904 |
| Midland National Life Insurance Company | 4709 | Northern Lights Distributors, LLC/Altegris Mutual Funds | 5333 |
| Midland National Life Insurance Company/Sammons Retirement Solutions | 4758 | Northern Lights Distributors, LLC/Alternative Strategies Mutual Fund | 5946 |
| M.J. Whitman, LLC / Third Avenue Capital PLC | 5916 | Northern Lights Distributors, LLC/Altrius Small Cap Value Fund | 3742 |
| MML Investors Services, Inc. | 0255 | Northern Lights Distributors, LLC/Arrow Funds | 4824 |
| Monumental Life Insurance Company/Peoples | 4565 | Northern Lights Distributors, LLC/Ascendant Funds | 3743 |
| Morgan Stanley & Co. LLC | 0050 | Northern Lights Distributors, LLC/Astor Long/Short ETF Fund | 5400 |
| Morgan Stanley & Co. LLC | 5857 | Northern Lights Distributors, LLC/Autopilot Managed Growth Fund | 6506 |
| Morgan Stanley & Co. LLC/Advisor Funds | 4970 | Northern Lights Distributors, LLC/Avant Funds | 3713 |
| Morgan Stanley & Co. LLC/Dublin Liquidity | 6646 | Northern Lights Distributors, LLC/Bandon Isolated Alpha Fixed Income Fund | 5393 |
| Morgan Stanley & Co. LLC/Liquidity Funds | 5767 | Northern Lights Distributors, LLC/Beech Hill Total Return Fund | 5129 |
| Morgan Stanley & Co. LLC/Universal Institutional Inc | 5512 | Northern Lights Distributors, LLC/Biondo Fund | 5477 |
| Morgan Stanley Smith Barney LLC | 0015 | Northern Lights Distributors, LLC/Bishop Volatility Flex Fund | 5364 |
| Morgan, Keegan & Company, Inc. | 0780 | Northern Lights Distributors, LLC/Black Knight Funds | 6540 |
| M & T Securities, Inc. | 0040 | Northern Lights Distributors, LLC/Boyar Value Fund | 5796 |
| Multi Financial Securities Corporation | 0466 | Northern Lights Distributors, LLC/BTS Bond Asset Allocation Fund | 5401 |
| Multi-Financial Securities Corporation/Vestax Securities Corporation | 0444 | Northern Lights Distributors, LLC/Chadwick & D'Amato Fund | 5382 |
| Mundoval Funds | 4902 | Northern Lights Distributors, LLC/CIFG Funds | 5378 |
| National Financial Services Corporation | 0226 | Northern Lights Distributors, LLC/CMG Absolute Return Strategies Fund | 6541 |
| National Life Insurance Company of Vermont | 4555 | Northern Lights Distributors, LLC/Copeland Risk Managed Dividend Growth Fund | 5395 |
| National Planning Corp. | 0668 | Northern Lights Distributors, LLC/CWC Aggressive Value Small Cap Fund | 5379 |
| National Security Life and Annuity Co. | 4656 | Northern Lights Distributors, LLC/Diversified Risk Parity Fund | 5304 |
| Nationwide Fund Distributors, LLC | 6559 | Northern Lights Distributors, LLC/EAS Funds | 5701 |
| Nationwide Investment Services Corp. | 0263 | Northern Lights Distributors, LLC/Epiphany Funds | 6634 |
| Nationwide Securities, LLC | 0605 | Northern Lights Distributors, LLC/Foxhall Global Trends Fund | 5319 |
| Needham & Company, LLC | 5587 | | |
| Neiman Funds | 5738 | | |
| Nelnet Capital LLC/College Savings Group | 6583 | | |
| Nelnet Capital, LLC | 5880 | | |
| Neuberger & Berman Management, Inc. | 5810 | | |
| Neuberger & Berman Management, Inc./AMT Funds | 5665 | | |
| Neuberger Berman Management Inc./Lehman Brothers Alpha Funds | 6681 | | |
| Neuberger Berman Management Inc./Lehman Brothers Collective Trust | 6591 | | |
| Newedge USA LLC/Equity Clearing Division | 0608 | | |
| NFP Securities, Inc. | 0481 | | |
| NGAM Distribution, L.P. | 5542 | | |
| NGAM Distribution, L.P./Hansberger Funds | 5525 | | |
| NGAM Distribution, L.P./Loomis Sayles Funds | 5714 | | |
| NGAM Distribution, L.P./Natixis International funds (Dublin) I | 6678 | | |
| NGAM Distribution, L.P./Natixis International funds (LUX) I | 6677 | | |

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| Northern Lights Distributors, LLC/Generations | 5941 | Northern Lights Distributors, LLC/Rady Funds | 5402 |
| Northern Lights Distributors, LLC/Ginkgo Multi-Strategy Fund | 3744 | Northern Lights Distributors, LLC/Roge Partners Funds | 4933 |
| Northern Lights Distributors, LLC/Global Fusion Funds | 5685 | Northern Lights Distributors, LLC/SCA Funds | 5396 |
| Northern Lights Distributors, LLC/GMG Defensive Beta Fund | 5934 | Northern Lights Distributors, LLC/SFG Futures Strategy Fund | 3610 |
| Northern Lights Distributors, LLC/GPS Multiple Strategy Fund | 3707 | Northern Lights Distributors, LLC/Sierra Core Retirement Fund | 5628 |
| Northern Lights Distributors, LLC/Grant Park Managed Futures Strategy Fund | 5615 | Northern Lights Distributors, LLC/SouthernSun Funds | 6604 |
| Northern Lights Distributors, LLC/Gratio Value/S Fund | 5758 | Northern Lights Distributors, LLC/Tatro Capital Tactical Appreciation Fund | 3708 |
| Northern Lights Distributors, LLC/Hundredfold Funds | 3767 | Northern Lights Distributors, LLC/The Collar Fund | 5927 |
| Northern Lights Distributors, LLC/Innealta Funds | 3795 | Northern Lights Distributors, LLC/The Currency Strategies Fund | 6696 |
| Northern Lights Distributors, LLC/Investment Partners Opportunities Fund | 5414 | Northern Lights Distributors, LLC/The FX Strategy Fund | 3722 |
| Northern Lights Distributors, LLC/Iron Horse Fund | 3724 | Northern Lights Distributors, LLC/The Giralda Fund | 3716 |
| Northern Lights Distributors, LLC/JNF Portfolios | 3701 | Northern Lights Distributors, LLC/The Lacerte Guardian Fund | 5403 |
| Northern Lights Distributors, LLC/KCM Macro Trends Fund | 5041 | Northern Lights Distributors, LLC/The Multi-Strategy Growth & Income Fund | 3784 |
| Northern Lights Distributors, LLC/Ladenburg Thalmann Alternative Strategies Fund | 5344 | Northern Lights Distributors, LLC/The Santa Barbara Group of Funds | 4877 |
| Northern Lights Distributors, LLC/Makefield Managed Futures Strategy Fund | 3714 | Northern Lights Distributors, LLC/The Saratoga Advantage Trust | 4959 |
| Northern Lights Distributors, LLC/Mariner Hyman Beck Fund | 3794 | Northern Lights Distributors, LLC/Timothy Plan | 6518 |
| Northern Lights Distributors, LLC/Miller Convertible Funds | 5622 | Northern Lights Distributors, LLC/Toews Funds | 5906 |
| Northern Lights Distributors, LLC/MutualHedge Funds | 5130 | Northern Lights Distributors, LLC/TOPS Portfolios | 3711 |
| Northern Lights Distributors, LLC/Navigator Equity Hedged Fund | 5394 | Northern Lights Distributors, LLC/Tributary Funds | 5853 |
| Northern Lights Distributors, LLC/Nile Funds | 5359 | Northern Lights Distributors, LLC/Triex Fund | 3757 |
| Northern Lights Distributors, LLC/North Country Funds | 5433 | Northern Lights Distributors, LLC/Vertical Capital Income Fund | 3769 |
| Northern Lights Distributors, LLC/North Start Opportunity Fund | 3783 | Northern Lights Distributors, LLC/Wade Core Destination Fund | 5939 |
| Northern Lights Distributors, LLC/OCM Gold Fund | 6663 | Northern Lights Distributors, LLC/Winans Long/Short Fund | 4825 |
| Northern Lights Distributors, LLC/Pacific Financial Funds | 5644 | Northern Lights Distributors, LLC/Wintrust Capital Funds | 5740 |
| Northern Lights Distributors, LLC/Peregrine Gold Silver Alpha Strategy Fund | 3758 | Northern Lights Distributors, LLC/Zeo Strategic Income Fund | 3715 |
| Northern Lights Distributors, LLC/Power Income Fund | 5332 | Northern Lights Distributors, LLC/Two Oaks Fund | 3751 |
| Northern Lights Distributors, LLC/Princeton Futures Strategy Fund | 5381 | Northern Trust Company (The) | 2669 |
| Northern Lights Distributors, LLC/PSI Funds | 5335 | Northern Trust Company (The) | 6625 |
| Northern Lights Distributors, LLC/PTA Funds | 5924 | Northern Trust Company/Fixed Income Investment Fund, a Fund of the Chicago Equity Partners Group Trust | 3772 |
| Northern Lights Distributors, LLC/Puerto Rico Growth Fund | 6624 | Northern Trust Company/Great Banc Collective Investment Trust I | 6689 |
| Northern Lights Distributors, LLC/Quantitative Managed Futures Strategy Fund | 3756 | Northern Trust Company/Mondrian Wal-Mart Focused International Equity Fund | 4900 |
| | | Nuveen Securities, LLC | 5520 |

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| Nuveen Securities, LLC/Dublin Funds | 4828 | Princor Financial Services Corporation/Principal Funds | 5836 |
| NYLIFE Securities, LLC | 5539 | Princor Financial Services, Inc./M | 0175 |
| NYLIFE Securities, LLC/Collective Trust | 5787 | Professional Funds Distributor, LLC | 5151 |
| NYLife Securities, LLC/New York Life Insurance Company Group Annuity Contracts | 5363 | Professional Funds Distributor, LLC/PNC Funds | 5879 |
| Oakmark Funds (The) | 4851 | Professional Funds Distributor, LLC/Roxbury Funds | 6620 |
| Oberweis Securities, Inc. | 5697 | Professional Funds Distributor, LLC/Wilmington Funds | 5550 |
| Ohio National Life Insurance Company | 4526 | ProFunds Distributors, Inc. | 4969 |
| Olstein Associates, Inc. | 5775 | Prudential Insurance Company of America | 4968 |
| O.N. Equity Sales Company (The) | 0092 | Prudential Insurance Company of America/Prudential Insurance Co. of America | 4733 |
| OneAmerica Funds, Inc. | 5689 | Prudential Insurance Company of America/Prudential Ret. Insurance & Annuity Corp. | 6525 |
| Oppenheimer & Co., Inc. | 0571 | Prudential Insurance Company of America/Prudential Trust Company, Inc. | 6526 |
| Oppenheimer Trust Company | 0303 | Prudential Investment Management Services / American Skandia Marketing, Inc. | 6610 |
| OppenheimerFunds Distributor, Inc. | 5511 | Prudential Investment Management Services, LLC | 5805 |
| OppenheimerFunds Distributor, Inc./Mass Mutual Institutional Funds | 5844 | Prudential Investment Management Services, LLC | 0498 |
| OppenheimerFunds Distributor, Inc./New Mexico 529 Plan | 4941 | Prudential Investment Management Services, LLC/Retirement | 0450 |
| OppenheimerFunds Distributor, Inc./Oregon 529 Product | 5470 | Prudential Investment Management Services, LLC/Worldwide Inv. Portfolio Funds | 5746 |
| OptionsXpress, Inc. | 0338 | Putnam Retail Management Limited Partnership | 5505 |
| Pacific Global Fund, Inc. | 4860 | Putnam Retail Management Limited Partnership/Embassy Funds | 5893 |
| Pacific Select Distributors, Inc. | 5478 | PWMCO, LLC | 0467 |
| Paradigm Funds | 5476 | QS Investors, LLC | 3766 |
| Park Avenue Securities, LLC | 0496 | Quasar Distributors, LLC | 5368 |
| Parnassus Investments | 5783 | Quasar Distributors, LLC/Aberdeen Offshore Funds | 4957 |
| Payden & Rygel Distributors | 5535 | Quasar Distributors, LLC/Aberdeen SHY Funds | 6521 |
| Penn Mutual Life Insurance Company | 4572 | Quasar Distributors, LLC/Academy Fund Trust | 5612 |
| Penson Financial Services, Inc. | 0234 | Quasar Distributors, LLC/ActivePassive Funds | 5614 |
| Penson Financial Services, Inc./People's Securities Inc. | 0220 | Quasar Distributors, LLC/Aegis Funds | 6612 |
| Penson Financial Services, Inc./Ridge | 0158 | Quasar Distributors, LLC/Akre Funds | 5937 |
| Pershing LLC | 0443 | Quasar Distributors, LLC/AL Frank Fund | 4993 |
| PFS Funds | 6683 | Quasar Distributors, LLC/Alpha Capital Funds | 5365 |
| PHL Variable Life Insurance Company/Part Advisor | 4711 | Quasar Distributors, LLC/Alpine Funds | 5896 |
| PIMCO Investments LLC | 4991 | Quasar Distributors, LLC/America Trust Allegiance Fund | 6638 |
| PIMCO Investments LLC/Global Investor Series | 4836 | Quasar Distributors, LLC/Appleton Group Funds | 4935 |
| Pinnacle Investments, LLC | 4925 | Quasar Distributors, LLC/Artio Global Fund | 6675 |
| Pinnacle Investments, LLC/Tarkio Fund | 3609 | Quasar Distributors, LLC/Barrett Growth Fund | 5480 |
| Pioneer Investment Management USA Inc. | 5502 | Quasar Distributors, LLC/Boston Common Funds | 5350 |
| Pioneer Investment Management USA Inc./Pioneer Funds International | 5743 | Quasar Distributors, LLC/Brandes Dublin Offshore | 5911 |
| Piper Jaffray & Co. | 0311 | Quasar Distributors, LLC/Brandes Investment Trust | 5693 |
| Planmember Securities Corporation | 0489 | Quasar Distributors, LLC/Brandywine Funds | 4978 |
| PNC Bank, N.A. | 2616 | | |
| Popular Securities, Inc. | 5687 | | |
| Portfolio Brokerage Services Inc. | 8052 | | |
| Presidential Bank, FSB | 5987 | | |
| Prime Capital Services, Inc. | 0665 | | |
| PrimeVest Financial Services, Inc. | 0701 | | |
| Princor Financial Services | 0375 | | |
| Princor Financial Services Corporation | 5546 | | |
| Princor Financial Services Corporation /Principal Life Insurance Company | 5607 | | |
| Princor Financial Services Corporation/PGI | 5212 | | |

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| Quasar Distributors, LLC/Bridges Investment Fund | 5531 | Quasar Distributors, LLC/Investec Offshore Funds | 5755 |
| Quasar Distributors, LLC/Brookfield Investment Funds | 3734 | Quasar Distributors, LLC/IronBridge Funds | 5321 |
| Quasar Distributors, LLC/Brown Advisory Funds | 5437 | Quasar Distributors, LLC/Jacob Internet Fund | 4960 |
| Quasar Distributors, LLC/Buffalo Funds | 4931 | Quasar Distributors, LLC/Jensen Portfolio, Inc. | 5491 |
| Quasar Distributors, LLC/Capital Advisor Growth Fund | 5691 | Quasar Distributors, LLC/Jordan Opportunity Fund | 5917 |
| Quasar Distributors, LLC/Chase Growth Funds | 5626 | Quasar Distributors, LLC/Julius Baer Offshore Funds | 5698 |
| Quasar Distributors, LLC/Coldstream Dividend Growth Fund | 5327 | Quasar Distributors, LLC/Keystone Funds | 5848 |
| Quasar Distributors, LLC/Congress Asset Management | 5741 | Quasar Distributors, LLC/Kinetics Mutual funds | 6608 |
| Quasar Distributors, LLC/Contravisory Funds | 5797 | Quasar Distributors, LLC/Kirr Marbach Partners Fund, Inc. | 6685 |
| Quasar Distributors, LLC/Cookson Pierce Funds | 5421 | Quasar Distributors, LLC/Litman Gregory Funds | 4892 |
| Quasar Distributors, LLC/Country Funds | 4922 | Quasar Distributors, LLC/LKCM Funds | 4883 |
| Quasar Distributors, LLC/Cushing Funds | 5328 | Quasar Distributors, LLC/LoCorr Investment Trust | 5569 |
| Quasar Distributors, LLC/Davidson Funds | 5945 | Quasar Distributors, LLC/MainGate MLP Funds | 5366 |
| Quasar Distributors, LLC/Doubleline Funds | 5353 | Quasar Distributors, LLC/Mairs and Power Growth Fund | 6546 |
| Quasar Distributors, LLC/Dow Jones Islamic Index Fund | 5566 | Quasar Distributors, LLC/Mariner Funds | 5406 |
| Quasar Distributors, LLC/DSM Funds | 5952 | Quasar Distributors, LLC/Marketfield Funds | 6529 |
| Quasar Distributors, LLC/Duncan Hurst Aggressive Growth | 6567 | Quasar Distributors, LLC/Matrix Advisors Value Fund, Inc. | 4908 |
| Quasar Distributors, LLC/Edgar Lomas Fund | 5727 | Quasar Distributors, LLC/MD Sass Funds | 5918 |
| Quasar Distributors, LLC/Empiric Funds | 5561 | Quasar Distributors, LLC/Monetta Funds | 5766 |
| Quasar Distributors, LLC/Evermore Value Trust | 5407 | Quasar Distributors, LLC/Morgan Dempsey Funds | 5354 |
| Quasar Distributors, LLC/Fort Pitt Capital Funds | 5887 | Quasar Distributors, LLC/Muhlenkamp Fund | 4986 |
| Quasar Distributors, LLC/Frontegra Small Cap Core Fund | 3736 | Quasar Distributors, LLC/Mutual.com | 5847 |
| Quasar Distributors, LLC/Fund * X Upgrader Fund | 5666 | Quasar Distributors, LLC/New Covenant Funds | 6536 |
| Quasar Distributors, LLC/Geneva Advisors All Cap Growth Fund | 6527 | Quasar Distributors, LLC/New Path Funds | 3770 |
| Quasar Distributors, LLC/Genworth Funds | 5864 | Quasar Distributors, LLC/Newgate Capital Funds | 4727 |
| Quasar Distributors, LLC/Genworth VIT Funds | 5519 | Quasar Distributors, LLC/Niemann Fund | 5317 |
| Quasar Distributors, LLC/Gerstein Fisher Funds | 5938 | Quasar Distributors, LLC/Nuance Funds | 5369 |
| Quasar Distributors, LLC/Glenmede Fund, Inc. | 4955 | Quasar Distributors, LLC/Orinda Long Short Equity Fund | 5931 |
| Quasar Distributors, LLC/Goodhaven Fund | 3700 | Quasar Distributors, LLC/O'Shaughnessy Funds | 5318 |
| Quasar Distributors, LLC/GPS Funds I | 5495 | Quasar Distributors, LLC/Osterweis Fund | 4840 |
| Quasar Distributors, LLC/GPS Funds II | 5977 | Quasar Distributors, LLC/Perkins Funds | 5440 |
| Quasar Distributors, LLC/Greenspring Fund | 6513 | Quasar Distributors, LLC/Permanent Portfolio | 6627 |
| Quasar Distributors, LLC/Guinness Atkinson Funds | 5754 | Quasar Distributors, LLC/Perritt Funds | 5602 |
| Quasar Distributors, LLC/Harding Loevner Funds, Inc. | 4981 | Quasar Distributors, LLC/Phocus Funds | 6547 |
| Quasar Distributors, LLC/Hatteras Funds | 5449 | Quasar Distributors, LLC/Pictet Funds | 5793 |
| Quasar Distributors, LLC/Hennessy Mutual Funds, Inc. | 4869 | Quasar Distributors, LLC/PineBridge Funds | 5616 |
| Quasar Distributors, LLC/Hodges Fund | 5792 | Quasar Distributors, LLC/Poplar Forest Partners Fund | 5404 |
| Quasar Distributors, LLC/Hotchkis & Wiley Funds | 4984 | Quasar Distributors, LLC/Portfolio 21 | 4929 |
| Quasar Distributors, LLC/Huber Capital Funds | 6530 | Quasar Distributors, LLC/Primary Trend Fund | 5858 |
| Quasar Distributors, LLC/Intrepid Capital Funds | 4815 | Quasar Distributors, LLC/PRIMECAP Odyssey Funds | 4895 |
| Quasar Distributors, LLC/Investec Global Strategy Funds | 5057 | Quasar Distributors, LLC/Prospector Funds | 5901 |
| | | Quasar Distributors, LLC/Purisma Funds | 6643 |
| | | Quasar Distributors, LLC/Quaker Investment Trust | 4885 |

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| Quasar Distributors, LLC/Rainier Funds | 4876 | Rafferty Capital Markets, LLC / Sparrow Funds | 5908 |
| Quasar Distributors, LLC/RBC Funds Trust | 5413 | Raymond James & Associates, Inc. | 0725 |
| Quasar Distributors, LLC/Rochdale Funds | 4990 | RBC Capital Markets Corporation | 0235 |
| Quasar Distributors, LLC/Rockland Trust | 5355 | RBS Securities Inc./Equities | 0425 |
| Quasar Distributors, LLC/Scharf Investments | 3771 | Reich & Tang Distributors, Inc. | 5811 |
| Quasar Distributors, LLC/Schooner Growth & Income Fund | 4723 | Reliance Trust Company | 5962 |
| Quasar Distributors, LLC/Selector Management Fund | 5729 | Reliance Trust Company | 4896 |
| Quasar Distributors, LLC/Selkirk Funds | 3738 | Reliance Trust Company/MetLife Stable Value Fund | 5312 |
| Quasar Distributors, LLC/Smead Value Fund | 6686 | Reliance Trust Company/SWMS1 | 2042 |
| Quasar Distributors, LLC/Standard Insurance | 3719 | Reliance Trust Company/SWMS2 | 2085 |
| Quasar Distributors, LLC/Stephens Funds | 5744 | Reliastar Life Insurance Company of New York | 4653 |
| Quasar Distributors, LLC/Strategic Income Management Funds | 5905 | Reliastar Life Insurance Company/Life & Annuity Product Investment Trading | 4652 |
| Quasar Distributors, LLC/TCM Small Cap Growth Fund | 4898 | Reliastar Life Insurance Company/Reliastar New York | 4606 |
| Quasar Distributors, LLC/Teberg Fund | 4916 | Reliastar Life Insurance Company/Reliastar Retirement Plans | 4603 |
| Quasar Distributors, LLC/The Snow Capital Family of Funds | 4976 | Renaissance Capital Greenwich Funds | 5454 |
| Quasar Distributors, LLC/Thomas White Funds | 6636 | Reynolds Funds, Inc. | 6573 |
| Quasar Distributors, LLC/Thompson Plumb Funds | 5557 | RFS Partners | 4989 |
| Quasar Distributors, LLC/Thunderstorm Value Fund | 4857 | Richards, Merrill & Peterson, Inc. | 8192 |
| Quasar Distributors, LLC/Tiedemann Funds | 5731 | Ridge Clearing & Outsourcing Solutions, Inc. | 0543 |
| Quasar Distributors, LLC/Tortoise Core Infrastructure Fund | 5168 | Robeco Trust Company | 5325 |
| Quasar Distributors, LLC/Villere Balanced Fund | 4886 | Robert W. Baird & Co. | 4905 |
| Quasar Distributors, LLC/Wall Street Fund | 6626 | Robinson & Lukens, Inc. | 7607 |
| Quasar Distributors, LLC/WBI Funds | 5326 | Rowe (T.) Price Investment Services, Inc. | 5586 |
| Quasar Distributors, LLC/Winslow Green Funds | 6687 | Royce Fund Services Inc. | 5663 |
| Quasar Distributors, LLC/WisCap Funds | 6674 | Ruane, Cunniff & Goldbarb LLC | 3726 |
| Quasar Distributors, LLC/WY Funds | 4874 | Russell Financial Services, Inc. | 5762 |
| Quasar Distributors, LLC/Yackman Fund | 5774 | Russell Trust Company | 4832 |
| Queens Road Securities, LLC | 4884 | Russell Trust Company | 5964 |
| Rafferty Capital Markets, LLC | 5726 | Rydex Distributors LLC | 4912 |
| Rafferty Capital Markets, LLC/Acadia Mutual Funds | 5387 | Sammons Securities Company, LLC/Sammons Financial Network LLC | 3608 |
| Rafferty Capital Markets, LLC/Archer Funds | 5124 | Saturna Brokerage Services, Inc. | 4983 |
| Rafferty Capital Markets, LLC/Berkshire Funds | 5899 | Schroder Fund Advisors LLC | 4956 |
| Rafferty Capital Markets, LLC/Chou America Mutual Fund | 5323 | Schroder Fund Advisors LLC/Schroder Fund Advisors International Division | 4853 |
| Rafferty Capital Markets, LLC/Entrepreneur Shares | 5367 | Scottrade, Inc. | 0705 |
| Rafferty Capital Markets, LLC/Leuthold Funds | 5656 | Security Benefit Life Insurance Company | 4527 |
| Rafferty Capital Markets, LLC/Marketocracy Funds | 6682 | Security Life of Denver/Mutual Funds | 4668 |
| Rafferty Capital Markets, LLC/New Frontiers KC India Fund | 3704 | SEI Investments Distribution Co./Advisors Inner Circle Fund | 5763 |
| Rafferty Capital Markets, LLC/Oracle Funds | 5131 | SEI Investments Distribution Co./CRA Funds | 5748 |
| Rafferty Capital Markets, LLC/PIP New Generation Fund | 3723 | SEI Investments Distribution Co./Causeway Capital Management Trust | 4913 |
| Rafferty Capital Markets, LLC/Ranger Funds Investment Trust | 3775 | SEI Investments Distribution Co./CNI Charter Funds | 6667 |
| Rafferty Capital Markets, LLC / Satuit Funds | 5867 | SEI Investments Distribution Co./CNI Charter Funds II | 5559 |
| | | SEI Investments Distribution Co./First Hawaiian Fund | 5606 |
| | | SEI Investments Distribution Co./Hancock Horizon Family of Funds | 6691 |

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| SEI Investments Distribution Co./SEI Institutional Funds | 5717 | State Street Bank & Trust Co/Lincoln Retirement Services | 3752 |
| SEI Investments Distribution Co./TD Asset Management USA Funds Inc. | 6539 | State Street Bank & Trust Company/NRS/Intel | 5197 |
| SEI Investments Distribution Co./UA S&P 500 Index Fund | 4973 | State Street Global Markets, LLC | 5641 |
| SEI Investments Distribution Co./Wilshire Funds | 4875 | State Street Global Markets, LLC/State Street Collective Fund | 6511 |
| SEI Private Trust Company | 2039 | State Street/ACS/ConAgra Foods | 5100 |
| SEI Private Trust Company/C/O/ GWP | 2663 | State Trust Capital, LLC | 6576 |
| SEI Trust Company | 4952 | Stephens, Inc. | 0419 |
| Sentinel Financial Services Company | 5873 | Sterne, Agee & Leach, Inc. | 0750 |
| SG Americas Securities, LLC | 0286 | Stifel, Nicolaus & Company Incorporated | 0793 |
| SIA Securities Corp. | 5779 | Stockcross Financial Services, Inc. | 0445 |
| SII Investments | 0640 | Stoever, Glass & Co., Inc. | 6759 |
| Smith Hayes Financial Services Corp./Colorado Bondshares | 5708 | SunAmerica Capital Services, Inc. | 5596 |
| Smith Hayes Financial Services Corporation | 5575 | SunAmerica Capital Services, Inc./Valic | 5560 |
| Smith, Moore & Co. | 0494 | SunTrust Bank - DTC #2971 | 2971 |
| Southwest Securities, Inc. | 0279 | SunTrust Bank/401K Mutual Funds Settlement | 2810 |
| STAAR Investment Trust | 6736 | SunTrust Robinson Humphrey Inc | 2482 |
| Standard Insurance Company | 4701 | Sweney, Cartwright & Company | 7027 |
| State Farm VP Management Corp. | 4971 | Symetra Investment Services, Inc. | 0427 |
| State Street /ACH HR Solutions LLC/Ford Motor Company | 2524 | Symetra Life Insurance Company | 5161 |
| State Street Bank & Trust Co./ACS HR Solutions/Rio Tinto | 5329 | Syndicated Capital, Inc. | 4881 |
| State Street Bank & Trust Co./FBO Qualified Plans | 5165 | TCW Investment Management Company | 6563 |
| State Street Bank & Trust Co./ACS HR Solutions, LLC/DTCC | 5966 | TD Ameritrade Clearing, Inc. | 0188 |
| State Street Bank & Trust Co./ACS/Glaxosmithkline | 5623 | TD Ameritrade Trust Company | 5982 |
| State Street Bank & Trust Co./ADP-Morgan Stanley | 5991 | TD Ameritrade Trust Company | 6566 |
| State Street Bank & Trust Co./AXA Equitable Life Insurance Company | 0228 | Teachers Advisors, Inc. | 5668 |
| State Street Bank & Trust Co./IBT | 4946 | Teachers Advisors, Inc./Life Funds | 5392 |
| State Street Bank & Trust Co./Inst'l Custody | 2132 | Templeton Sicav Funds/Franklin/Templeton Distributors Inc. | 5690 |
| State Street Bank & Trust Co./JP Morgan Retirement Plan Services | 0130 | Thornburg Securities Corporation | 5541 |
| State Street Bank & Trust Co./JP Morgan Retirement Plan Services/Deluxe Cp | 5435 | Thrivent Mutual Funds | 5106 |
| State Street Bank & Trust Co./JP Morgan Retirement Plan Services/Hallmark Cards Inc. | 2547 | Tocqueville Securities L.P. | 5862 |
| State Street Bank & Trust Co./Mainstay | 0487 | Touchstone Securities Inc. | 5711 |
| State Street Bank & Trust Co./NYLIM/SIP | 5953 | Tower Square Securities, Inc. | 0354 |
| State Street Bank & Trust Co./Sanford Bernstein Alliance Capital | 6519 | TradeStation Securities, Inc. | 0271 |
| State Street Bank & Trust Co./T. Rowe Price Retirement Plan Services | 5968 | Transamerica Advisors Life Insurance Company | 4728 |
| State Street Bank & Trust Co./Wealth Management Services 2 | 2955 | Transamerica Advisors Life Insurance Company of New York | 4638 |
| State Street Bank & Trust Co./Wealth Manager Services | 6503 | Transamerica Capital, Inc. | 5580 |
| State Street Bank & Trust Co./JP Morgan Retirement Plan Services/Tower Perrin | 5111 | Transamerica Capital, Inc./Diversified Funds | 6739 |
| | | Transamerica Capital, Inc./Series Trust Funds | 6738 |
| | | Transamerica Financial Advisors, Inc. | 0285 |
| | | Transamerica Financial Life Insurance Company | 4561 |
| | | T. Rowe Price Investment Services, Inc./SICAV Funds SICAV | 4911 |
| | | T. Rowe Price Investment Services Inc./ T.Rowe Price Trust Company | 5907 |
| | | T. Rowe Price Retirement Plan Services, Inc. | 7117 |
| | | Trust Company of America | 5981 |
| | | Tweedy, Browne Company LLC | 5488 |
| | | UBS Financial Services Inc. | 0221 |
| | | UBS Global Asset Management (US), Inc./Puerto Rico Fund | 5593 |

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| UBS Global Asset Management/Group Trust Funds | 5603 | UMB Distribution Services, LLC/UMB Scout Funds | 4854 |
| UBS Global Asset Management (US) Inc. | 5795 | UMB Distribution Services, LLC/Wasatch Advisors Fund Inc. | 5773 |
| UBS Global Asset Management (US), Inc./Offshore Funds | 6510 | UMB Distribution Services, LLC/Westport Funds | 5598 |
| UBS Securities LLC | 0642 | UMB Distribution Services LLC/Vericimetry | 3761 |
| Ultimus Fund Distributors, LLC/Alphamark Investment Trust | 5653 | UMB Distribution Services, LLC/Commonwealth International Series Trust | 4878 |
| Ultimus Fund Distributors, LLC/Berwyn Funds | 5605 | UMB Fund Services LLC/Giant 5 Funds | 6534 |
| Ultimus Fund Distributors, LLC/CM Advisers Family of Funds | 5730 | Unified Financial Securities, Inc. | 4965 |
| Ultimus Fund Distributors, LLC/Davenport & Company | 5567 | Unified Financial Securities, Inc./LS Opportunity Fund | 5380 |
| Ultimus Fund Distributors, LLC/Flippin Bruce & Porter Funds | 5733 | Unified Financial Securities, Inc./1492 Funds | 3797 |
| Ultimus Fund Distributors, LLC/Gardner Lewis Investment Trust | 5888 | Unified Financial Securities, Inc./API Trust Fund | 4972 |
| Ultimus Fund Distributors, LLC/Government Street Funds | 5732 | Unified Financial Securities, Inc./Appleseed Fund | 5286 |
| Ultimus Fund Distributors, LLC/Hussman Strategic Growth Fund | 5479 | Unified Financial Securities, Inc./Auer Growth Funds | 5290 |
| Ultimus Fund Distributors, LLC/Jamestown Funds | 5490 | Unified Financial Securities, Inc./Becker Value Funds | 5291 |
| Ultimus Fund Distributors, LLC/NCM Capital Investment Trust | 5409 | Unified Financial Securities, Inc./Cloud Capital Funds | 3729 |
| Ultimus Fund Distributors, LLC/Papp Investment Trust | 5114 | Unified Financial Securities, Inc./Crawford Funds | 5296 |
| Ultimus Fund Distributors, LLC/Piedmont Investment Trust | 6595 | Unified Financial Securities, Inc./Dean Family of Funds | 4927 |
| Ultimus Fund Distributors, LLC/PMFM Investment Trust | 6692 | Unified Financial Securities, Inc./Dreman Fund | 5418 |
| Ultimus Fund Distributors, LLC/Profit Funds Investment Trust | 6593 | Unified Financial Securities, Inc./Eastern Point Advisors Funds Trust | 4975 |
| Ultimus Fund Distributors, LLC/Schwartz Investment Trust | 5830 | Unified Financial Securities, Inc./FBO Alta Trust | 5287 |
| Ultimus Fund Distributors, LLC/Stralem Funds | 5639 | Unified Financial Securities, Inc./FBO Roosevelt Funds | 4725 |
| Ultimus Fund Distributors, LLC/TFS Capital Investment Trust | 5430 | Unified Financial Securities, Inc./Geier Funds | 5294 |
| Ultimus Fund Distributors, LLC/The GKM Funds | 5558 | Unified Financial Securities, Inc./Golub Group | 5306 |
| UMB Bank, N.A. | 2450 | Unified Financial Securities, Inc./Granite Funds | 3790 |
| UMB Bank N.A./UMB Scout Brokerage Services | 5988 | Unified Financial Securities, Inc./Green Owl Funds | 3774 |
| UMB Distribution Services, LLC/Alerian MLP Funds | 5116 | Unified Financial Securities, Inc./Hirtle Callaghan Trust | 6574 |
| UMB Distribution Services, LLC/Comerica Collective Investment Funds | 5943 | Unified Financial Securities, Inc./Huntington Fund | 5832 |
| UMB Distribution Services, LLC/Grand DS/Investment Managers Series Trust | 4985 | Unified Financial Securities, Inc./Huntington National Bank | 3712 |
| UMB Distribution Services, LLC/Green Century Funds | 6676 | Unified Financial Securities, Inc./Iron Funds | 5301 |
| UMB Distribution Services, LLC/Lotsoff Capital Management Micro Cap Fund | 5841 | Unified Financial Securities, Inc./Jones Villata Opportunity Fund | 5295 |
| UMB Distribution Services, LLC/Marsico Investment Funds | 5728 | Unified Financial Securities, Inc./Leeb Focus Funds | 5302 |
| UMB Distribution Services, LLC/Stewart Capital Advisors | 6599 | Unified Financial Securities, Inc./Longview Family of Funds | 3731 |
| | | Unified Financial Securities, Inc./Marathon Funds | 5303 |
| | | Unified Financial Securities, Inc./Mirzam Funds | 5305 |
| | | Unified Financial Securities, Inc./Symons Funds | 5307 |
| | | Unified Financial Securities, Inc./TEAM Financial | 5408 |
| | | Unified Financial Securities, Inc./Toreador | 5308 |

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| Funds | | | |
| Unified Financial Securities, Inc./TVAM Funds | 3793 | Wilmington Trust Company/Wilmington Trust Retirement & Institutional Services Company | 0068 |
| Unified Financial Securities, Inc./Angel Oak Family of Funds | 5147 | Wilson-Davis & Co., Inc. | 0283 |
| Unified Trust Company, National Association | 5983 | Woodbury Financial Services, Inc. | 0510 |
| Union Bank and Trust Company Nebraska | 2067 | World Group Securities, Inc. | 0729 |
| Union Bank N.A. | 2145 | WorldCommodity Funds, Inc. | 3727 |
| Upromise Investments, Inc. | 5704 | WRP Investments, Inc. | 4312 |
| Upromise Investments, Inc./Phoenix Platform Account | 5670 | YieldQuest Securities, LLC | 5548 |
| USAA Investment Management Co. | 0367 | Ziegler (B.C.) & Company | 0753 |
| USAA Investment Management Company | 5935 | Zions First National Bank | 2104 |
| U.S. Bancorp Investments Inc. | 0280 | | |
| U.S. Bank N.A. | 2803 | | |
| U.S. Boston Capital Corp. | 4919 | | |
| U.S. Global Investors Funds | 5442 | | |
| Uvest Financial Services Group, Inc. | 0603 | | |
| Van Eck Sec Corp/VIP Trust | 4977 | | |
| Van Eck Securities Corporation | 5524 | | |
| Vanguard Fiduciary Trust Company | 5972 | | |
| Vanguard Marketing Corp./Vanguard Investments Series PLC Funds | 4996 | | |
| Vanguard Marketing Corporation | 0062 | | |
| Vanguard Marketing Corporation | 5772 | | |
| Vertical Management Systems, Inc./TPA | 7122 | | |
| Vest (H.D.) Investment Securities, Inc. | 0268 | | |
| Vision Financial Markets LLC | 0595 | | |
| VP Distributors, Inc. | 5517 | | |
| VP Distributors, Inc./VVIT Series | 3764 | | |
| Waddell & Reed, Inc. | 4879 | | |
| Waddell & Reed, Inc. | 0687 | | |
| Wall Street Advisor Services, LLC | 0626 | | |
| Wallace R. Weitz & Company | 5769 | | |
| Walnut Street Securities | 0533 | | |
| Walthausen & Co LLC | 5595 | | |
| Wedbush Securities Inc. | 0103 | | |
| Wegener Investment Trust | 5361 | | |
| Wellington Trust Company, N.A. | 6517 | | |
| Wells Fargo Bank N.A./Wells Fargo Collective Funds | 5186 | | |
| Wells Fargo Bank, N.A. | 6766 | | |
| Wells Fargo Bank, N.A./Wachovia | 0929 | | |
| Wells Fargo Bank, NA TR Recordkeeping Group | 2195 | | |
| Wells Fargo Bank, National Association | 2027 | | |
| Wells Fargo Funds Distributor, LLC | 5576 | | |
| Wells Fargo Funds Distributor, LLC/Wells Fargo(Lux) Worldwide | 5936 | | |
| Wells Fargo Securities, LLC/Wells Fargo Securities Safekeeping | 0025 | | |
| Westchester Capital Management, LLC | 6601 | | |
| Weston Securities Corporation | 5737 | | |
| Whitman (M.J.), LLC | 5829 | | |
| Wilmington Trust Company | 2215 | | |
| Wilmington Trust Company | 4894 | | |