

## AutoRoute Q & A for Deriv/SERV Users

### ***What is AutoRoute?***

AutoRoute is DTCC's proprietary file output management subsystem. It enables DTCC to send each participant the output products they have requested over our SMART network, securely and reliably.

Files are sent from AutoRoute using an automated batch process that requires minimal set-up, and it runs over the same SMART connection many Deriv/SERV participants already use for messaging. AutoRoute supports both FTP and NDM file transfer protocols, the choice of which is made by the participant.

AutoRoute files are not encrypted, but the security of the SMART network and the use of a recipient-issued password for each transmission ensure that only your firm will receive your file. DTCC actively monitors and addresses any failed transmissions.

And since AutoRoute contains an archive, it can re-transmit a file up to sixteen calendar days after the initial transmission. This eliminates the need for other recovery devices.

Each AutoRoute output product has a specific layout and content, and is identified by a unique *Product Number* in addition to a name. Products are generally available in both test and production versions. AutoRoute files from Deriv/SERV are in CSV format.

### ***What are the requirements to receive files by AutoRoute?***

- A DTCC SMART network connection
- A Deriv/SERV participant number
- The name and AutoRoute *product number* of the report you wish to receive.
- An AutoRoute **Destination ID**, which ensures the secure delivery of AutoRoute products to your location; to obtain an AutoRoute Destination ID, please contact the Deriv/SERV Implementation team. Once the Destination ID is assigned, DTCC's Participant Interface Planning team, PIP, will guide you through the set up process.

### ***How do I sign up to receive an AutoRoute product?***

An **AutoRoute Update Request** form must be submitted to the Deriv/SERV Implementation team. This one-page document needs to be completed with participant contact information, participant number, effective date, AutoRoute product number and your Destination ID.

The Implementation team will enter the request in our system and you will be contacted by the Enterprise Service Center to complete the set up. Each output product needs to be set up separately, ensuring that your system knows exactly what report is arriving each time a transmission is sent. The file will be written to your system with a name designated by you, the recipient.

### ***What if I need more information or want to get started with AutoRoute?***

For technical and configuration questions about AutoRoute, please contact DTCC's Participant Interface Planning hotline at 1-888-382-2721, option 1, option 3.

For information about the Deriv/SERV reports available via AutoRoute please see the Deriv/SERV website, or contact your Relationship Manager.

To request an AutoRoute Destination ID or product, please contact the Deriv/SERV Implementation team at: [derivserv\\_implementation@dtcc.com](mailto:derivserv_implementation@dtcc.com).

