



# Insurance Services e-news

AUGUST 2007

## New Insurance Services Website

Have you seen our new look? Be sure to visit the Insurance section of our new website at <http://www.dtcc.com/products/insurance/>. In the coming months we will expand our online Customer Center to include additional information on membership (including forms), technical support, training programs and an online frequently-asked-questions page, which will leverage information from our customer call center.

## New FAR Standard Usage Working Group

DTCC will be kicking off a working group to address FAR standard usage as it relates to performance tracking and gain/loss reporting. Initial meetings will be limited to distributors to create a unified recommendation that will be presented to the carriers at a later date. The working group kick-off conference call is scheduled for August 6th at 2:00 pm ET. Please contact Jamie Taylor for more information at [jtaylor@dtcc.com](mailto:jtaylor@dtcc.com) or call 636.244.0973.

## L&A Access

DTCC is launching a project to develop a stand-alone, on-line request and confirmation tool for its Licensing and Appointment service. This web-enabled tool is being developed in an effort to provide distributors with an option to utilize the L&A service without systems programming. L&A Access will provide distributors with the capability to enter requests to carriers for producer appointments, terminations, address changes, and identification number changes as well as license updates, and will also offer confirmation reporting. Business requirements are now being validated with interested parties, and development will commence shortly. For more information, please contact Cory Stark at [cstark@dtcc.com](mailto:cstark@dtcc.com) or call 212-855-1683.

## DTCC eLearning

DTCC Learning provides access to Web-based electronic training courses to build users' skills and increase their knowledge of selected DTCC subsidiaries' products and services. The structure of the courses provides a set of building blocks, which allow the learner to start with the basics and advance to more complex topics and problem resolution case studies. The following insurance courses are currently available:

- ACATS / IPS
- IPS Commissions
- IPS Financial Activity Reporting
- IPS Licensing and Appointments
- IPS Positions and Valuations
- IPS Applications & Subsequent Premiums

Please refer to our [eLearning website](#) for more information.

## Upcoming Events

August 21-23: DTCC will be presenting at the Investigo Partners Forum. (Attendees: Steven Acosta, Briana Moskovic)

## Replacements Working Group (Update)

DTCC Replacement Processing will facilitate carrier-to-carrier replacement automation for qualified and non-qualified transfers of annuity and life insurance assets between insurance carriers. This process will include request/response data exchange, money settlement processing between insurance carriers, case status reporting to distributors, and the attachment of electronic documents to the request message.

The Replacements working group has resumed activity. Pilot firm meetings will begin in the 4th quarter of 2007. Firms that are interested in participating during the pilot phase should contact Cory Stark at [cstark@dtcc.com](mailto:cstark@dtcc.com) or call 212.855.1683. The projected launch date for the Replacements product is the 3rd quarter of 2008.

## Fund Transfers (Update)

Fund Transfers will help to automate and centralize the annuity fund transfer process by creating a real-time request and response transaction service. This service aims to create an efficient way for distributors to control and report on rep-initiated fund transfer transactions in compliance with regulatory requirements.

Fund Transfers pilot testing is on schedule for September, and production for this project is planned for November. If you have any questions related to the Fund Transfers product or working group please contact Craig Gurien at [cgurien@dtcc.com](mailto:cgurien@dtcc.com) or call 212.855.5649.

## 22c-2 (Update)

The July 17th 22c-2 Informational Session was an overwhelming success, with over 100 participants joining the conference call. As requested by the attendees, DTCC will host a follow-up call with extended time dedicated to a question/answer session. We will be collecting questions over the next several weeks to be reviewed during the call. The meeting is scheduled for August 23rd from 2:00 - 3:30pm ET. If you would like to submit questions for discussion, please send them to Jeanann Smith at [jsmith@dtcc.com](mailto:jsmith@dtcc.com) or call 212.855.3333.

## September Enhancement Release (Update)

The test phase will begin on August 16, 2007. This release will impact the Positions and Values (POV) and Initial Applications/Subsequent Premiums (APP/SUB) products. This enhancement release supports the standards recommended by the NAVA Data Conformity Group on standardizing Service Feature and Rider Classification.

## Coming Soon

Be on the lookout for details of our New Customer Service Number and Insurance Services' 18-month Product Development Plan.



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