

# DTCC Insurance & Retirement Services

E-NEWS • August 2010

## Update on Analytics Reporting Advisory Board

The Analytics Reporting project advisory panel meeting was held on July 20th. The meeting went very well and facilitated a lot of good discussion regarding the online application we're building and how it will meet customers' needs. The focus of the meeting was the use and display of data in the application, and the amount of detail that should be presented. As a follow-up to the meeting, notes have been distributed to the advisory panel members requesting additional feedback on certain topics. Adam Bryan, DTCC managing director and general manager, Insurance & Retirement Services (I&RS), will be sending a letter to all panel members with more information about the meeting and next steps.

## Payment Exchange Working Group

As an alternative to the current money settlement process, the goal of this project is to allow DTCC to obtain funds directly from clients in conjunction with I&RS existing Application (APP) service. This will allow DTCC to further support firms such as independent broker-dealers (IBDs) who may not hold their funds in brokerage accounts. Payment Exchange will provide a solution for these firms and their clients to source money and bring it into settlement, so the issuing carrier will receive the new application and money as part of their regular NSCC settlement process. If you would like to learn more about Payment Exchange, please contact your relationship manager.

## September Enhancement Release

The enhancement release will go into production on Thursday, September 23, 2010. The products that will be included are APP/SUB (Initial Application and Sub Premium), FAR (Financial Activity Reporting), LNA (Licensing and Appointments), IFT (In-Force Transactions), and POV (Positions and Valuations). Please contact your relationship manager if you have any questions regarding the upcoming enhancement release.

## IFT Access

As part of the September release, the spreadsheet upload capability in IFT Access for BIN and REP transactions is being enhanced. Additional error reporting is being added to help firms identify issues so transactions can be corrected and resubmitted successfully. The codes provided are the same as those used for the batch file process, and are now included in the IFT Activity Template. If you would like to learn more about IFT Access, please contact your relationship manager.

## ACORD and DTCC Record Layouts

ACORD and DTCC announced that the record layouts of DTCC's standard fixed file formats offered by their I&RS business will now be

included in the ACORD Life, Annuity and Health standard. This move is intended to foster further adoption of industry-wide standards for data exchange between insurance carriers and distributors. DTCC I&RS is very pleased that having them now a part of the ACORD library further defines them not as a proprietary entity, but truly as a recognized industry standard.

## Standards for Success: New ACORD Video Features DTCC

*Standards for Success* is a series of video profiles produced by ACORD showing how ACORD members are using the standards to achieve measurable success. DTCC I&RS was recently featured in one such video, highlighting DTCC I&RS' work over the past decade to help to centralize, standardize and automate a very paper-intensive industry. Click [here](#) to view the video on the ACORD web site.

## Insurance Quarterly

Our 2010 summer issue of IQ is now available for you to view. Please click [here](#) to visit our website to stay updated with I&RS' latest initiatives.

## Stay Connected with I&RS

We continue to look for ways to keep our customers and the industry connected to news and information related to our business. If you are a Twitter user, please be sure to follow us at [www.twitter.com/dtccinsurance](http://www.twitter.com/dtccinsurance). We also have created a LinkedIn group that can be found [here](#). Simply request to join the group. We thank you for your continued support.

## New Clients for July/August 2010

Frontier Trust – IBD 6531

Advanced Advisor Group – IBD 6015

Hightower Securities – IBD 6017

Hightower LLC- RIA 6018

AXA Equitable Life Insurance Company/Equivest – Carrier 4742

## Upcoming Events We Are Attending

Senior Advisory Board Meeting: 9/14 -9/15

2010 EBIX Exchange BDC: 9/29 – 10/1

CSC Executive Roundtable: 9/28

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