

# DTCC Insurance & Retirement Services

E-NEWS • August 2011

## Life Insurance Working Group

We have recently kicked off a working group to discuss and review our I&RS products specifically in supporting the life insurance product line. The group's goal will be to have a number of standard usage documents and best practice processes in place to share with the user community in early 2012. The product scope of the working group will include Permanent Life (Term, Whole Life, Universal Life, Variable Universal Life, and Index Life); Traditional Long Term Care (LTC); and Disability. The group will also look at the reporting needs of the Linked LTC benefit/riders. Please contact Jeanann Smith at [jsmith@dtcc.com](mailto:jsmith@dtcc.com) or your relationship manager if you or someone at your firm is interested in participating on this working group.

## September 2011 Enhancement Release

The enhancement release will go into production on Thursday, September 22, 2011. The products that will be included are APP/ SUB (Initial Application and Sub Premium), COM, (Commissions), FAR (Financial Activity Reporting), LNA (Licensing and Appointments), and POV (Positions and Valuations). Please contact your relationship manager if you have any questions regarding the upcoming enhancement release.

## Wave 2 of the Customer Service 2011 Satisfaction Survey

It is that time of year again for the Customer Satisfaction Survey! Thank you to all of those who participated in Wave 1. We strongly urge those of you who receive the survey to complete it, as this is an important mechanism for DTCC to measure our success and/or uncover areas for improvement. Your input is very valuable as we strive to continually meet your needs.

## NAIC Producer Training Support

In September, Insurance and Retirement Services will introduce new LNA functionality to support training completions from carriers to their distributors, and will offer a downloadable training completion report to distributors through the Access platform. In addition, plans are underway to create a centralized messaging platform to support point of sale training authorizations. For more information regarding DTCC's multi phased initiative for producer training, please contact your relationship manager or Lana Macumber at (515) 462-3488 or [lmacumber@dtcc.com](mailto:lmacumber@dtcc.com).

## UPDATE: Analytic Reporting for Annuities

Analytic Reporting for Annuities is the powerful new online application offered by Insurance & Retirement Services that delivers anytime, anywhere access to your firm's sales information and relationships.

The first service of its kind from DTCC, Analytic Reporting for Annuities uses data from the actual transactions your firm submits to DTCC by aggregating the daily transactions in the Financial Activity Reporting (FAR) file. Launched in June, we are continuing to roll out this application to customers with a series of industry demos scheduled throughout September. We are happy to answer any questions you might have about this service. If you would like to attend one of the scheduled demos in September, or if you would like a firm-specific demo of this service, please contact your relationship manager. For additional information on this service, we have also added a new page to our [web site](#).

## UPDATE: RECONFIRMATION OF OFAC PROGRAM

NSCC published Important Notice #a7236 on June 29, 2011 to alert NSCC Members of their expiring OFAC certification letter on file and to announce the new electronic re-certification process to confirm their OFAC Program. It should be noted that the new OFAC Confirmation application that will be used to perform the confirmation will be launched at the end of August 2011 and the entitlement for the new application will not be available until that time. As such, you do not have to take any actions until after implementation in late August. As stated in the above-referenced Important Notice, NSCC will notify Members and Limited Members of their expiring OFAC Confirmation letter approximately 90 days prior to the expiration date. Any questions regarding this program should be directed to your Relationship Manager.

## Upcoming Events

- **VERTEX Client Conference and VTXml User Group Invitation**  
Boston, MA - September 28th - 29th, 2011
- **Techmate UserGroup Conference**  
Denver, CO - September 29-30, 2011
- **2011 IRI Annual Conference**  
Boston, MA - October 2-4, 2011
- **2011 RIIA Annual Conference**  
Boston, MA - October 3-4, 2011
- **2011 SILA Annual Conference**  
Austin, TX - October 2-5, 2011
- **EbixExchange Expo 2011**  
Las Vegas, NV - October 4-7, 2011
- **2011 LOMA Emerging Technology Conference**  
Ft. Lauderdale, FL - October 6-7, 2011

For more information on the services listed in e-news please be sure to visit our website at: <http://www.dtcc.com/products/insurance>

*We may provide you with additional information about our products and services from time to time. If at any time you wish to be removed from our distribution list, please send an e-mail to [privacyoffice@dtcc.com](mailto:privacyoffice@dtcc.com).*



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