



Insurance Services e-news

DECEMBER 2007



HAPPY HOLIDAYS FROM INSURANCE SERVICES

The Insurance Services team at DTCC wishes you a VERY happy, healthy and safe holiday season.

We are eagerly looking forward to what lies ahead in 2008.

Now On-line: Solution Provider Directory

Solution providers are an integral part of insurance carrier, broker/dealer, and IPS straight-through-processing initiatives. As a solution source for the industry, Insurance Services at DTCC partners with many service bureaus and vendors that provide solutions to facilitate the use of our services. Now on our website ([click here](#)), we list Solution Providers that have delivered a value-add solution to one or more of our participants.

Within the Solution Provider Directory, you will find a description of the services the company offers, a link to their website, a contact name if you have additional questions and the IPS products each company supports. While we remain a vendor-neutral organization, please know that the companies listed have been validated by an existing Insurance Service's participant(s). If you have any questions about the Directory, please contact Steven Acosta at 212.855.5652 or sacosta@dtcc.com.

Now On-line: Industry Associations List

On the Insurance Services homepage we have an updated listing of the industry associations of which we are members and other organizations with which we maintain an active relationship. Please be sure to check out the listing or [click here](#) to view now.

Insurance Services Website Reminder

Please be sure to use the Insurance Services section of the new DTCC.com website as a reference tool. The site is being updated frequently with new content to better service our customers. Insurance Services can be found at the following URL: www.dtcc.com/products/insurance.

Upcoming Holiday Processing Reminders

Christmas Day: Christmas Day, Tuesday, December 25th is a NYSE holiday; DTCC will be CLOSED for business. All edits will be accepted and processed. No output will be produced.

New Years Day: New Years Day, Tuesday January 1st is a NYSE holiday; DTCC will be CLOSED for business. All edits will be accepted and processed. No output will be produced.

Now On-line: Standard Usage Documentation for Retirement Income

We are pleased to inform you that as part of the on-going effort to improve standard usage, the Retirement Income working group has developed standard usage guidelines. The group has documented a number of examples, which can now be found on-line in the Positions and Valuations, Standard Usage Documentation section of our participant's website. ([click here](#)).

The DTCC Retirement Income working group was formed at the request of the SIFMA/NAVA Retirement Income Task Force to provide standardization for the reporting of contracts related to retirement income including immediate annuities and annuities in the payout phase.

Milestone Reached: Fund Transfers

Fund Transfers, the newest addition to DTCC's family of Insurance Services products, began integrated pilot testing on October 8th. This new solution, much anticipated by the industry, provides automated processing of a fund reallocation within a variable insurance product, a function that addresses regulators' concerns about business practices in broker-initiated transfers of funds.

P.I.E.R. Reporting

Coming in 2008, Insurance Services will launch a reporting tool that will allow participants to retrieve predefined reports illustrating monthly statistics for their own IPS product usage as well as comparisons to other DTCC participants utilizing the various IPS services. Members will be able to retrieve their reports from our DTCC WebDirect platform. Please contact your relationship manager for more information.

Coming Soon: L&A AccessSM

Insurance Services is enhancing its Licensing & Appointments (L&A) service with the addition of a new, stand-alone tool that will allow distributors easy, front-end access to submit requests and receive confirmations regarding their producers. The new capability, called L&A AccessSM, is part of Insurance Services' efforts to serve a broader range of the insurance industry's rapidly expanding distribution network. Pilot testing is scheduled to begin in the first quarter of 2008 with full implementation early in the second quarter.