

THE DEPOSITORY TRUST & CLEARING CORPORATION

LNA ACCESS

DTCC's Insurance & Retirement Services (I&RS) offers a suite of streamlined processing and compliance-driven solutions for carriers and their distribution partners through a secure, centralized infrastructure.

**NEW IN
SEPTEMBER 2011,
LNA ACCESS
OFFERS A FEATURE
THAT HELPS
MANAGE NAIC
SUITABILITY IN
ANNUITIES MODEL
REGULATION
FOR PRODUCER
TRAINING.**

OVERVIEW

LNA Access® is a non-integrated / standalone online data entry request and confirmation reporting tool that allows distributors to enter required data for appointment requests and appointment terminations, producer terminations, address changes, producer ID changes and license confirmations. LNA Access includes formatted reports for transaction confirmations and is available through the DTCC WebDirect platform.

NEW IN SEPTEMBER 2011

LNA Access now offers distributors the ability to download a readable report of producer training completions information from their carriers and training vendors. This new feature helps manage NAIC Suitability in Annuities model regulation for producer training.

WHO CAN USE THE SERVICE?

Participating I&RS distribution members who are seeking to leverage a web-based front end to electronically communicate producer maintenance transactions with their insurance carrier trading partner(s). Full Service and Data Services Only (DSO) members of NSCC are also eligible.

BENEFITS:

- Mitigate the potential for unauthorized sales by reconciling distributors' and carriers' records for licenses, appointments and training completions
- Decrease operating costs by reducing redundant manual processes and eliminating paperwork, faxes and overnight mail.
- Reduce the lead time for obtaining licensing and appointment authorizations, allowing agents to begin selling the same day, depending on various rules and regulations.
- Use the service with no development or startup costs.
- Support straight-through processing, giving participants the means to manage their producers from start to finish without manual intervention in many state jurisdictions.
- Standardize method of data entry, reporting and electronic communication.
- Secure confidential data through DTCC's Securely Managed and Reliable Technology (SMART) network.

FOR MORE INFORMATION

Please contact us at 1-888-382-2721. E-mail us at insurance@dtcc.com or visit us on the web at <http://www.dtcc.com/products/insurance/>.

DTCC

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