



Fund/SERV®	<p><b>Membership Fee:</b> \$50.00 per month</p> <p><b>Transaction Fee:</b> \$0.06 per transaction</p>
Networking	<p><b>Membership Fee:</b> \$200.00 per month</p> <p><b>Activity Fee:</b></p> <ul style="list-style-type: none"> <li><b>All types except for Networking Omnibus Activity/Position/529 Aggregation Files (Omni/SERV®):</b> \$0.10 per 100 records (\$0.001 per record)</li> <li><b>Networking Omnibus Activity/Position/529 Aggregation Files (Omni/SERV®):</b> \$1,500.00 monthly file fee for omnibus file transmissions</li> </ul>
<p>DTCC Payment aXis®</p> <p><b>Commission and Fee Settlement</b></p> <p><b>Invoicing and Fee Settlement</b></p>	<p><b>Membership Fee:</b> \$50.00 per month</p> <p><b>Processing Fees:</b> \$50.00 monthly minimum</p> <ul style="list-style-type: none"> <li>From 1 to 500,000 records, \$0.30 per hundred records</li> <li>From 500,001 to 1,000,000 records, \$0.20 per hundred records</li> <li>More than 1,000,000 records, \$0.10 per hundred records</li> </ul> <p><b>Membership Fee:</b> \$500.00 per month</p> <p><b>Processing (Excluding Detail Records) Fees:</b></p> <ul style="list-style-type: none"> <li>From 1 to 500,000 records, \$0.10 per 1 record</li> <li>From 500,001 to 1,000,000 records, \$0.08 per 1 record</li> <li>More than 1,000,000 records, \$0.06 per 1 record</li> </ul> <p><b>Detail Record Fees:</b></p> <ul style="list-style-type: none"> <li>From 1 to 500,000 records, \$0.30 per hundred records</li> <li>From 500,001 to 1,000,000 records, \$0.20 per hundred records</li> <li>More than 1,000,000 records, \$0.10 per hundred records</li> </ul>
Mutual Fund Profile Service	<p><b>Phase I (Price and Rate) Only:</b> \$325.00 per month  <b>Phase I and II:</b> \$1,250.00 per month*</p> <p>* Fund subscribers of Profile II with 1-25 Security Issue IDs will receive a \$1,000 credit per month against the base \$1,250 fee, thus the net fee for small fund families will be \$250 per month.</p>
MF Info Xchange	<p><b>Tier 1:</b> \$1,500.00 per month for Fund Members with greater than 25 Security Issue IDs on Fund/SERV, and all users other than Fund members.</p> <p><b>Tier 2:</b> \$250.00 per month for Fund Members with 25 or fewer Security Issue IDs on Fund/SERV.</p>