DTCC Important Notice National Securities Clearing Corporation - OTC

OTC #:	190
DATE:	OCTOBER 2, 2014
TO:	ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION:	OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM:	UNDERWRITING

SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE.THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	J2836L109	JBKHF	JOBAN KAIHATSU CO., LTD. ORDINARY SHARES (JAPAN)	10/02/2014
ADD ***	J69446102	SROIF	SAPPORO CLINICAL LABORATORY, INC. ORDINARY SHARES (JAPAN)	10/02/2014
ADD ***	Q6813N105	NNMTF	NINE ENTERTAINMENT CO. HOLDINGS LTD ORD SHS (AUSTRALIA)	10/02/2014
ADD ***	W53033150	KGDSF	KUNGSLEDEN AB RGTS 2014-8.10.14 SHS KUNGSLEDEN I14 (SWEDEN)	10/02/2014
ADD ***	X672A0108	PWRFF	POWERFLUTE OYJ ORDINARY SHARES (FINLAND)	10/02/2014
12K SEC REINSTATEMENT	001236207	AGRT	AGR TOOLS INC COMMON STOCK	10/02/2014
ADD	00191R208	AUMAU	AR CAPITAL ACQUISITION CORP UNITS	10/02/2014
12K SEC REINSTATEMENT	039209101	KADR	ARCADIA RESOURCES INC	10/02/2014
ADD	13089P101	CALA	CALITHERA BIOSCIENCES INC COMMON STOCK	10/02/2014
12K SEC REINSTATEMENT	17461R106	CTZN	CITIZENS FIRST BANCORP INC	10/02/2014

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12K SEC REINSTATEMENT	31942R205	FCPN	FIRST CAPITAL INTERNATIONAL, INC. NEW COMMON STOCK	10/02/2014
12K SEC REINSTATEMENT	37369U100	GEPC	GEPCO, LTD. COMMON STOCK	10/02/2014
ADD	39013L106	GBSN	GREAT BASIN SCIENTIFIC INC COMMON STOCK	10/02/2014
12K SEC REINSTATEMENT	39576T108	GRTK	GREENTEK CORP. COMMON STOCK	10/02/2014
12K SEC REINSTATEMENT	59101V105	MTBR	METABOLIC RESEARCH, INC. COMMON STOCK	10/02/2014
ADD	90273L856	UBQZF	UBS AG LONDON BRH CALL WTS LKD TO EURO STOXX 50 EXP 09/29/17	10/02/2014
ADD	91843L103	VWR	VWR CORPORATION COMMON STOCK	10/02/2014

DELETE

DELETE	G21490209 CISAA	CIS ACQUISITION LTD CLASS A SHARES	10/02/2014
DELETE	G21490506 CISAU	CIS ACQUISITION LTD UNIT 1 SHS SER A & 1 WT EXP 12/18/17	10/02/2014
DELETE	193068202 CWTRQ	COLDWATER CREEK INC COM STK NEW (DE)	10/01/2014
DELETE	41587A201 HARR	HARRY & DAVID HOLDINGS INC COMMON STOCK	10/02/2014
DELETE	58449L100 MDCI	MEDICAL ACTION INDS INC	10/02/2014
DELETE	730864204 PTEK	POKERTEK INC COM STK	10/02/2014

PORTAL DELETE

DELETE	48124F486 JPPMZ	JPMORGAN CHASE BK NA LONDON BRH 10/02/2014 IND 144A 3C7 WTS EXP 4-6-16
DELETE	48125D456 JPZTZ	JPMORGAN CHASE BK NA LDN IND LOW 10/02/2014 EXERC PR WT UNILV LTD 144A

SYMBOL CHANGES

05575Y109	MOBS TO: SCDA	B-SCADA INC COM STK (DE)	10/02/2014
27888C103		ECO-PETROLEUM SOLUTIONS, INC. COMMON STOCK	10/02/2014
42016R302		HAWKEYE GOLD & DIAMOND, INC. ORDINARY SHARES	10/02/2014
62912R107	NGPC TO: OHAI	NGP CAPITAL RESOURCES COMPANY	10/02/2014

REORGANIZATION

DELETE	G0R24A103 ALNXF	ALENT PLC ORDINARY SHS(UNITED KINGDOM)
ADD ***	G0R24A111 ALNXF	ALENT PLC ORDINARY SHARES 10/02/2014 (UNITED KINGDOM)
DELETE	J10626109 DINRF	DAINIPPON SCREEN MFG CO LTD
ADD ***	J6988U106 DINRF	SCREEN HLDGS CO LTD ORD ORDINARY 10/02/2014

Non-Confidential

SHARES

DELETE ADD	00167X106 AMCBY 00167X205 AMEZY	AMEC PLC SPONS ADR (UK) AMEC PLC ORD SPONS ADR UNITED 10/02/2014 KINGDOM (GB)
DELETE	15505Q308 CRSKF	CENTRAL RESOURCES CORP. NEW ORDINARY SHARES (CANADA)
ADD	91702L103 CRSKF	URANIUM STANDARD RESOURCES LTD. 10/02/2014 ORDINARY SHARES (CANADA)
DELETE	74442L104 PFNI	PSYCHIC FRIENDS NETWORK INC. COMMON STOCK
ADD	705484103 PTOP	PEER TO PEER NETWORK COMMON 10/02/2014 STOCK

NAME CHANGE

DELETE	J55053128	NPCPF	NIPPON PAINT CO ORD ORDINARY SHARES	
ADD ***	J55053128	NPCPF	NIPPON PAINT HLDGS CO LTD ORD ORDINARY SHARES	10/02/2014

* 19C-3 Security rule *** Foreign Netting Security ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com