



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 070
DATE: 04/12/2016
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD***	25461D100	DSCSY	DISCO CORP ADR UNSPONSORED (JPN)	04/12/2016
ADD	872577101	TMMFF	TMAC RES INC ORD SHS (CDA)	04/11/2016
ADD***	92852Y101	VTRLY	VITROLIFE AB ADR UNSPONSORED (SWE)	04/11/2016
DELETE				
DELETE ***	E31312130	CAOFF	CAMPOFRIO FOOD GROUP SA ORD SHS (ESP)	04/12/2016
DELETE ***	F63189108	MNTPF	MONTUPET FF50 ORD SHS (FRA)	04/12/2016
DELETE ***	F7440W163	GLCDF	GL EVENTS SA BRIGNAIS ORD SHS (FRA)	04/12/2016
DELETE ***	G0631N109	AURRF	AURORA RUSSIA LIMITED ORD SHS (GGY)	04/12/2016
DELETE ***	G1889A104	CMNMF	CAMPER & NICOLSONS MARINA INVESTMENTS LTD ORD SHS (GGY)	04/12/2016
DELETE ***	G20479104	CWWGF	CHAOWEI POWER HLDGS LTD ORD SHS (CYM)	04/12/2016
DELETE ***	G21132108	CVTMF	CHINA VANADIUMTITANO-MAGNETITE MINING COMPANY LIMITED (CYM)	04/12/2016
DELETE ***	G2116M101	CCGLF	CHINA SHANSHUI CEMENT GROUP LTD ORDINARY SHARES (CYM)	04/12/2016
DELETE ***	G2918V127	ECFNF	ECOFIN WATER & POWER OPPORTUNITIES	04/12/2016

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential

			ORD SHS (UK)	
DELETE ***	G3215M109	EURNF	EURASIAN NATURAL RESOURCES CORPORATION PLC, LONDON ORDINARY	04/12/2016
DELETE ***	G3654G118	FOOCF	401 HOLDINGS LTD(BERMUDA) HKD ORD SHS	04/12/2016
DELETE ***	G37915108	GTVHF	GEMS T V HOLDINGS LIMITED ORD SHS (CYM)	04/12/2016
DELETE ***	G4182K100	KYBWF	GUANGDONG LD HOLDINGS LTD. ORDINARY SHARES (BERMUDA)	04/12/2016
DELETE ***	G49133203	IVNSF	INVENSYS PLC ORD SHS (UK)	04/12/2016
DELETE ***	G571AM103	LUDRF	LUDORUM PLC, LONDON ORD SHS (UK)	04/12/2016
DELETE ***	G5821U102	MEYPF	MAPLE ENERGY PLC EASTLEIGH ORD SHS (IRELAND)	04/12/2016
DELETE ***	G6445W102	DOOIF	NEOS RESOURCES PLC ORD SHS (UK)	04/12/2016
DELETE ***	G6681L109	NELCF	NOVA ELECTRIC IMMOBILIZATION ORD SH (UK)	04/12/2016
DELETE ***	G7783W100	SNHLF	SAMSON HOLDINGS LIMITED ORD SHS (CYM)	04/12/2016
DELETE ***	G8005C104	SEAPF	SEA PRODUCTIONS LTD ORD SHS (BERMUDA)	04/12/2016
DELETE ***	G8065B103	SIUDF	SHANGHAI INDUSTRIAL URBAN DEV GRP LTD ORD SHS	04/12/2016
DELETE ***	G8648Q106	SYNRF	SYNEAR FOOD HOLDINGS LTD SHS (HONG KONG)	04/12/2016
DELETE ***	G8697L107	TAUPF	TAU CAPITAL PLC ORDINARY SHARES (IMN)	04/12/2016
DELETE ***	H2223U110	ERSTF	ENERGIEDIENST HOLDING AG LAUFENBURG ORDINARY SHARES (NAMEN-A	04/12/2016
DELETE ***	J01549104	AMGXF	ANGES MG INC OSAKA (JAPAN)	04/12/2016
DELETE ***	J08946204	DIIEF	DAIEI INC ORDINARY SHARES (JAPAN)	04/12/2016
DELETE ***	J12884102	ELCMF	ELECOM CO LTD OSAKA (JAPAN)	04/12/2016
DELETE ***	J14092100	FCMUF	FAN COMMUNICATIONS INC TOKYO ORD SHS (JAPAN)	04/12/2016
DELETE ***	J1597J113	FKCIF	FUKUI COMPUTER HOLDINGS INC ORDINARY SHARES (JAPAN)	04/12/2016
DELETE ***	J2501C103	ITCLF	INTERSPACE CO LTD, TOKYO OR SHS (JAPAN)	04/12/2016
DELETE ***	J37415106	KVCPF	KVK CORP ORDINARY SHARES (JAPAN)	04/12/2016
DELETE ***	J5000K104	NDCCF	NIDEC COPAL CO (JAPAN)	04/12/2016
DELETE ***	J63838106	PSAKF	PLANT CO LTD, SAKAI (JAPAN)	04/12/2016
DELETE ***	J75627109	SKALF	SKYMARK AIRLINES CO LTD ORD SHS	04/12/2016
DELETE ***	M2851K107	DPWRF	DP WORLD, DUBAI ORDINARY SHARES (UNITED ARAB EMIRATES)	04/12/2016
DELETE ***	M3781J103	ELNEF	ELECTRONICS LINE 3000 LTD ORD SHS (IRL)	04/12/2016
DELETE ***	M9618X108	USKRF	USAS UCAK SERVISI ANONIM SIRKETI BR SHS (TURKEY)	04/12/2016
DELETE ***	P1808W104	BRDQF	BRADSPAR SA BRASIL PFD SHS (BRA)	04/12/2016
DELETE ***	P28269101	CSAOF	CIA SANEAMENTO MINAS GERAIS ORD SHS (BRA)	04/12/2016
DELETE ***	P30576113	CTPZF	COMPANHIA DE TRANSMISSAO DE ENERGIA	04/12/2016

Non-Confidential

			ELETRICA PAULISTA PFD SH	
DELETE ***	P3769R108	EGDBF	ENERGIAS DO BRASIL SA ORD SHS (BRA)	04/12/2016
DELETE ***	P7383A102	OSXRF	OSX BRASIL SA ORDINARY SHARES (BRAZIL)	04/12/2016
DELETE ***	P87175108	SMRAF	SOCIEDAD MINERA CERRO VERDE SAA LIMA SHS COMUN (PER)	04/12/2016
DELETE ***	P94396127	ULPPF	ULTRAPAR PARTICIPACOES SA BRASIL ORDINARY SHARES (BRAZIL)	04/12/2016
DELETE ***	Q0170F102	ALULF	ALARA RESOURCES LTD ORD SHS (AUS)	04/12/2016
DELETE ***	Q3192G124	DVYLF	DISCOVERY METALS LTD ORD SHS (AUS)	04/12/2016
DELETE ***	Q3513A103	EYMTF	ENERGY METALS LTD ORD SHS (AUS)	04/12/2016
DELETE ***	Q3918F103	FRGXF	FORGE GROUP LTD, PERTH WA ORD SHS (AUS)	04/12/2016
DELETE ***	Q39595121	FASGF	FSA GROUP LTD ORD SHS (AUS)	04/12/2016
DELETE ***	Q58497100	MXTIF	MAXITRANS INDUSTRIES LTD ORD SHS (AUS)	04/12/2016
DELETE ***	Q6784B114	NXEYF	NEXUS ENERGY LTD ORD SHS (AUS)	04/12/2016
DELETE ***	Q7784B104	PYNEF	PYNE GOULD CORP LTD ORD SHS (NZL)	04/12/2016
DELETE ***	Q81572101	RCILF	ROC OIL CO LTD (AUSTRALIA)	04/12/2016
DELETE ***	Q8621T100	TRYUF	SPECTRUM RARE EARTHS LTD. ORD SHS (AUS)	04/12/2016
DELETE ***	Q9869P107	YACRF	YANCOAL AUSTRALIA LTD CONTINGENT VALUE RTS SHS (AUS)	04/12/2016
DELETE ***	R5135L101	NRDGF	NORDIAG ASA ORD SHS (NORWAY)	04/12/2016
DELETE ***	R8323C107	SPIZF	SPAREBANKEN VEST AS PRIMARY CAP CERT (NOR)	04/12/2016
DELETE ***	T2320M109	BZZUF	BUZZI UNICEM S.P.A. (ITALY)	04/12/2016
DELETE ***	T83058106	SAAFF	SARAS RAFFINERIE SARDE SPA ORD SHS (ITA)	04/12/2016
DELETE ***	W0289N106	AZZQF	AGROKULTURA AB ORD SHS (SWE)	04/12/2016
DELETE ***	X6234R109	SCSYF	SSH COMMUNICATIONS SECURITY OYJ ORDINARY SHARES (FINLAND)	04/12/2016
DELETE ***	Y1822C126	CLOZF	CS LOXINFO PUB CO LTD. UNITS NON-VOTING DEP RECPT(THAILAND)	04/12/2016
DELETE ***	Y3767E109	HNFRF	HUNAN NON-FERROUS METALS SHS H-(CHINA)	04/12/2016
DELETE ***	Y49904108	KTCIF	KT & G CORP ORD SHS	04/12/2016
DELETE ***	Y5360C109	LMXIF	LUMAX INTERNATIONAL CORP ORD SHS (TAIWAN)	04/12/2016
DELETE ***	Y5764J148	MERVF	MANILA ELECTRIC CO ORD SHS (PHIL)	04/12/2016
DELETE ***	Y5904Q119	MCTPF	MCOT PUBLIC CO LTD SHS FOREIGN REGISTERED (THA)	04/12/2016
DELETE ***	Y7087B109	PADEF	PT ADARO EENRGY TBK SHS (INDONESIA)	04/12/2016
DELETE ***	Y9532S109	WBZNF	WEBZEN INC ORD SHS (SO. KOREA)	04/12/2016
DELETE	025141102	ACBK	AMERICAN CITY BANK LA	04/12/2016
DELETE	02640A109	AGDO	AMERICAN GOLD RES CORP.COM STK	04/12/2016
DELETE	117384107	ZGLDF	BRUNSWICK RES INC ORD SHS (CDA)	04/12/2016
DELETE	138906102	CWGVF	CANWEST GLOBAL COM SUB VTG SHS ORDINARY SHARES	04/12/2016

Non-Confidential

DELETE	15103F100	CLTCF	CELESTE MINING CORP ORD SHS (CDA)	04/12/2016
DELETE	168929107	CHDP	CHINA DAQING M&H PETROLEUM INC COMMON STOCK	04/12/2016
DELETE	18713J108	CFMSF	CLIFTON STAR RESOURCES INC (F)	04/12/2016
DELETE	18947P102	CLBO	CLUB RESOURCES LTD COM STK	04/12/2016
DELETE	239373400	DWSGF	DAWSON GOLD CORP. ORD SHS (CDA)	04/12/2016
DELETE	29245T100	EWTGF	EMPOWER TECHNOLOGIES CORP COM SHS (CDA)	04/12/2016
DELETE	40161A108	GUEEF	GUERRERO EXPLORATION INC COM SHS (CDA)	04/12/2016
DELETE	453365207	ICNT	INCOMNET INC	04/12/2016
DELETE	45568X106	IGXEF	INDIGO EXPL INC COM (CANADA)	04/12/2016
DELETE	55067F100	LXHD	LUXON HOLDINGS INC COMMON STOCK	04/12/2016
DELETE	59508T208	MMCO	MICROMED CARDIOVASCULAR INC NEW COM STK (DE)	04/12/2016
DELETE	603669102	MINY	MINISCRIBE CORP	04/12/2016
DELETE	64357T101	NCVL	NEW CHINA VENTURES LTD COM STK (NV)	04/12/2016
DELETE	65337X104	NEGXF	NEXGENRX, INC COM SHS (CANADA)	04/12/2016
DELETE	723304200	PNSTF	PINESTAR GOLD INC ORD SHS (CDA)	04/12/2016
DELETE	75774W105	RDWEF	REDWATER ENERGY CORP ORD SHS (CDA)	04/12/2016
DELETE	761235506	RSPNQ	RESPONSE USA INC-NEW	04/12/2016
DELETE	88553J104	TCCC	3CI COMPLETE COMPLIANCE CORP	04/12/2016
DELETE	90041M103	TWHI	TURNING WHEEL HOLDINGS INC COM STK (NV)	04/12/2016

SYMBOL CHANGES

252136304	DXBGD TO: DXBGY	DEXIA S A (BELGIUM)	04/12/2016
37186Q100	ETNMD TO: ETNMF	GENESIS METALS CORP COM STK (CANADA)	04/12/2016
82662E106	SGRBE TO: SGRB	SIGMABROADBAND CO. COMMON	04/12/2016
86804N106	SRKZD TO: SRKZF	SUNVEST MINERALS CORP COM (CANADA)	04/12/2016

REORGANIZATION

DELETE	24477B109	DRMC	DEFINITIVE REST MATTRESS COMPANY COMMON STOCK (OK)	
ADD	989470109	DRMCD	ZEREZ HLDGS COM	04/12/2016
DELETE	404273104	WARM	HPEV INC COMMON STOCK (NV)	
ADD	21639Y100	WARM	COOL TECHNOLOGIES INC COM STK (NV)	04/12/2016
DELETE	89989G102	BKTPF	TURBO CAPITAL INC ORD SHS (CDA)	
ADD	22888P105	BKTPD	CRUZ CAP CORP COM (CANADA)	04/12/2016

*** 19C-3 Security rule**

***** Foreign Netting Security**

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com

Non-Confidential