



# DTCC's Financial Industry Number Standard (FINS) Form

The Company identified below (the "Company") requests that The Depository Trust Company issue it a FINS number. The cardholder identified below represents that he/she is an authorized representative of the Company and authorizes The Depository Trust & Clearing Corporation ("DTCC") to issue a one-time no-refund charge in the amount of \$50 USD to the credit/charge card identified below.

The cardholder acknowledges that he/she is purchasing DTCC services on behalf of the Company solely for commercial (and not personal) purposes. When the Company's Financial Industry Number Standard (FINS) number is ready, send it to this email address:

\_\_\_\_\_

**Print or type only**

### About the company

Company Name

Contact Name First   
Last

Address

City or Town

State/Prov/County

Postal (Zip) Code  Telephone

Country  Fax

### About the cardholder's billing address (as known to the bank that issued the account)

Cardholder Name First   
Last

Address

City or Town

State/Prov/County

Postal (Zip) Code  Telephone

Country  Fax

### Credit Card Information

#### Credit Card

American Express     Mastercard     Visa

Card Number:

Expiration:    Month      Year

Signature of cardholder

\_\_\_\_\_ Date: \_\_\_\_\_

Return this completed form by fax to: +1 718-840-6839

Those without access to a fax machine can mail the completed form to:

DTCC  
Billing Department (FINS Processing)  
55 Water Street, 3rd FL  
New York, NY 10041-0099

Questions? Call +1.212.855.8337 or 1.212.855.4462 or email [taservices@dtcc.com](mailto:taservices@dtcc.com)

**For U.K. Use Only**

When required by applicable law, the Applicant/Participant shall procure the consent of any of its representatives from time to time to:

- (i) the processing of personal data by the Corporation (whether before, during or after the membership application); and
- (ii) the transfer of personal data out of the European Economic Area (the "EEA")

in each case for purposes in connection with the Applicant's/Participant's application for, and membership in, the Corporation. We may use the information about representatives in conducting our business with the Applicant/ Participant, including for billing purposes. With respect to the transfer of personal data outside of the EEA, the data may be transferred to offices of the Corporation or its subsidiaries or to third parties (selected service providers who provide services in connection with the Corporation's products or services and regulatory authorities) located in countries that do not have such protective data protection legislation when compared to European law; by submitting personal data as set forth in this and similar forms related to the membership requirements of the Corporation, the Applicant/Participant agrees to the transfer, storing or processing of personal data outside of the EEA. If the Data Protection Act 1998 (the "Act") applies, the Applicant/Participant hereby warrants that the transfer of personal data of any of its representatives to the Corporation for the purposes of processing personal data as is specified herein is in compliance with the Act. Please refer to the Corporation's privacy policy at [www.dtcc.com](http://www.dtcc.com) for additional information.

Please assist us to comply with the legal obligation to ensure that your information is kept accurate and up-to-date by ensuring that you inform us of any changes to your information.