



WEALTH MANAGEMENT SERVICES	FEE SCHEDULE
Fund/SERV®	Membership Fee: \$50 per month Transaction Fee: \$0.06 per transaction
Networking	Membership Fee: \$200 per month Activity Fee (all types except for Networking Omnibus Activity Position Files (Omni/SERV): \$0.10 per 100 records (\$.001 per record) Networking Omnibus Activity/Position Files(Omni/SERV): \$2500.00 monthly file fee for omnibus file transmissions
Commission Settlement	Membership Fee: \$50 per month Processing Fees: \$50 monthly minimum <ul style="list-style-type: none">• From 1 to 500,000 records, \$0.30 per hundred records• From 500,001 to 1,000,000 records, \$0.20 per hundred records• More than 1,000,000 records, \$0.10 per hundred records
Mutual Fund Profile Service	Phase I (Price and Rate) Only: \$325.00 per month Phase I and II: \$1200.00 per month* <i>* Fund subscribers of Profile II with 1-25 CUSIPs will receive a \$600 credit per month against the base \$1200 fee thus the net fee for small fund families will be \$600 per month</i>

Updated: 01/01/2011