

Mutual Fund Services/Contact List by Funds - Public

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NSCC#	Alpha	Fund	Ntwrk Agrmnt	ACATS F/S	F / S	Comm Settlmnt	To RA	MFP S	Ntwrk	DCC &S	SDR	Omni Act / Pos	Omni 529 Agg
5678	O4	ACCRUED EQUITIES, INC.			X				X	X			
6613	LM	ADIRONDACK FUNDS			X				X	X			
5860	FJ	ADVANCE CAPITAL I, INC.			X	X			X	X			
5706	XN \$	ADVISER DEALER SERVICES, INC.	Y		X	X		X	X	X	X		
5515	AL	ALLIANCE BERNSTEIN INV RESEARCH AND MANAGEMENT, INC.	Y	X	X	X	X	X	X	X	X	X	
6584	BA	ALLIANCE BERNSTEIN INV RESEARCH AND MANAGEMENT, INC./ALLIANCE COLLECTI			X			X	X	X	X		
5553	XG	ALLIANCEBERNSTEIN INV RES & MGT,INC SANFORD C BERN			X			X	X	X	X		
5809	AZ	ALLIANCEBERNSTEIN INV RESEARCH & MGT,INC INTL DIV	Y	X	X			X	X	X			
5554	TM	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	Y	X	X	X	X	X	X	X	X	X	
5921	8V	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC/ OKLAHOMA DREAM 529			X								
5609	VS	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS, LLC/ALLIANZ GLOBAL INVESTORS FU			X			X	X				
5784	F3 \$	ALLIANZ GLOBAL INVESTORS DISTLS LLC/PIMCO ADVSY SVCS			X			X	X	X	X		
5579	XT	ALLIANZ GLOBAL INVTS DISTLS LLC/COLLEGE ACCESS PLAN			X	X		X	X	X	X		
6516	5E \$	ALPS DIST, INC.STATE ST INSTITUTIONAL INV TRUST		X	X	X	X	X	X	X			
3763	23	ALPS DISTRIBUTORS INC./ WHITEBOX FUNDS		X	X	X			X				
6609	K5	ALPS DISTRIBUTORS INC./FINANCIAL INVESTORS VARIABLE INSURANCE TRUST			X	X	X	X	X	X			
4901	JY \$	ALPS DISTRIBUTORS INC./FIRSTHAND FUNDS		X	X		X	X	X	X			
5904	W8	ALPS DISTRIBUTORS INC./FIT-ALPS ADVISORS		X	X	X	X		X	X			
5613	Q3	ALPS DISTRIBUTORS INC./JAMES ADVANTAGE FUNDS			X	X	X	X	X	X			
5806	O6 \$	ALPS DISTRIBUTORS INC./STONEBRIDGE CAPITAL MNGMNT	Y		X			X	X				
5314	K0	ALPS DISTRIBUTORS INC./TRANSPARENT VALUE FUND		X	X	X	X		X				
5313	IZ	ALPS DISTRIBUTORS INC./VULCAN VALUE PARTNERS FUNDS		X	X	X	X		X				
5455	X4	ALPS DISTRIBUTORS INC./AMERISTOCK MUTUAL FUNDS		X	X		X		X	X			
5874	TG	ALPS DISTRIBUTORS INC./FIFTH THIRD FUNDS		X	X	X	X	X	X	X	X		
6597	HQ \$	ALPS DISTRIBUTORS INC./HOLLAND BALANCED FD		X	X		X	X	X	X			
5696	RQ	ALPS DISTRIBUTORS INC./MTB FUNDS		X	X	X	X		X	X	X		
5642	WT \$	ALPS DISTRIBUTORS, INC.	Y	X	X		X	X	X	X	X		
6505	1H	ALPS DISTRIBUTORS, INC. / INDEX IQ TRUST		X	X	X			X				
5521	JU \$	ALPS DISTRIBUTORS, INC. /THE ARBITRAGE FUND		X	X	X		X	X	X	X		
4903	UE \$	ALPS DISTRIBUTORS, INC./ LAUDUS FUNDS		X	X		X		X	X			
5922	9L	ALPS DISTRIBUTORS, INC./AQR FUNDS		X	X	X	X		X		X		
3717	EO	ALPS DISTRIBUTORS, INC./ASPEN FUNDS			X	X			X				

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5722	QR	\$ ALPS DISTRIBUTORS, INC./BBH FUNDS	Y		X	X	X	X	X	X	X		
5384	QH	ALPS DISTRIBUTORS, INC./CORNER CAP FUNDS		X	X		X		X				
3762	22	ALPS DISTRIBUTORS, INC./CORTINA FUNDS		X	X				X				
6619	7D	ALPS DISTRIBUTORS, INC./CRM FUNDS	Y	X	X	X		X	X	X	X		
5466	O3	\$ ALPS DISTRIBUTORS, INC./CULLEN FUNDS		X	X	X	X	X	X	X			
3710	VL	ALPS DISTRIBUTORS, INC./CULLEN FUNDS PLC			X	X			X	X			
3619	0L	ALPS DISTRIBUTORS, INC./EMERALD FUNDS		X	X	X			X				
5390	T8	ALPS DISTRIBUTORS, INC./GLG PARTNERS			X		X		X				
3759	18	ALPS DISTRIBUTORS, INC./GRANDEUR PEAK FUNDS		X	X	X			X				
5571	HA	\$ ALPS DISTRIBUTORS, INC./HEARTLAND FUNDS	Y	X	X	X	X	X	X	X	X		
5345	VH	ALPS DISTRIBUTORS, INC./HEARTLAND INTERNATIONAL SMALL CAP FUND		X	X	X	X		X				
6550	3C	\$ ALPS DISTRIBUTORS, INC./HENSSLER EQ FD	Y	X	X	X	X		X	X			
5761	O5	\$ ALPS DISTRIBUTORS, INC./OAK FUNDS		X	X	X	X	X	X	X	X		
4940	XO	\$ ALPS DISTRIBUTORS, INC./PAXWORLD FUNDS	Y	X	X	X			X	X			
6520	0R	ALPS DISTRIBUTORS, INC./RIVER NORTH FUNDS			X	X			X	X	X		
3777	63	ALPS DISTRIBUTORS, INC./SEAFARER FUNDS			X				X				
5309	QT	ALPS DISTRIBUTORS, INC./STONE HARBOR		X	X		X		X				
5337	21	ALPS DISTRIBUTORS, INC./TILSON FUNDS			X				X				
5684	J8	\$ ALPS DISTRIBUTORS, INC./CALDWELL & ORKIN		X	X	X	X		X	X			
5944	9R	ALPS DISTRIBUTORS, INC./WESMARK FUNDS		X	X	X	X		X	X			
3728	VO	AMERICAN CENTURY INVESTMENT SERVICES INC./SICAV FUNDS			X				X				
5646	BG	\$ AMERICAN CENTURY INVESTMENT SERVICES, INC.		X	X	X	X	X	X	X	X		
5504	AM	AMERICAN FUNDS DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X	X	X	X
6508		AMERICAN FUNDS DISTRIBUTORS, INC./LC PLANPREMIER				X							
4951	BI	ANCORA SECURITIES, INC.			X				X				
5552	AQ	AQUILA DISTRIBUTORS, INC.	Y	X	X	X		X	X	X			
5751	DK	\$ ARIEL DISTRIBUTORS, LLC		X	X	X	X	X	X	X	X		
6653	H8	ARTISAN PARTNERS DISTRIBUTORS LLC		X	X			X	X	X	X		
6509	GL	AVIEMORE FUNDS			X				X	X			
5662	G6	\$ AZZAD FUNDS			X				X	X			
5340	VX	BARCLAYS CAPITAL INC.			X	X			X				
5375	RP	BARCLAYS CAPITAL INC./BARCLAYS CELSIUS FUNDS IRELAND/MALTA			X	X			X				
4862	KD	\$ BARON CAPITAL, INC.	Y	X	X		X	X	X	X		X	
6647	3J	BENEFIT TRUST COMPANY			X				X				

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6532	E0	BHIL DISTRIBUTORS, INC.		X	X	X	X		X	X			
4729	CX	BHIL DISTRIBUTORS, INC. / DIAMOND HILL FUNDS		X	X	X			X				
5791	VF	BHIL DISTRIBUTORS, INC. / PRAXIS FUNDS		X	X	X	X	X	X	X			
3754	13	BHIL DISTRIBUTORS, INC./INDEPENDENT FRANCHISE PARTNERS US EQUITY FUND		X	X				X				
5150	OG	BHIL DISTRIBUTORS, INC./MATTHEWS INTERNATIONAL CAPITAL MANAGEMENT LLC		X	X				X				
5854	PZ \$	BHIL DISTRIBUTORS, INC./PERFORMANCE FUNDS		X	X	X	X	X	X	X	X		
3607	61	BHIL DISTRIBUTORS, INC./ROBECO INSTITUTIONAL ASSET MANAGEMENT B.V.			X	X			X				
4722	1G	BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.	Y		X			X	X	X			
5123	M8	BLACKROCK INVESTMENTS LLC/ICS			X	X			X				
5882	PG	BLACKROCK INVESTMENTS, LLC	Y	X	X	X		X	X	X	X	X	
4982	WU	BLACKROCK INVESTMENTS, LLC/BLACKROCK GLOBAL FUNDS		X	X			X	X				
5813	KN	BLACKROCK INVESTMENTS, LLC/BLACKROCK LIQUIDITY FUNDS			X			X	X	X			
4868	N1	BLACKROCK INVESTMENTS, LLC/BOND ALLOCATION TARGET SHARES			X				X	X			
5493	K7	BNP PARIBAS INVESTMENT PARTNERS TRUST COMPANY								X			
5551	G9	BNP PARIBAS SECURITIES CORP.	Y		X				X				
5866	G3	BNP PARIBAS SECURITIES CORP./HENDERSON HORIZON FUNDS			X				X				
3604	59	BNP PARIBAS SECURITIES CORP./LION GLOBAL INVESTORS			X				X				
5930	5M	BROWN BROTHERS HARRIMAN & CO.			X				X				
5583	BS	BURNHAM SECURITIES INC.	Y	X	X	X	X	X	X	X			
5835	CV	CALAMOS FINANCIAL SERVICES LLC	Y	X	X	X	X	X	X	X	X		
6621	ZA	CALAMOS FINANCIAL SERVICES LLC/CALAMOS GLOBAL FUND PLC			X	X			X				
5543	CL	CALVERT INVESTMENT DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X			
4873	U4	CAPITAL GUARDIAN TRUST COMPANY			X			X	X	X			
5107	8S	CAPITAL GUARDIAN TRUST COMPANY/CAPITAL INTERNATIONAL FUNDS			X				X				
5664	IG	CAPITAL INVESTMENT GROUP, INC.	Y	X	X			X	X	X	X		
5801	CP \$	CAPSTONE ASSET PLANNING CO.			X	X	X	X	X	X			
5838	5P	CAPSTONE ASSET PLANNING CO./CAPSTONE CHURCH BOND FUND			X	X		X	X	X			
5489	8A \$	CHARLES SCHWAB & CO., INC.		X	X			X	X	X	X		
5655	2U	CHARLES SCHWAB BANK			X			X	X	X			
4708		CHEVY CHASE TRUST COMPANY			X								
5386	07	CLARITY FUND, INC.			X				X				
5780	JI \$	COHEN & STEERS SECURITIES, LLC		X	X	X	X	X	X	X	X		

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3600	54	COHEN & STEERS SECURITIES, LLC/COHEN & STEERS SICAV FUNDS			X				X				
5501	CO	COLUMBIA MANAGEMENT INVESTMENT DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X	X	X	
6512	40	COLUMBIA MANAGEMENT INVESTMENT DISTRIBUTORS, INC./COLUMBIA 529 PLAN			X	X		X	X				
5601	F6	COLUMBIA MANAGEMENT INVESTMENT DISTRIBUTORS, INC./FUTURE SCHOLAR			X	X		X	X				
4843	ZK	COLUMBIA MANAGEMENT INVESTMENT DISTRIBUTORS, INC./TRUST COLLECTIVE FUN			X				X	X			
5878	UD	COMERICA BANK			X			X	X	X			
5669	DI	CONCORDE FUNDS, INC.		X	X				X	X			
4917	DX	CONESTOGA FUNDS			X				X	X			
5735	CT \$	CREDIT SUISSE SECURITIES (USA) LLC	Y	X	X	X	X	X	X	X	X		
4994	1X	CREDIT SUISSE SECURITIES (USA) LLC/LUXEMBOURG FUNDS			X	X		X	X				
6640	NX	CROFT FUNDS CORPORATION			X				X	X			
4890	I8	DAVIS DISTRIBUTORS LLC / DAVIS FDS SICAV	Y	X	X			X	X				
5563	VT \$	DAVIS DISTRIBUTORS, LLC	Y	X	X	X	X	X	X	X	X	X	
5965	2J	DAVIS DISTRIBUTORS, LLC/CLIPPER FUND		X	X	X	X		X	X	X		
5850	SZ \$	DAVIS DISTRIBUTORS, LLC/SELECTED	Y	X	X	X	X	X	X	X	X		
5863	SO	DEAM INVESTOR SERVICES, INC.	Y		X			X	X				
4974	7S	DEAM INVESTOR SERVICES, INC./DWS INVESTMENT S.A.			X				X				
5510	DE	DELAWARE DISTRIBUTORS, L.P.	Y	X	X	X	X	X	X	X	X		
6572	CK	DELAWARE DISTRIBUTORS, L.P./DELAWARE INVESTMENTS GLOBAL FUNDS, PLC			X				X				
6672	9C	DELAWARE DISTRIBUTORS, L.P./OPTIMUM FUNDS		X	X	X			X		X		
6684	A9 \$	DFA SECURITIES LLC			X			X	X	X	X		
5483	1U \$	DODGE & COX FUNDS		X	X		X	X	X	X	X		
6642	KI	DRIEHAUS SECURITIES LLC		X	X			X	X	X	X		
5923	2T	DRIEHAUS SECURITIES LLC / DRIEHAUS ACTIVE INCOME FUND		X	X				X		X		
6631	CB	DSIL INVESTMENT SERVICES LLC	Y	X	X	X	X	X	X	X	X		
5675	7V	DUNHAM & ASSOCIATES INVESTMENT COUNSEL, INC.		X	X	X			X	X	X		
6618	CA \$	DUPREE & COMPANY, INC.		X	X				X	X			
5503	KP	DWS INVESTMENTS DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X	X	X	
5459	NQ	DWS INVESTMENTS DISTRIBUTORS, INC./DEUTSCHE BANK TRUST			X				X				
5822	HE	EAGLE FUND DISTRIBUTORS, INC.		X	X	X	X	X	X	X	X		
5456	8R	EATON VANCE DISTRIBUTORS INC./EATON VANCE TRUST COMPANY			X			X	X	X			

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4938	NE	EATON VANCE DISTRIBUTORS INC./EATON VANCE VAR TR FDS			X				X	X			
5110	9V	EATON VANCE DISTRIBUTORS INC/EXCHANGE FUNDS				X			X				
5760	D1	EATON VANCE DISTRIBUTORS INC/US CHARITABLE				X			X				
5509	EV	EATON VANCE DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X	X	X	
5715	EK	EATON VANCE DISTRIBUTORS, INC./EATON VANCE INTERNATIONAL (CAYMAN ISLAN		X	X			X	X	X			
5438	KT	EATON VANCE DISTRIBUTORS, INC./EATON VANCE INTERNATIONAL (IRELAND) PLC		X	X			X	X	X			
5397	7A	ENCOMPASS FUND		X	X				X	X			
6589	PY	FALLEN ANGELS FAMILY OF FUNDS			X				X				
5526	ZO \$	FBR INVESTMENT SERVICES, INC.	Y	X	X	X		X	X	X	X		
5529	FI \$	FEDERATED SECURITIES CORP.	Y	X	X	X	X	X	X	X	X	X	
5759	IH	FEDERATED SECURITIES CORP/FEDERATED INTL MGMT	Y	X	X	X	X	X	X				
5581	SG	FEF DISTRIBUTORS, LLC	Y	X	X	X	X	X	X	X	X	X	
6632	NL \$	FENIMORE ASSET MANAGEMENT TRUST			X	X	X	X	X	X			
5785	OH \$	FIDELITY DIST CORP/FIDELITY INT'L LTD		X	X			X	X	X			
5894	ID	FIDELITY INVESTMENTS INSTITUTIONAL SERVICES COMPANY, INC.	Y	X	X	X	X	X	X	X	X	X	
5713	XS	FIDELITY INVESTMENTS INSTITUTIONAL SERVICES COMPANY, INC./FIDELITY DES							X		X		
6664	9F \$	FIDUCIARY MANAGEMENT, INC.			X		X	X	X	X			
5823	NP	FIRST DOMINION CAPITAL CORP			X	X		X	X	X	X		
5545	FV	FIRST INVESTORS CORPORATION	Y		X	X		X	X	X			
5371	XW	FIRST NATIONAL CAPITAL MARKETS, INC.		X	X	X		X	X				
6603	6B	FIRST TR PORTFOLIOS, LP/FIRST DEFINED PORT FD, LLC		X	X			X	X	X	X		
5374	XA	FIRST TRUST PORTFOLIOS L.P./FIRST TRUST SERIES FUND	Y	X	X	X			X		X		
6575	8T	FIRST TRUST PORTFOLIOS, L.P.	Y		X	X		X	X	X	X		
4695	TI	FORESIDE DISTRIBUTION SERVICE, LP/UNION BANK OF CALIFORNIA N.A. STABLE		X	X	X		X	X	X			
4995	2R	FORESIDE DISTRIBUTION SERVICES LP/ IMS FUNDS		X	X	X			X	X			
5750	PL \$	FORESIDE DISTRIBUTION SERVICES LP/ OLD MUTUAL FUNDS	Y	X	X	X	X	X	X	X	X		
6641	8G	FORESIDE DISTRIBUTION SERVICES, L.P. / ASSET MANAGEMENT		X	X	X	X	X	X	X			
6614	7C	FORESIDE DISTRIBUTION SERVICES, L.P./ IRT MUTUAL FUNDS		X	X			X	X	X			
5816	AO	FORESIDE DISTRIBUTION SERVICES, L.P./AMSOUTH FUNDS	Y	X	X	X	X	X	X	X			
5807	AF	FORESIDE DISTRIBUTION SERVICES, L.P./CAVANAL HILL FUNDS		X	X	X	X	X	X	X	X		
5814	L8	FORESIDE DISTRIBUTION SERVICES, L.P./HSBC FUNDS		X	X		X	X	X	X	X		

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6673	LX	FORESIDE DISTRIBUTION SERVICES, L.P./SIGNATURE LIQUIDITY FUND, LTD.			X			X	X				
6622	Q2	FORESIDE DISTRIBUTION SERVICES, L.P./THE BOSTON TRUST & WALDEN FUNDS		X	X	X	X	X	X	X			
5686	VC	FORESIDE DISTRIBUTION SERVICES, L.P./VICTORY FUNDS		X	X	X	X	X	X	X	X		
5723	5R	FORESIDE DISTRIBUTION SERVICES, L.P./VICTORY INSTITUTIONAL FUNDS		X	X	X	X	X	X	X	X		
4888	HS	FORESIDE DISTRIBUTION SERVICES, L.P./PERMAL OFFSHORE FDS		X	X			X	X	X			
5940	2S	FORESIDE DISTRIBUTION SERVICES, LP / OLD MUTUAL GLOBAL FUNDS PLC		X	X			X	X				
6628	7O	FORESIDE DISTRIBUTION SERVICES, LP /USALLIANZ MUTUAL FUNDS		X	X		X	X	X	X			
4928	XF	FORESIDE DISTRIBUTION SERVICES, LP/FIRST STATE TRUST COMPANY			X			X	X	X			
5633	XM	FORESIDE DISTRIBUTION SERVICES, LP/HORIZON GLOBAL ADVISORS UMBRELLA		X	X				X				
4724	1D	FORESIDE DISTRIBUTION SERVICES, LP/IVA FIDUCIARY TRUST		X	X	X			X	X			
5410	IA	FORESIDE DISTRIBUTION SERVICES, LP/IVA GLOBAL SICAV FUND		X	X	X			X				
4920	C5	FORESIDE DISTRIBUTION SERVICES, LP/RIDGEWORTH FUNDS		X	X		X	X	X	X	X		
5826	CJ	FORESIDE DISTRIBUTION SERVICES, LP/SKANDIA GLOBAL FUNDS PLC			X	X		X	X				
5562		FORESIDE DISTRIBUTION SERVICES, LP/SUNTRUST BANK COLLECTIVE TRUST FUND		X	X		X			X			
6633	7T \$	FORESIDE DISTRIBUTION SERVICES,LP/NORTHERN TRUST FUNDS		X	X	X	X	X	X	X	X		
5804	DU	FORESIDE DISTRIBUTION SERVICES. LP /LEADER SHORT TERM BOND FUND		X	X	X	X		X	X			
6767	GE	FORESIDE FUND SERVICES LLC/LIBERTY STREET FUNDS		X	X	X			X	X			
5659	BM	FORESIDE FUND SERVICES, LLC	Y		X	X		X	X	X	X		
6549	QQ	FORESIDE FUND SERVICES, LLC./DUNDEE WEALTH FUNDS		X	X	X	X	X	X	X			
5742	AA \$	FORESIDE FUND SERVICES, LLC/AMERICAN BEACON FDS	Y	X	X	X	X	X	X	X	X		
5544	H9	FORESIDE FUND SERVICES, LLC/BENNETT GROUP OF FUNDS		X	X	X			X				
5485	7J \$	FORESIDE FUND SERVICES, LLC/BRIDGEWAY FUNDS			X	X	X	X	X	X	X		
5597	LL \$	FORESIDE FUND SERVICES, LLC/CENTURY FUNDS		X	X	X		X	X	X	X		
5528	RB	FORESIDE FUND SERVICES, LLC/HENDERSON GLOBAL FUNDS	Y	X	X	X	X		X	X	X	X	
5426	ND	FORESIDE FUND SERVICES, LLC/NOMURA PARTNERS FUNDS, INC.		X	X	X		X	X	X	X		
5324	S8	FORESIDE FUND SERVICES, LLC/PERFORMANCE TRUST		X	X		X		X	X			
5747	QX	FORESIDE FUND SERVICES, LLC/PMC FUNDS		X	X	X		X	X	X	X		
5649	J5 \$	FORESIDE FUND SERVICES, LLC/SOUND SHORE	Y	X	X	X		X	X	X	X		
5592	PN \$	FORESIDE FUND SERVICES, LLC/TURNER FUNDS	Y	X	X	X	X	X	X	X	X		
5721	5Q	FORESIDE FUND SERVICES, LLC/WINTERGREEN FUND		X	X	X	X			X	X		
5872	ER \$	FORESIDE FUND SERVICES, LLC/WRIGHT INVESTOR		X	X			X	X	X			

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5818	XC	FORESIDE FUNDS DISTRIBUTORS LLC /BOGLE INVESTMENT MANAGEMENT FUNDS OF	Y	X	X	X	X	X	X	X	X		
5422	AX	FORESIDE FUNDS DISTRIBUTORS LLC /CONSULTING GROUP CAPITAL MARKETS FUND		X	X	X			X	X	X		
3746	08	FORESIDE FUNDS DISTRIBUTORS LLC /DIMENSIONAL FUNDS PLC AND DIMENSIONAL		X	X				X				
6579	7Z \$	FORESIDE FUNDS DISTRIBUTORS LLC /E.I.I. REALTY SECURITIES TRUST		X	X				X	X			
4870	D4 \$	FORESIDE FUNDS DISTRIBUTORS LLC /FAIRHOLME FUNDS, INC.	Y	X	X		X	X	X	X	X		
5547	I2	FORESIDE FUNDS DISTRIBUTORS LLC /FIRST PUERTO RICO FAMILY OF FUNDS		X	X			X	X	X			
5611	K3	FORESIDE FUNDS DISTRIBUTORS LLC /FUNDVANTAGE TRUST		X	X	X	X	X	X	X	X		
5782	X2	FORESIDE FUNDS DISTRIBUTORS LLC /GUIDESTONE FUNDS			X				X	X	X		
5820	SF	FORESIDE FUNDS DISTRIBUTORS LLC /HIGHMARK FUNDS			X	X	X	X	X	X			
5716	UR \$	FORESIDE FUNDS DISTRIBUTORS LLC /KALMAR POOLED INVESTMENT TRUST		X	X	X		X	X	X			
6679	KH	FORESIDE FUNDS DISTRIBUTORS LLC /MARVIN & PALMER FUNDS OF THE RBB FUND			X				X	X	X		
5947	RR	FORESIDE FUNDS DISTRIBUTORS LLC /MATSON MONEY, INC FUNDS OF THE RBB FU			X			X	X	X			
5724	VJ \$	FORESIDE FUNDS DISTRIBUTORS LLC /MATTHEWS INTERNATIONAL FUNDS DBA MATT		X	X	X	X	X	X	X	X		
5725	ZU	FORESIDE FUNDS DISTRIBUTORS LLC /MERCER FUNDS		X	X	X	X	X	X	X			
6644	8Q \$	FORESIDE FUNDS DISTRIBUTORS LLC /METROPOLITAN WEST FUNDS		X	X	X	X	X	X	X	X		
5651	UB	FORESIDE FUNDS DISTRIBUTORS LLC /OLD WESTBURY FUNDS, INC.		X	X	X		X	X	X			
5416	DS	FORESIDE FUNDS DISTRIBUTORS LLC /PERIMETER CAPITAL MANAGEMENT OF THE R		X	X				X	X			
5979	FQ	FORESIDE FUNDS DISTRIBUTORS LLC /PYXIS FUNDS		X	X	X		X	X	X	X		
5800	WQ	FORESIDE FUNDS DISTRIBUTORS LLC /ROBECO INVESTMENT FUNDS OF THE RBB FU	Y	X	X	X	X	X	X	X	X		
5856	WO	FORESIDE FUNDS DISTRIBUTORS LLC /SCHNEIDER CAPITAL MANAGEMENT FUNDS OF		X	X	X	X	X	X	X	X		
5342	V3	FORESIDE FUNDS DISTRIBUTORS LLC /SIMPLE ALTERNATIVES FUNDS OF THE RBB		X	X	X			X	X			
5362	II	FORESIDE FUNDS DISTRIBUTORS LLC /SPIRIT OF AMERICA INVESTMENT FUNDS		X	X				X	X			
5648	WN \$	FORESIDE FUNDS DISTRIBUTORS LLC /STERLING CAPITAL FUNDS		X	X	X	X	X	X	X	X		
5448	W4	FORESIDE FUNDS DISTRIBUTORS LLC /STRATTON FAMILY OF FUNDS		X	X		X	X	X	X			
6594	9P	FORESIDE FUNDS DISTRIBUTORS LLC /THE MOTLEY FOOL FUNDS TRUST		X	X				X	X			

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5808	CE	FORESIDE FUNDS DISTRIBUTORS LLC /THE RBB FUND, INC.	Y	X	X	X	X	X	X	X	X		
6554	3G \$	FORESIDE FUNDS DISTRIBUTORS LLC /THE TORRAY FUNDS		X	X	X		X	X	X	X		
3750	12	FORESIDE FUNDS DISTRIBUTORS LLC /VERSUS CAPITAL MULTI-MANAGER REAL EST		X	X				X	X			
5699	VM \$	FORESIDE FUNDS DISTRIBUTORS LLC/ ASTON FUNDS	Y	X	X	X		X	X	X	X		
4899	1W	FORESIDE FUNDS SERVICES, LLC/ABERDEEN FUNDS			X	X			X	X	X		
4949	7L	FORESTER FUNDS, INC.			X				X	X			
5851	FH	FPA FUND DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X			
6671	FY	FRANK FUNDS			X				X	X			
5534	TE	FRANKLIN/TEMPLETON DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X		X	
4950	E6	FRED ALGER & CO INC./ALGER SICAV		X	X			X	X				
5594	FG	FRED ALGER & COMPANY, INCORPORATED	Y	X	X	X	X	X	X	X	X	X	
5507	QZ	FRONTEGRA FUNDS, INC.		X	X	X	X	X	X	X	X		
5497	\$	FUNDS DISTRIBUTOR, LLC			X			X		X			
5195	RF	FUNDS DISTRIBUTOR, LLC/ASHMORE FUNDS		X	X	X			X				
3601	55	FUNDS DISTRIBUTOR, LLC/GMO SERIES TRUST			X				X		X		
6680	AK	FUNDS DISTRIBUTOR, LLC/GMO TRUST			X			X	X	X			
5322	S5	FUNDS DISTRIBUTOR, LLC/MIRAE ASSET DISCOVERY FUNDS		X	X	X	X		X	X			
5617	LP	FUNDS DISTRIBUTOR, LLC/MUNDER FUNDS	Y	X	X	X	X	X	X	X	X		
5574	GN \$	GABELLI AND COMPANY/GABELLI FUNDS-(NFDS)	Y	X	X	X	X	X	X	X	X	X	X
3605	83	GAM FUND MANAGEMENT LTD	Y		X				X				
5679	GM \$	GE INVESTMENT DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X	X		
5752	VR	GENWORTH LIFE AND ANNUITY INSURANCE COMPANY			X				X	X			
5514	i7 \$	GLICKENHAUS & CO.			X				X	X			
5915	I0	GLOBAL TRUST COMPANY			X	X			X				
5311	IE	GLOBAL TRUST COMPANY/NRS TA			X				X				
5799	GU	GOLDMAN SACHS/COMMERCE FUNDS			X	X	X	X	X	X	X		
5572	GS	GOLDMAN, SACHS & CO.	Y	X	X	X	X	X	X	X	X		
6570	4G	GOLDMAN,SACHS & CO./GOLDMAN SACHS INTL FD		X	X			X	X				
5573	GI	GUARDIAN INVESTOR SERVICES LLC	Y	X	X	X	X	X	X	X	X		
5330	PP	GWFS EQUITIES, INC.							X				
4866	9Q	HAND BENEFITS & TRUST COMPANY			X				X	X			
5471	1A \$	HARBOR FUNDS DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X	X		
4856	IX	HARTFORD INVESTMENT FINANCIAL SERV CO		X	X	X	X	X	X	X	X	X	

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5892	G8	HARTFORD INVESTMENT FINANCIAL SERVICES CO/UPROMISE		X	X	X			X	X			
4829	Y6	HARTFORD INVESTMENT FNCL SVCS CO/HARTFORD ADVANTAGE			X			X					
6553	RO	HOMESTEAD FUNDS, INC.			X			X	X	X	X		
4942	TT \$	ICMA-RC SERVICES, LLC	Y	X	X			X	X	X			
5549	I5 \$	ICON DISTRIBUTORS, INC.		X	X	X	X	X	X	X			
5734	PF	ING INVESTMENTS DISTRIBUTOR, LLC	Y	X	X	X	X	X	X	X	X		
5859		ING INVESTMENTS DISTRIBUTOR, LLC/ING IODC			X								
5473	O7	ING INVESTMENTS DISTRIBUTOR, LLC/ING OFFSHORE			X				X				
3709	35	INSTITUTIONAL INVESTOR TRUST			X				X				
5657	NH	INTEGRITY FUNDS DISTRIBUTOR, INC.			X	X			X	X	X		
5591	AR	INTERNATIONAL STRATEGY & INVESTMENT GROUP INC.	Y	X	X	X	X	X	X	X			
5540	AI	INVESCO DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X	X	X	
4882	VY	INVESCO DISTRIBUTORS, INC./AIM GLOBAL ADVISORS LTD		X	X			X	X	X			
5450	W6	INVESCO DISTRIBUTORS, INC./FUND MANAGEMENT CO.		X	X	X		X	X	X			
6592	KC	INVESCO DISTRIBUTORS, INC./OFFSHORE			X	X		X	X				
4871	9N	J.P. MORGAN INSTITUTIONAL INVESTMENTS INC.			X				X	X			
5781	JE \$	JANUS DISTRIBUTORS LLC	Y	X	X	X	X	X	X	X	X	X	
5467	Z4	JANUS DISTRIBUTORS, LLC/JANUS CAPITAL INTERNATIONAL LIMITED		X	X	X		X	X	X			
5582	HF	JOHN HANCOCK FUNDS, LLC	Y	X	X	X	X	X	X	X	X	X	
5599	HD	JPMORGAN DISTRIBUTION SERVICES, INC.	Y	X	X	X	X	X	X	X	X	X	
5798	JP \$	JPMORGAN DISTRIBUTION SERVICES, INC./JPMORGAN OFF SHORE FUNDS	Y	X	X		X	X	X	X			
3789	48	JPMORGAN DISTRIBUTION SERVICES, INC./NY ADVISOR 529 PLAN			X				X	X			
5661	KL	KEELEY INVESTMENT CORP.	Y		X	X	X	X	X	X			
5608	5A	KEYBANK NATIONAL ASSOCIATION			X			X	X	X			
4850	IY \$	LAZARD FRERES & CO. LLC	Y	X	X	X		X	X	X	X		
5417	XD	LAZARD FRERES & CO., LLC/LAZARD GLOBAL ACTIVE FUNDS (OFFSHORE)		X	X			X	X				
5749	LK	LEGG MASON INVESTOR SERVICES, LLC	Y		X	X	X	X	X	X	X	X	
5570	YF	LEGG MASON INVESTOR SERVICES, LLC/529 PLANS			X	X		X	X		X	X	
5834	SK	LEGG MASON INVESTOR SERVICES, LLC/CITIFUNDS	Y	X	X	X		X	X	X	X	X	
5630	JX	LEGG MASON INVESTOR SERVICES, LLC/LEGG MASON COLLECTIVE TRUST			X	X			X	X			
5833	O1	LEGG MASON INVESTOR SERVICES, LLC/LEGG MASON GLOBAL FUNDS PLC			X			X	X		X		
6514	4W	LIFETIME ACHIEVEMENT FUND, INC.			X	X		X	X	X	X		
5500	LA	LORD ABBETT DISTRIBUTOR LLC	Y	X	X	X	X	X	X	X	X	X	
5672	IN	LORING WARD SECURITIES INC.		X	X		X		X	X			

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5425	RV	\$ M FUND, INC.		X	X	X		X	X	X	X		
6561	D7	M&I DISTRIBUTORS LLC/MARSHALL FUNDS		X	X	X		X	X	X	X		
5829	MJ	M.J. WHITMAN LLC		X	X	X	X	X	X	X	X		
6577	60	\$ MADISON INVESTMENT ADVISORS, LLC	Y	X	X		X	X	X	X			
4987	M1	MADISON INVESTMENT ADVISORS, LLC/MEMBERS MUTUAL FUNDS		X	X	X	X	X	X	X			
3765	50	MADISON INVESTMENT ADVISORS, LLC/ULTRA SERIES FUND			X				X				
5411	L7	MAINSAIL GROUP, LLC			X				X				
5736	OF	\$ MANAGERS INVESTMENT GROUP, LLC		X	X	X	X	X	X	X	X		
5753	7K	MANNING & NAPIER INVESTOR SERVICES, INC.		X	X	X	X	X	X	X	X		
5709	F1	MANOR INVESTMENT FUNDS, INC.			X	X			X				
6606	6D	\$ MATRIX CAPITAL GROUP INC./AMIDEX			X			X	X				
5843	CI	MATRIX CAPITAL GROUP INC./SHIELDS & COMPANY			X			X	X	X	X		
5681	NT	MATRIX CAPITAL GROUP, INC.			X			X	X				
6697	C6	\$ MATRIX CAPITAL GROUP, INC. / THE MONTEAGLE FUNDS	Y	X	X	X		X	X	X			
5695	BE	MATRIX CAPITAL GROUP, INC./360 FUNDS			X			X	X				
5372	X1	MATRIX CAPITAL GROUP, INC./FRANK FUNDS		X	X				X	X			
5694	K2	MATRIX CAPITAL GROUP, INC./NEST EGG FUNDS		X	X	X		X	X	X			
5637	OG	MATRIX CAPITAL MARKETS GROUP, INC./CONGRESSIONAL EFFECT FUND			X				X				
6637	EM	\$ MATTHEW 25 FUND, INC.			X				X	X			
5523	DR	MBSC SECURITIES CORPORATION	Y	X	X	X	X	X	X	X	X	X	
5777	FE	METROPOLITAN LIFE INSURANCE COMPANY			X			X	X	X			
5516	MF	MFS FUND DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X	X	X	
6605	JN	MFS HERITAGE TRUST COMPANY			X			X	X	X			
5840	ME	MFS INTERNATIONAL FUNDS			X			X	X				
5890	BJ	\$ MIDAS SECURITIES GROUP, INC.	Y		X		X	X	X	X	X		
5857	MV	MORGAN STANLEY & CO. LLC	Y	X	X	X	X	X	X	X	X		
4970	1J	MORGAN STANLEY & CO. LLC/ADVISOR FUNDS		X	X	X		X	X	X	X		
6646	LG	MORGAN STANLEY & CO. LLC/DUBLIN LIQUIDITY			X				X				
4944	YB	MORGAN STANLEY & CO. LLC/MORGAN STANLEY INVESTMENT FUNDS	Y		X			X	X	X			
5512	WR	MORGAN STANLEY & CO. LLC/UNIVERSAL INSTITUTIONAL INC		X	X	X		X	X	X	X		
4902	FL	MUNDOVAL FUNDS			X	X			X				
6559	30	\$ NATIONWIDE FUND DISTRIBUTORS LLC			X	X	X	X	X	X	X		
5587	H6	\$ NEEDHAM & COMPANY, LLC	Y	X	X	X		X	X	X	X		
5738	J1	NEIMAN FUNDS			X				X	X			

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5880	MA	NELNET CAPITAL LLC			X				X				
6583	LZ	NELNET CAPITAL LLC/COLLEGE SAVINGS GROUP			X	X		X	X				
5665	WK	NEUBERGER & BERMAN MANAGEEMENT INC / AMT FDS			X				X	X	X		
5810	NB \$	NEUBERGER & BERMAN MANAGEMENT, INC.		X	X	X	X	X	X	X	X	X	
6681	LD	NEUBERGER BERMAN MANAGEMENT INC./LEHMAN BROTHERS ALPHA FUNDS			X	X			X				
5542	TN	NGAM DISTRIBUTION, L.P.	Y	X	X	X	X	X	X	X	X		
5525	6H	NGAM DISTRIBUTION, L.P./HANSBERGER FUNDS		X	X			X	X	X			
5714	LC \$	NGAM DISTRIBUTION, L.P./LOOMIS SAYLES FUNDS	Y	X	X	X	X	X	X	X	X		
6678	YX	NGAM DISTRIBUTION, L.P./NATIXIS INTERNATIONAL FUNDS (DUBLIN) I			X	X			X				
6677	YU	NGAM DISTRIBUTION, L.P./NATIXIS INTERNATIONAL FUNDS (LUX) I			X	X		X	X				
5590	T1 \$	NICHOLAS COMPANY, INC.		X	X	X	X	X	X	X			
5821	4N \$	NORTHEAST INVESTORS GROWTH FUND		X	X			X	X	X	X		
5778	XQ \$	NORTHEAST INVESTORS TRUST		X	X			X	X	X	X		
6694	GA	NORTHERN LIGHTS DISTRIBUTORS LLC/ADAPTIVE ALLOCATION FUND		X	X	X			X	X			
4904	EU	NORTHERN LIGHTS DISTRIBUTORS LLC/ADVISORONE FUNDS			X	X		X	X	X	X		
4824	UK	NORTHERN LIGHTS DISTRIBUTORS LLC/ARROW FUNDS		X	X			X	X	X			
6506	R7	NORTHERN LIGHTS DISTRIBUTORS LLC/AUTOPILOT MANAGED GROWTH FUND		X	X	X			X	X	X		
5477	ZV	NORTHERN LIGHTS DISTRIBUTORS LLC/BIONDO FUND		X	X	X			X	X	X		
5796	K9 \$	NORTHERN LIGHTS DISTRIBUTORS LLC/BOYAR VALUE FUND		X	X	X			X	X	X		
5758	HX	NORTHERN LIGHTS DISTRIBUTORS LLC/GRATIO VALUES FUND		X	X	X			X	X	X		
5344	BY	NORTHERN LIGHTS DISTRIBUTORS LLC/LADENBURG THALMANN ALTERNATIVE STRATE		X	X	X			X	X			
5622	WD	NORTHERN LIGHTS DISTRIBUTORS LLC/MILLER CONVERTIBLE FUNDS		X	X	X			X	X			
5433	QW	NORTHERN LIGHTS DISTRIBUTORS LLC/NORTH COUNTRY FUNDS			X	X			X	X	X		
5644	I1	NORTHERN LIGHTS DISTRIBUTORS LLC/PACIFIC FINANCIAL FUNDS		X	X	X		X	X	X			
6624	7P	NORTHERN LIGHTS DISTRIBUTORS LLC/PUERTO RICO GROWTH FUND		X	X	X		X	X	X	X		
4933	DF	NORTHERN LIGHTS DISTRIBUTORS LLC/ROGE PARTNERS FUNDS			X	X			X	X	X		
5628	VD	NORTHERN LIGHTS DISTRIBUTORS LLC/SIERRA CORE RETIREMENT FUND		X	X	X			X	X			
6604	5Z	NORTHERN LIGHTS DISTRIBUTORS LLC/SOUTHERNSUN FUNDS		X	X	X			X	X	X		
4959	B2 \$	NORTHERN LIGHTS DISTRIBUTORS LLC/THE SARATOGA ADVANTAGE TR		X	X	X			X	X	X		
4915	9E	NORTHERN LIGHTS DISTRIBUTORS, LLC		X	X				X	X			
6541	A0	NORTHERN LIGHTS DISTRIBUTORS, LLC / CMG ABSOLUTE RETURN STRATEGIES FUN		X	X	X			X	X	X		

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5941	4S	NORTHERN LIGHTS DISTRIBUTORS, LLC / GENERATIONS MULTI-STRATEGY FUND		X	X	X			X	X			
5130	3F	NORTHERN LIGHTS DISTRIBUTORS, LLC / MUTUAL HEDGE FUNDS		X	X	X			X				
6663	B0	NORTHERN LIGHTS DISTRIBUTORS, LLC / OCM GOLD FUND		X	X	X			X	X			
5927	2N	NORTHERN LIGHTS DISTRIBUTORS, LLC / THE COLLAR FUND		X	X	X			X	X			
6696	YN	NORTHERN LIGHTS DISTRIBUTORS, LLC / THE CURRENCY STRATEGIES FUND		X	X	X			X	X			
5939	5D	NORTHERN LIGHTS DISTRIBUTORS, LLC / WADE CORE DESTINATION FUND			X	X			X				
5906	8Y	NORTHERN LIGHTS DISTRIBUTORS, LLC /TOEWS FUNDS		X	X	X			X	X			
5414	IU	NORTHERN LIGHTS DISTRIBUTORS, LLC./INVESTMENT PARTNERS OPPORTUNITIES F		X	X	X			X	X			
5333	U5	NORTHERN LIGHTS DISTRIBUTORS, LLC/ALTEGRIS MUTUAL FUNDS		X	X	X			X	X	X		
5946	U3	NORTHERN LIGHTS DISTRIBUTORS, LLC/ALTERNATIVE STRATEGIES MUTUAL FUND		X	X	X	X		X	X	X		
3742	05	NORTHERN LIGHTS DISTRIBUTORS, LLC/ALTRUIS SMALL CAP VALUE FUND		X	X	X			X	X			
5400	FB	NORTHERN LIGHTS DISTRIBUTORS, LLC/ASTOR LONG/SHORT ETF FUND		X	X	X			X	X			
3713	10	NORTHERN LIGHTS DISTRIBUTORS, LLC/AVANT FUNDS			X	X			X	X			
5393	J3	NORTHERN LIGHTS DISTRIBUTORS, LLC/BANDON ISOLATED ALPHA FIXED INCOME F		X	X	X			X	X			
5129	Z0	NORTHERN LIGHTS DISTRIBUTORS, LLC/BEECH HILL TOTAL RETURN FUND		X	X	X			X	X			
5364	WX	NORTHERN LIGHTS DISTRIBUTORS, LLC/BISHOP VOLATILITY FLEX FUND		X	X	X			X	X			
6540	AU	NORTHERN LIGHTS DISTRIBUTORS, LLC/BLACK KNIGHT FUNDS		X	X	X			X	X			
5401	FO	NORTHERN LIGHTS DISTRIBUTORS, LLC/BTS BOND ASSET ALLOCATION FUND		X	X	X			X	X			
5527	T3	NORTHERN LIGHTS DISTRIBUTORS, LLC/CATALYST FUNDS			X	X		X	X				
5382	Q0	NORTHERN LIGHTS DISTRIBUTORS, LLC/CHADWICK & D'AMATO FUND		X	X	X			X	X			
5378	PI	NORTHERN LIGHTS DISTRIBUTORS, LLC/CIFG FUNDS		X	X	X			X	X			
6607	QB	NORTHERN LIGHTS DISTRIBUTORS, LLC/COMPASS EMP FUNDS			X				X				
5395	XH	NORTHERN LIGHTS DISTRIBUTORS, LLC/COPELAND RISK MANAGED DIVIDEND GROWT		X	X	X			X	X			
5379	4D	NORTHERN LIGHTS DISTRIBUTORS, LLC/CWC AGGRESSIVE VALUE SMALL CAP FUND		X	X	X			X	X			
3748	PC	NORTHERN LIGHTS DISTRIBUTORS, LLC/DELUX FUNDS		X	X				X	X			
5304	JB	NORTHERN LIGHTS DISTRIBUTORS, LLC/DIVERSIFIED RISK PARITY FUND		X	X	X			X				
5701	OK	NORTHERN LIGHTS DISTRIBUTORS, LLC/EAS FUNDS		X	X	X			X	X	X		
5319	L5	NORTHERN LIGHTS DISTRIBUTORS, LLC/FOXHALL GLOBAL TRENDS FUND		X	X	X			X	X			
3744	04	NORTHERN LIGHTS DISTRIBUTORS, LLC/GINKGO MULTI-STRATEGY FUND		X	X	X			X	X			

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3707	JW	NORTHERN LIGHTS DISTRIBUTORS, LLC/GPS MULTIPLE STRATEGY FUND		X	X	X			X	X			
3767	29	NORTHERN LIGHTS DISTRIBUTORS, LLC/HUNDREDFOLD FUNDS		X	X	X			X	X			
3724	OZ	NORTHERN LIGHTS DISTRIBUTORS, LLC/IRON HORSE FUND			X	X			X	X			
5041	0S	NORTHERN LIGHTS DISTRIBUTORS, LLC/KCM MACRO TRENDS FUND		X						X			
3714	3B	NORTHERN LIGHTS DISTRIBUTORS, LLC/MAKEFIELD MANAGED FUTURES STRATEGY F			X	X			X	X			
5394	OA	NORTHERN LIGHTS DISTRIBUTORS, LLC/NAVIGATOR EQUITY HEDGED FUND		X	X	X			X	X			
5359	OY	NORTHERN LIGHTS DISTRIBUTORS, LLC/NILE FUNDS		X	X	X			X	X			
3758	17	NORTHERN LIGHTS DISTRIBUTORS, LLC/PEREGRINE GOLD SILVER ALPHA STRATEGY		X	X	X			X	X			
5332	U2	NORTHERN LIGHTS DISTRIBUTORS, LLC/POWER INCOME FUND		X	X	X			X	X			
5381	QG	NORTHERN LIGHTS DISTRIBUTORS, LLC/PRINCETON FUTURES STRATEGY FUND		X	X	X			X	X			
5335	UA	NORTHERN LIGHTS DISTRIBUTORS, LLC/PSI FUNDS		X	X	X			X	X			
5924	0W	NORTHERN LIGHTS DISTRIBUTORS, LLC/PTA FUNDS		X	X	X			X	X			
3756	15	NORTHERN LIGHTS DISTRIBUTORS, LLC/QUANTITATIVE MANAGED FUTURES STRATEG		X	X	X			X	X			
5396	Y1	NORTHERN LIGHTS DISTRIBUTORS, LLC/SCA FUNDS		X	X	X			X	X			
3708	OU	NORTHERN LIGHTS DISTRIBUTORS, LLC/TATRO CAPITAL TACTICAL APPRECIATION		X	X	X			X	X			
3722	G0	NORTHERN LIGHTS DISTRIBUTORS, LLC/THE FX STRATEGY FUND			X	X			X	X			
3716	6E	NORTHERN LIGHTS DISTRIBUTORS, LLC/THE GIRALDA FUND			X	X			X	X			
5403	FZ	NORTHERN LIGHTS DISTRIBUTORS, LLC/THE LACERTE GUARDIAN FUND		X	X	X			X	X			
6518	5S	NORTHERN LIGHTS DISTRIBUTORS, LLC/TIMOTHY PLAN	Y	X	X	X		X	X	X	X		
3711	SN	NORTHERN LIGHTS DISTRIBUTORS, LLC/TOPS PORTFOLIOS		X	X	X			X	X			
5853	NS	NORTHERN LIGHTS DISTRIBUTORS, LLC/TRIBUTARY FUNDS		X	X	X			X	X	X		
3757	16	NORTHERN LIGHTS DISTRIBUTORS, LLC/TRIEX FUND		X	X	X			X	X			
3751	11	NORTHERN LIGHTS DISTRIBUTORS, LLC/TWO OAKS FUNDS		X	X	X			X	X			
3769	32	NORTHERN LIGHTS DISTRIBUTORS, LLC/VERTICAL CAPITAL INCOME FUND		X	X	X			X	X			
5740	2X	NORTHERN LIGHTS DISTRIBUTORS, LLC/WINTRUST CAPITAL FUNDS		X	X	X	X	X	X	X			
3715	4H	NORTHERN LIGHTS DISTRIBUTORS, LLC/ZEO STRATEGIC INCOME FUND			X	X			X	X			
6689	PU	NORTHERN TRUST COMPANY/GREAT BANC COLLECTIVE INVESTMENT TRUST I			X			X	X	X			
5520	NU	NUVEEN SECURITIES, LLC	Y	X	X	X	X	X	X	X		X	
4828	LW	NUVEEN SECURITIES, LLC/DUBLIN FUNDS			X			X	X				
5869	SV	NUVEEN SECURITIES, LLC/NUVEEN FUNDS	Y	X	X	X	X	X	X	X	X		

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5539	NY	NYLIFE SECURITIES LLC		X	X	X	X	X	X	X	X		
5787		NYLIFE SECURITIES LLC/COLLECTIVE TRUST			X					X			
4851	XI \$	OAKMARK FUND	Y	X	X		X	X	X	X			
5697	OW \$	OBERWEIS SECURITIES, INC.	Y	X	X	X		X	X	X	X		
5775	OS	OLSTEIN ASSOCIATES L.P.			X	X	X	X	X	X	X		
5689	1T	ONEAMERICA FUNDS, INC.			X				X	X			
5470	AN	OPPENHEIMER FUNDS DIST, INC./OREGON 529 PRODUCT			X	X		X	X				
5844	3P \$	OPPENHEIMER FUNDS DIST, INC/MASS MUTUAL INSTIT FND		X	X	X		X	X	X			
4941	N4	OPPENHEIMER FUNDS DIST, INC/NEW MEXICO 529 PLAN			X	X			X				
5511	OP	OPPENHEIMERFUNDS DISTRIBUTOR, INC.	Y	X	X	X	X	X	X	X	X	X	
4860	CM \$	PACIFIC GLOBAL FUND, INC.		X	X	X		X	X	X			
5478	PA	PACIFIC SELECT DISTRIBUTORS, INC.	Y	X	X	X	X	X	X	X			
5476	E9	PARADIGM FUNDS			X	X			X	X			
5783	TU	PARNASSUS INVESTMENTS		X	X				X	X	X		
5535	QV \$	PAYDEN & RYSEL DISTRIBUTORS		X	X			X	X	X	X		
6683	AW \$	PFS FUNDS			X	X			X				
4991	N2	PIMCO INVESTMENTS LLC		X	X	X	X	X	X	X	X	X	
4836	ZD	PIMCO INVESTMENTS LLC/GLOBAL INVESTOR SERIES			X	X		X	X				
4925	IO	PINNACLE INVESTMENTS, LLC			X	X			X	X			
3609	68	PINNACLE INVESTMENTS, LLC/TARKIO FUND			X				X				
5502	PR	PIONEER INVESTMENT MANAGEMENT USA INC.	Y	X	X	X	X	X	X	X	X	X	
5743	N5	PIONEERINVTMNTMANAGEMENTUSAINC/PIONEER FUNDS INTL		X	X	X		X	X	X			
5687	O2	POPULAR SECURITIES, INC.		X	X	X		X	X	X			
5607	8J	PRINCOR FINANCIAL SERVICES CORP / PRINCIPAL LIFE INSURANCE CO									X		
5212	3L	PRINCOR FINANCIAL SERVICES CORP/PGI			X				X				
5546		PRINCOR FINANCIAL SERVICES CORPORATION			X			X					
5836	GW \$	PRINCOR FINANCIAL SERVICES CORPORATION/PRINCIPAL FUNDS		X	X	X	X	X	X	X	X	X	
4811	F8	PRINCOR FINANCIAL SERVICES CORPORATION/UNION BOND & TRUST			X			X	X	X			
5151		PROFESSIONAL FUNDS DISTRIBUTOR, LLC			X								
5879	GC \$	PROFESSIONAL FUNDS DISTRIBUTOR, LLC/PNC FUNDS		X	X	X	X	X	X	X	X		
6620	7E	PROFESSIONAL FUNDS DISTRIBUTOR, LLC/ROXBURY FUNDS	Y	X	X	X		X	X	X	X		
5550	RS	PROFESSIONAL FUNDS DISTRIBUTOR, LLC/WILMINGTON FUNDS	Y	X	X	X		X	X	X	X		
4969	R4 \$	PROFUNDS DISTRIBUTORS, INC.		X	X	X	X	X	X	X			
4968	SM	PRUDENTIAL INSURANCE COMPANY OF AMERICA			X			X	X	X			

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6526	Z5	PRUDENTIAL INSURANCE COMPANY OF AMERICA / PRUDENTIAL TRUST COMPANY INC											X
6525	TX	PRUDENTIAL INSURANCE COMPANY OF AMERICA/PRUDENTIAL RETIREMENT INSURANC			X			X	X	X			
5746	6Y	PRUDENTIAL INV MGT, LLCWORLDWIDE INV PORTFOLIO FDS			X			X	X				
5805	PM	PRUDENTIAL INVESTMENT MANAGEMENT SERVICES LLC	Y	X	X		X	X	X	X	X	X	
5505	PT	PUTNAM RETAIL MANAGEMENT LIMITED PARTNERSHIP	Y	X	X	X	X	X	X	X	X	X	
5893	PV	PUTNAM RETAIL MANAGEMENT LTD PARTNERSHIP/EMBASSY FUNDS		X	X			X	X	X			
4908	EI	QUASAR DIST, LLC/MATRIX ADVISORS VALUE FD INC		X	X		X	X	X	X			
5848	V5	QUASAR DISTRIBUTOR, LLC/KEYSTONE FUNDS		X	X	X	X	X	X	X			
5887	4C	QUASAR DISTRIBUTORS LLC / FORT PITT CAPITAL FUNDS		X	X		X		X	X			
6608	6A	\$ QUASAR DISTRIBUTORS LLC/ KINETICS MUTUAL FUNDS		X	X	X	X	X	X	X		X	
5614	VV	QUASAR DISTRIBUTORS LLC/ACTIVEPASSIVE FUNDS		X	X	X	X		X	X			
5693	8B	\$ QUASAR DISTRIBUTORS LLC/BRANDES INVESTMENT TRUST	Y	X	X	X		X	X	X	X		
4922	LU	QUASAR DISTRIBUTORS LLC/COUNTRY FUNDS		X	X		X	X	X	X			
5561	HY	QUASAR DISTRIBUTORS LLC/EMPIRIC FUNDS		X	X	X	X		X	X			
4955	D5	\$ QUASAR DISTRIBUTORS LLC/GLENMEDE FUND, INC.		X	X	X		X	X	X			
4981	D2	\$ QUASAR DISTRIBUTORS LLC/HARDING LOEVNER FDS INC		X	X				X	X			
4869	JT	\$ QUASAR DISTRIBUTORS LLC/HENNESSY MUTUAL FDS INC		X	X		X	X	X	X			
5755	GQ	\$ QUASAR DISTRIBUTORS LLC/INVESTEC OFFSHORE FDS			X			X	X				
4960	8K	QUASAR DISTRIBUTORS LLC/JACOB INTERNET FUND		X	X		X		X	X			
5440	U7	\$ QUASAR DISTRIBUTORS LLC/PERKINS FUNDS		X	X	X	X		X	X			
6547	Q9	QUASAR DISTRIBUTORS LLC/PHOCUS FUNDS		X	X	X			X	X			
5901	NA	QUASAR DISTRIBUTORS LLC/PROSPECTOR FUNDS		X	X	X	X		X	X			
5368	X0	QUASAR DISTRIBUTORS, LLC		X	X	X	X		X	X			
6638	8D	\$ QUASAR DISTRIBUTORS, LLC / AMERICAN TR ALLEGIANCEFUND			X	X	X	X	X	X			
5911	BU	QUASAR DISTRIBUTORS, LLC / BRANDES DUBLIN OFFSHORE			X								
5741	8U	QUASAR DISTRIBUTORS, LLC / CONGRESS ASSET MANAGEMENT		X	X	X	X		X	X			
6567	3X	\$ QUASAR DISTRIBUTORS, LLC / DUNCAN HURST AGGRESSIVE GROWTH FUND		X	X		X		X	X			
6530	EE	QUASAR DISTRIBUTORS, LLC / HUBER CAPITAL FUNDS		X	X	X	X		X	X			
5057	2B	QUASAR DISTRIBUTORS, LLC / INVESTEC GLOBAL STRATEGY FUNDS			X	X		X	X				
6536	VZ	QUASAR DISTRIBUTORS, LLC / NEW COVENANT FUNDS		X	X	X	X	X	X	X			
5602	G4	QUASAR DISTRIBUTORS, LLC / PERRITT FUNDS		X	X		X	X	X	X			
4723	0E	QUASAR DISTRIBUTORS, LLC / SCHOONER GROWTH & INCOME FUND		X	X	X	X		X	X			

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5626	TA	\$ QUASAR DISTRIBUTORS, LLC CHASE GROWTH FUND		X	X		X	X	X	X			
6612	8M	\$ QUASAR DISTRIBUTORS, LLC/ AEGIS FUNDS		X	X		X		X	X			
4978	C7	\$ QUASAR DISTRIBUTORS, LLC/ BRANDYWINE FUNDS			X	X	X	X	X	X			
5437	1L	QUASAR DISTRIBUTORS, LLC/ BROWN ADVISORY FUNDS								X	X		
4727	5B	QUASAR DISTRIBUTORS, LLC/ NEWGATE FUNDS		X	X	X	X		X	X			
6687	YI	QUASAR DISTRIBUTORS, LLC/ WINSLOW GREEN FUNDS			X				X	X			
4957	EX	QUASAR DISTRIBUTORS, LLC/ABERDEEN OFFSHORE FUNDS			X			X	X				
6521	HG	QUASAR DISTRIBUTORS, LLC/ABERDEEN SHY FUNDS			X				X				
5612	VK	QUASAR DISTRIBUTORS, LLC/ACADEMY FUND TRUST		X	X	X	X		X	X			
5937	DJ	QUASAR DISTRIBUTORS, LLC/AKRE FUNDS		X	X	X	X		X	X			
4993	Q8	QUASAR DISTRIBUTORS, LLC/AL FRANK FUND		X	X	X	X	X	X	X			
5365	WM	QUASAR DISTRIBUTORS, LLC/ALPHA CAPITAL FUNDS			X				X				
5896	P5	\$ QUASAR DISTRIBUTORS, LLC/ALPINE FUNDS		X	X	X	X	X	X	X			
4935	8W	QUASAR DISTRIBUTORS, LLC/APPLETON GROUP FUNDS		X	X	X	X		X	X			
6675	9Z	QUASAR DISTRIBUTORS, LLC/ARTIO GLOBAL FUND		X	X		X	X	X	X	X		
5480	1Q	\$ QUASAR DISTRIBUTORS, LLC/BARRETT GROWTH FUND		X	X		X	X	X	X			
5350	WA	QUASAR DISTRIBUTORS, LLC/BOSTON COMMON FUNDS		X	X		X		X	X			
5531	JV	QUASAR DISTRIBUTORS, LLC/BRIDGES INVESTMENT FD		X	X		X		X	X			
4931	5W	\$ QUASAR DISTRIBUTORS, LLC/BUFFALO FUNDS		X	X		X	X	X	X			
5691	VW	\$ QUASAR DISTRIBUTORS, LLC/CAP ADVISOR GROWTH FUND		X	X		X	X	X				
5327	T5	QUASAR DISTRIBUTORS, LLC/COLDSTREAM DIVIDEND GROWTH FUND		X	X		X		X	X			
5328	T6	QUASAR DISTRIBUTORS, LLC/CUSHING FUNDS		X	X	X	X		X	X			
5353	MR	QUASAR DISTRIBUTORS, LLC/DOUBLELINE FUNDS		X	X	X	X		X	X			
5952	E7	QUASAR DISTRIBUTORS, LLC/DSM FUNDS		X	X		X		X	X			
5727	VU	\$ QUASAR DISTRIBUTORS, LLC/EDGAR LOMAS FUND		X	X		X		X	X			
5666	W1	\$ QUASAR DISTRIBUTORS, LLC/FUND *X UPGRADER FUND		X	X		X	X	X	X			
6527	C9	QUASAR DISTRIBUTORS, LLC/GENEVA ADVISORS ALL CAP GROWTH FUND		X	X	X	X		X	X	X		
5864	JH	QUASAR DISTRIBUTORS, LLC/GENWORTH FUNDS			X				X				
5938	DY	QUASAR DISTRIBUTORS, LLC/GERSTEIN FISHER FUNDS		X	X	X	X		X	X			
3700	YA	QUASAR DISTRIBUTORS, LLC/GOODHAVEN FUND		X	X		X		X	X			
5495	J4	\$ QUASAR DISTRIBUTORS, LLC/GPS FUNDS I		X	X		X	X	X	X			
6513	4V	QUASAR DISTRIBUTORS, LLC/GREENSPRING FUND		X	X		X		X	X			
5754	OC	\$ QUASAR DISTRIBUTORS, LLC/GUINNESS ATKINSON FUNDS		X	X		X	X	X	X			
5449	NJ	QUASAR DISTRIBUTORS, LLC/HATTERAS FUNDS		X	X	X	X		X	X			

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5792	QF	QUASAR DISTRIBUTORS, LLC/HODGES FUND	Y	X	X	X	X	X	X	X			
4984	V9	QUASAR DISTRIBUTORS, LLC/HOTCHKIS & WILEY FUNDS		X	X	X	X	X	X	X	X		
4815	HZ	QUASAR DISTRIBUTORS, LLC/INTREPID		X	X		X		X	X			
5917	FM	QUASAR DISTRIBUTORS, LLC/JORDAN OPPORTUNITY FUND		X	X	X	X		X	X			
5698	AY	QUASAR DISTRIBUTORS, LLC/JULIUS BAER OFFSHORE FUNDS			X			X	X				
6685	BO \$	QUASAR DISTRIBUTORS, LLC/KIRR MARBACH PRTNS FD INC		X	X	X	X		X	X			
4883	LY \$	QUASAR DISTRIBUTORS, LLC/LKCM FUNDS		X	X		X	X	X	X			
5366	WP	QUASAR DISTRIBUTORS, LLC/MAINGATE MLP FUNDS			X				X				
6546	RW	QUASAR DISTRIBUTORS, LLC/MAIRS AND POWER GROWTH FUND		X	X		X		X	X			
5406	HO	QUASAR DISTRIBUTORS, LLC/MARINER FUNDS		X	X	X	X		X	X			
6529	D8	QUASAR DISTRIBUTORS, LLC/MARKETFIELD FUNDS		X	X		X		X	X	X		
5766	QN \$	QUASAR DISTRIBUTORS, LLC/MONETTA FUNDS		X	X	X	X	X	X	X			
5354	MX	QUASAR DISTRIBUTORS, LLC/MORGAN DEMPSEY FUNDS		X	X	X	X		X	X			
4986	D6 \$	QUASAR DISTRIBUTORS, LLC/MUHLENKAMP FUND			X		X	X	X	X			
5847	NG \$	QUASAR DISTRIBUTORS, LLC/MUTUALS.COM		X	X	X	X	X	X	X			
5317	L0	QUASAR DISTRIBUTORS, LLC/NIEMANN FUND		X	X	X	X		X	X			
5318	L3	QUASAR DISTRIBUTORS, LLC/O'SHAUGHNESSY FUNDS		X	X	X	X		X	X			
4840	6M \$	QUASAR DISTRIBUTORS, LLC/OSTERWEIS FUND		X	X		X		X	X			
6627	3N \$	QUASAR DISTRIBUTORS, LLC/PERMANENT PORTFOLIO		X	X		X		X	X			
5793	0T	QUASAR DISTRIBUTORS, LLC/PICTET FUNDS			X	X		X	X				
5616	S2	QUASAR DISTRIBUTORS, LLC/PINEBRIDGE FUNDS		X	X	X	X	X	X	X			
5404	HJ	QUASAR DISTRIBUTORS, LLC/POPLAR FOREST PARTNERS FUND		X	X	X	X		X	X			
4929	C1 \$	QUASAR DISTRIBUTORS, LLC/PORTFOLIO 21		X	X		X	X	X	X			
5858	W9	QUASAR DISTRIBUTORS, LLC/PRIMARY TREND FUND		X	X		X		X	X			
4895	MD	QUASAR DISTRIBUTORS, LLC/PRIME CAP ODYSSEY FUNDS		X	X		X		X	X			
4885	XB \$	QUASAR DISTRIBUTORS, LLC/QUAKER INVESTMENT TRUST	Y	X	X		X	X	X	X	X		
5413	IR	QUASAR DISTRIBUTORS, LLC/RBC FUNDS TRUST		X	X	X	X		X	X	X		
4990	E1	QUASAR DISTRIBUTORS, LLC/ROCHDALE FUNDS		X	X	X	X	X	X				
5355	N0	QUASAR DISTRIBUTORS, LLC/ROCKLAND TRUST		X	X	X	X		X	X			
5729	5N	QUASAR DISTRIBUTORS, LLC/SELECTOR MANAGEMENT FUND			X	X			X				
6686	SR	QUASAR DISTRIBUTORS, LLC/SMEAD VALUE FUND		X	X	X	X		X	X			
4898	MZ	QUASAR DISTRIBUTORS, LLC/TCM SMALL CAP GROWTH FUND		X			X		X	X			
4916	M5	QUASAR DISTRIBUTORS, LLC/TEBERG FUND		X	X		X		X	X			
4976	Y8	QUASAR DISTRIBUTORS, LLC/THE SNOW CAPITAL FAMILY OF FUNDS		X	X	X	X	X	X	X			

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6636	8N	QUASAR DISTRIBUTORS, LLC/THOMAS WHITE FUNDS			X		X		X	X			
5557	A3	\$ QUASAR DISTRIBUTORS, LLC/THOMPSON PLUMB FUNDS			X		X	X	X	X			
4886	CN	QUASAR DISTRIBUTORS, LLC/VILLERE BALANCED FUND		X	X				X	X			
6626	7M	QUASAR DISTRIBUTORS, LLC/WALL STREET FUND		X	X		X	X	X				
5326	T0	QUASAR DISTRIBUTORS, LLC/WBI FUNDS		X	X		X		X	X			
6674	LN	QUASAR DISTRIBUTORS, LLC/WISCAP FUNDS		X	X	X	X		X	X			
4874	F2	QUASAR DISTRIBUTORS, LLC/WY FUNDS	Y	X	X		X	X	X	X			
5774	YC	\$ QUASAR DISTRIBUTORS, LLC/YACKTMAN FUND		X	X		X	X	X	X			
4892	ZN	\$ QUASAR DISTRIBUTORS,LLC / LITMAN GREGORY FUNDS		X	X		X	X	X	X			
5566	FP	\$ QUASAR DISTRIBUTORS,LLC/DOW JONES ISLAMIC INDEX FD		X	X		X	X	X	X			
5491	MT	\$ QUASAR DISTRIBUTORS,LLC/JENSEN PORTFOLIO INC		X	X	X	X	X	X	X			
6643	8H	\$ QUASAR DISTRIBUTORS,LLC/PURISMA FUNDS		X	X		X		X	X			
4876	QL	\$ QUASAR DISTRIBUTORS,LLC/RAINIER FUNDS		X	X		X	X	X	X			
5945	Z8	QUASAR DISTRIBUTORS. LLC / DAVIDSON FUNDS			X	X			X	X			
4884	KE	QUEENS ROAD SECURITIES, LLC			X				X	X			
5656	KK	\$ RAFFERTY CAPITAL MARKETS / LEUTHOLD FDS		X	X	X	X	X	X	X			
5899	1V	\$ RAFFERTY CAPITAL MARKETS LLC/BERKSHIRE FUNDS			X				X	X			
6682	AT	\$ RAFFERTY CAPITAL MARKETS LLC/MARKETOCRACY FUNDS			X		X		X	X			
5726	K6	\$ RAFFERTY CAPITAL MARKETS, LLC		X	X	X	X	X	X	X			
5908	B5	RAFFERTY CAPITAL MARKETS, LLC / SPARROW FUNDS			X				X				
5867	3Z	RAFFERTY CAPITAL MARKETS, LLC/ SATUIT FUNDS			X				X	X			
5387	R8	RAFFERTY CAPITAL MARKETS, LLC/ACADIA MUTUAL FUNDS			X				X				
5124	YH	RAFFERTY CAPITAL MARKETS, LLC/ARCHER FUNDS			X				X				
5323	S3	RAFFERTY CAPITAL MARKETS, LLC/CHOU AMERICA MUTUAL FUNDS			X				X				
5367	WW	RAFFERTY CAPITAL MARKETS, LLC/ENTREPRENEUR SHARES			X	X			X				
6634	GO	RAFFERTY CAPITAL MARKETS, LLC/EPIPHANY FUNDS		X	X	X		X	X	X			
4863	KM	\$ RAFFERTY CAPITAL MARKETS, LLC/LONGLEAF PARTNER FUNDS		X	X	X	X	X	X	X	X		
3704	RZ	RAFFERTY CAPITAL MARKETS, LLC/NEW FRONTIERS KC INDIA FUND			X				X				
5131	Z6	RAFFERTY CAPITAL MARKETS, LLC/ORACLE FUNDS			X				X				
5771	B3	RAFFERTY CAPITAL MARKETS, LLC/TANAKA FUNDS			X				X				
5811	VQ	\$ REICH & TANG DISTRIBUTORS, INC.	Y		X			X	X				
4896	6I	RELIANCE TRUST COMPANY			X			X	X	X			
5454	X3	\$ RENAISSANCE CAPITAL GREENWICH FUNDS		X	X	X		X	X		X		
6573	2I	\$ REYNOLDS FUNDS INC			X		X	X	X	X			

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4989	E3	\$ RFS PARTNERS			X			X	X	X			
4905	EF	\$ ROBERT W. BAIRD & CO. INCORPORATED			X	X	X	X	X	X			
5663	QU	\$ ROYCE FUND SERVICES, INC.	Y	X	X	X	X	X	X	X	X	X	
5762	RM	\$ RUSSELL FINANCIAL SERVICES, INC.		X	X	X	X	X	X	X	X	X	
5964	51	RUSSELL TRUST COMPANY			X				X	X			
4912	YD	\$ RYDEX DISTRIBUTORS LLC		X	X	X		X	X	X	X		
4983	VI	SATURNA BROKERAGE SERVICES, INC			X	X	X		X	X	X		
4956	A6	\$ SCHRODER FUND ADVISORS LLC		X	X	X		X	X	X	X		
4853	P3	SCHRODER FUND ADVISORS LLC/SCHRODER FUND ADVISORS INTERNATIONAL DIVISI			X	X		X	X				
4913	S9	SEI INVEST DIST. CO /CAUSEWAY CAPITAL MGMT TRUST		X	X	X	X		X	X	X		
6691	EC	SEI INVEST DISTRIB CO/HANCOCK HORIZON FAMILY OF FD			X	X		X	X	X			
5763	NV	\$ SEI INVEST. DISTRI. CO./ADVISORS INNER CIRCLE FDS	Y	X	X	X	X	X	X	X	X	X	
4973	EH	\$ SEI INVESTEMENTS DISTRIBUTION/UA S&P 500INDEX FUND		X	X	X		X	X	X			
6667	9X	\$ SEI INVESTMENT DISTRIBUTION CO / CNI CHARTER FDS		X	X	X			X	X			
5559	RX	SEI INVESTMENTS DISTR CO /CNI CHARTER FDS II			X	X			X	X	X		
5606	HP	SEI INVESTMENTS DISTRI COMPANY/FIRST HAWAIIAN FUND		X	X	X	X		X	X	X		
5717	IL	\$ SEI INVESTMENTS DISTRI.COMPANY/SEI INSTIUTIONAL		X	X	X		X	X	X			
5748	K8	SEI INVESTMENTS DISTRIBUTION CO./CRA FUNDS		X	X	X		X	X	X			
5343	VA	SEI INVESTMENTS DISTRIBUTION CO/RIVERPARK FUNDS TRUST		X	X				X	X			
4875	NM	\$ SEI INVESTMENTS DISTRIBUTION CO/WILSHIRE MUTUAL FUNDS		X	X			X	X	X			
6539	KQ	SEI INVESTMENTS DISTRIBUTION, CO./TD ASSET MANAGEMENT USA FUNDS INC.		X	X	X		X	X	X			
4952	5I	SEI TRUST COMPANY			X				X	X			
5873	ES	SENTINEL FINANCIAL SERVICES COMPANY	Y	X	X	X	X	X	X	X	X	X	
5779	QI	\$ SIA SECURITIES CORP.		X	X	X		X	X	X	X		
5575		SMITH HAYES FINANCIAL SERVICES CORPORATION			X								
5708	K1	SMITH HAYES FINL SVCS/ COLORADO BONDSHARES			X				X				
4971		STATE FARM VP MANAGEMENT CORP.			X		X						
6511	XR	STATE STREET GBL MKTS LLC/STATE ST COLLECTIVE FD			X			X	X	X			
5641	RU	\$ STATE STREET GLOBAL MARKETS, LLC	Y	X	X	X		X	X	X	X		
4946	ZY	STATE STREET GLOBAL MARKETS, LLC / IBT			X				X	X			
6576	JF	STATETRUST CAPITAL, LLC			X			X	X				
5596	SA	SUNAMERICA CAPITAL SERVICES, INC.	Y	X	X	X	X	X	X	X	X		
5560	6T	SUNAMERICA CAPITAL SERVICES, INC./VALIC			X			X	X	X			

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5161	0F	SYMETRA LIFE INSURANCE COMPANY			X			X	X	X			
4881	KB	SYNDICATED CAPITAL, INC.		X	X	X			X	X	X		
4911	4U	T. ROWE PRICE INVESTMENT SERIVCES, INC./SICAV FUNDS SICAV			X	X			X				
5586	TP \$	T. ROWE PRICE INVESTMENT SERVICES, INC.	Y	X	X	X	X	X	X	X	X	X	
5907	5T	T.ROWE PRICE INVESTMENT SERVICES / T. ROWE PRICE TRUST COMPANY								X			
6563	3T \$	TCW INVESTMENT MANAGEMENT COMPANY	Y	X	X	X	X	X	X	X	X		
6566	LR	TD AMERITRADE TRUST COMPANY			X			X	X	X	X		
5668	KS \$	TEACHERS ADVISORS, INC.			X	X	X	X	X	X	X		
5392	QS	TEACHERS ADVISORS, INC./LIFE FUNDS			X				X	X	X		
5690	TK	TEMPLETON SICAV FUNDS/FRANKLIN TEMP DIST INC.		X	X	X		X	X				
4859	YZ	THE BANCORP BANK			X			X	X	X			
3606	60	THE BANCORP BANK/MASTER DEMAND ACCOUNT ADVANTAGE			X				X				
6625	OL	THE NORTHERN TRUST COMPANY		X	X				X	X			
5541	TH	THORNBURG SECURITIES CORPORATION	Y	X	X	X	X	X	X	X	X		
5106	1B	THRIVENT MUTUAL FUNDS			X	X	X	X	X	X			
5862	TO	TOCQUEVILLE SECURITIES, L.P.		X	X	X	X	X	X	X	X		
5711	WG	TOUCHSTONE SECURITIES, INC.			X	X	X	X	X	X			
5580	IS	TRANSAMERICA CAPITAL, INC.	Y	X	X	X	X	X	X	X	X	X	
6739	ZG	TRANSAMERICA CAPITAL, INC. / DIVERSIFIED FUNDS		X					X		X		
6738	ZF	TRANSAMERICA CAPITAL, INC. / SERIES TRUST FUNDS		X	X				X	X	X		
5488	RT \$	TWEEDY, BROWNE COMPANY LLC		X	X			X	X	X	X		
4919	YK \$	U. S. BOSTON CAPITAL CORPORATION		X	X			X	X	X			
5442	V7 \$	U.S. GLOBAL INVESTORS FUNDS	Y	X	X		X	X	X	X			
5795	HR	UBS GLOBAL ASSET MANAGEMENT (US) INC.		X	X	X		X	X	X	X		
5603	P7	UBS GLOBAL ASSET MANAGEMENT/GROUP TRUST FUNDS		X	X	X		X	X	X			
6510	4F	UBS GLOBAL ASSET MGT (US),INC./OFFSHORE FUNDS			X	X		X	X				
5593	NN	UBS GLOBAL ASSET MNGMNT (US) INC./PUERTO RICO FD			X			X	X				
5732	RG \$	ULTIMUS FD DISTRIBUTORS, LLC/GOVERNMENT STREET FDS		X	X				X	X			
6593	5J	ULTIMUS FUND DIST, LLC/PROFIT FDS INVESTMENT TR		X	X				X	X			
5830	M4 \$	ULTIMUS FUND DIST, LLC/SCHWARTZ INVESTMENT TR		X	X				X	X			
5558	E2 \$	ULTIMUS FUND DISTRIBUTION, LLC/THE GKM FUNDS		X	X				X	X			
5409	IC	ULTIMUS FUND DISTRIBUTORS LLC/NCM CAPITAL INVESTMENT TRUST		X	X				X	X			
5114	LV	ULTIMUS FUND DISTRIBUTORS LLC/PAPP INVESTMENT TRUST		X	X				X	X			
5639	ZS	ULTIMUS FUND DISTRIBUTORS LLC/STRALEM FUNDS		X	X				X	X			

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5653	1Y	ULTIMUS FUND DISTRIBUTORS, LLC/APHAMARK INVESTMENT TRUST		X	X				X				
5605	G7 \$	ULTIMUS FUND DISTRIBUTORS, LLC/BERWYN FUNDS	Y	X	X			X	X	X	X		
5730	BH	ULTIMUS FUND DISTRIBUTORS, LLC/CM ADVISERS FAMILY OF FUNDS								X			
5567	L9 \$	ULTIMUS FUND DISTRIBUTORS, LLC/DAVENPORT & CO.		X	X	X			X	X			
5888	JS	ULTIMUS FUND DISTRIBUTORS, LLC/GARDNER LEWIS INVESTMENT TRUST		X	X	X			X	X	X		
5490	2G \$	ULTIMUS FUND DISTRIBUTORS, LLC/JAMESTOWN FUNDS		X	X				X	X			
6595	KJ	ULTIMUS FUND DISTRIBUTORS, LLC/PIEDMONT INVESTMENT TRUST		X	X				X	X			
6692	L1	ULTIMUS FUND DISTRIBUTORS, LLC/STADION INVESTMENT TRUST		X	X	X			X	X			
5430	FW	ULTIMUS FUND DISTRIBUTORS,LLC /TFS CAPITAL INV TR			X				X	X	X		
5733	RL \$	ULTIMUS FUND DISTIS LLC/FLIPPIN BRUCE & PORTER FD		X	X				X	X			
5479	PD \$	ULTIMUS FUND DISTIS, LLC/HUSSMAN STRATEGIC GRWTH FD		X	X			X	X	X	X		
6534	CF	UMB DISTRIBUTION SERVICES LCC/GIANT 5 FUNDS		X	X	X		X	X	X	X		
5943	0P	UMB DISTRIBUTION SERVICES LLC/COMERICA COLLECTIVE INVESTMENT FUNDS		X	X	X			X	X			
4854	P1 \$	UMB DISTRIBUTION SERVICES LLC/UMB SCOUT FDS		X	X			X	X	X			
5598	N3 \$	UMB DISTRIBUTION SERVICES LLC/WESTPORT FUNDS		X	X	X		X	X	X			
5116	D0	UMB DISTRIBUTION SERVICES, LLC/ALERIAN MLP FUNDS		X	X	X			X	X			
4878	G1	UMB DISTRIBUTION SERVICES, LLC/COMMONWEALTH INTERNATIONAL SERIES TRUST		X	X			X	X	X	X		
4985	7N	UMB DISTRIBUTION SERVICES, LLC/GRAND DS/INVESTMENT MANAGERS SERIES TRU		X	X	X		X	X	X			
6676	01 \$	UMB DISTRIBUTION SERVICES, LLC/GREEN CENTURY FUNDS			X			X	X	X	X		
6599	DZ	UMB DISTRIBUTION SERVICES, LLC/STEWART CAPITAL ADVISORS		X	X	X			X	X			
5841	EY	UMB DISTRIBUTION SVCS LLC/LOTSOFF CAPITAL MGT		X	X			X	X	X			
5728	L6 \$	UMB DISTRIBUTION SVCS LLC/MARSICO INVT FUNDS		X	X	X		X	X	X	X		
5773	WY \$	UMB DISTRIBUTION SVCS LLC/WASATCH ADVISORS			X			X	X	X	X		
4965	B6 \$	UNIFIED FINANCIAL SECURITIES, INC.			X	X		X	X	X	X		
5306	7X	UNIFIED FINANCIAL SECURITIES, INC. / GOLUB GROUP										X	
6574	4J \$	UNIFIED FINANCIAL SECURITIES, INC. / HIRTLE CALLAGHAN TRUST		X	X		X	X	X	X			
5832	IQ	UNIFIED FINANCIAL SECURITIES, INC. / HUNTINGTON FUNDS			X			X	X	X			
5290	6R	UNIFIED FINANCIAL SECURITIES, INC./ AUER GROWTH FUNDS								X	X		
5295	6W	UNIFIED FINANCIAL SECURITIES, INC./ JONES VILLATA OPPORTUNITY FUND									X		
4972	6P	UNIFIED FINANCIAL SECURITIES, INC./API TRUST FUND			X	X			X	X	X		
5286	5Y	UNIFIED FINANCIAL SECURITIES, INC./APPLESEED FUND			X				X		X		

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5291	6S	UNIFIED FINANCIAL SECURITIES, INC./BECKER VALUE FUNDS								X	X		
3729	Y3	UNIFIED FINANCIAL SECURITIES, INC./CLOUD CAPITAL FUNDS			X				X				
5296	6X	UNIFIED FINANCIAL SECURITIES, INC./CRAWFOED FUNDS										X	
4927	KV	UNIFIED FINANCIAL SECURITIES, INC./DEAN FAMILY OF FUNDS			X			X	X	X	X		
5418	TY	UNIFIED FINANCIAL SECURITIES, INC./DREMAN FUND			X	X			X	X			
5287	6C	UNIFIED FINANCIAL SECURITIES, INC./FBO ALTA TRUST								X	X		
4725	4B	UNIFIED FINANCIAL SECURITIES, INC./FBO ROOSEVELT FUND			X	X			X	X			
5294	6U	UNIFIED FINANCIAL SECURITIES, INC./GEIER FUNDS			X				X			X	
3712	GJ	UNIFIED FINANCIAL SECURITIES, INC./HUNTINGTON NATIONAL BANK COLLECTIVE			X	X			X				
5301	6Z	UNIFIED FINANCIAL SECURITIES, INC./IRON FUNDS		X		X				X	X		
5302	7H	UNIFIED FINANCIAL SECURITIES, INC./LEEB FOCUS FUNDS			X	X			X		X		
5380	C4	UNIFIED FINANCIAL SECURITIES, INC./LS OPPORTUNITY FUND			X				X				
5303	7I	UNIFIED FINANCIAL SECURITIES, INC./MARATHON FUNDS								X	X		
5307	8C	UNIFIED FINANCIAL SECURITIES, INC./SYMONS FUNDS									X		
5408	IB	UNIFIED FINANCIAL SECURITIES, INC./TEAM FINANCIAL			X	X			X				
5308	8F	UNIFIED FINANCIAL SECURITIES, INC./TOREADOR FUNDS								X	X		
5704	V2	UPROMISE INVESTMENTS, INC.		X	X	X			X				
5670	B4	UPROMISE INVESTMENTS, INC./PHOENIX PLATFORM ACCOUNT		X	X	X		X	X				
4977	XV \$	VAN ECK SEC CORP/VIP TRUST			X			X	X	X	X		
5524	VE	VAN ECK SECURITIES CORPORATION	Y	X	X	X	X	X	X	X	X		
5772	VG \$	VANGUARD MARKETING CORPORATION		X	X	X	X	X	X	X	X		
4996	RN	VANGUARD MARKTNG CP./VANGUARD INV SERIES PLC FUNDS			X				X				
5517	PH	VP DISTRIBUTORS, INC.		X	X	X	X	X	X	X	X		
3764	28	VP DISTRIBUTORS, INC./VVIT SERIES			X	X			X				
4879	F7	WADDELL & REED, INC.		X	X	X	X	X	X	X	X	X	
5769	OQ \$	WALLACE R. WEITZ & COMPANY			X			X	X	X	X		
5186	4I	WELLS FARGO BANK N.A./WELLS FARGO COLLECTIVE FUNDS			X				X	X			
6766	SQ	WELLS FARGO BANK, N.A.			X			X	X	X			
5576	ST	WELLS FARGO FUNDS DISTRIBUTOR, LLC	Y	X	X	X	X	X	X	X	X	X	
5936	AJ	WELLS FARGO FUNDS DISTRIBUTOR, LLC/WELLS FARGO (LUX) WORLDWIDE			X				X				
6601	9Y \$	WESTCHESTER CAPITAL MANAGEMENT, LLC			X	X	X		X	X			
5737	UZ \$	WESTON SECURITIES CORPORATION			X	X		X	X	X			
5538	BW \$	WILLIAM BLAIR & COMPANY, L.L.C.	Y	X	X	X	X	X	X	X	X		

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4894	BV	WILMINGTON TRUST COMPANY			X				X	X			
5676	AP	WORLD CAPITAL BROKERAGE, INC.	Y	X	X	X	X	X	X	X			
5548	6G	YIELDQUEST SECURITIES, LLC			X	X			X	X	X		