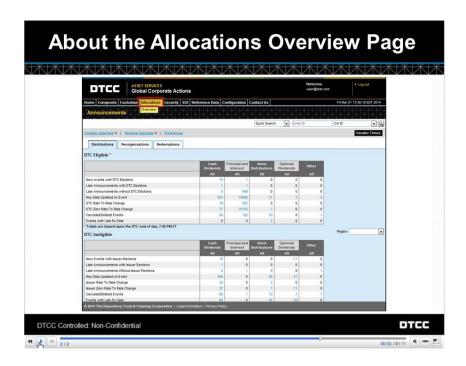


ASSET SERVICES

ABOUT THE ALLOCATIONS OVERVIEW PAGE



The Allocations Overview page provides you with the ability to see all of your allocations information in one place, across distribution types and for a range of fourteen business days.

To get to the Allocations Overview page from anywhere in the Corporate Actions browser, mouse over the **Allocations** button on the menu bar. Then, click **Overview**.

This is the Allocations Overview page. Right now, you can view all of your distributions payment information from this one gateway page.

You'll notice that there are four different twistys, each representing a different entitlement type.

You have:

- Cash (USD),
- **MMI**, for your interest payments on Money Market Instruments,
- Stock, for all of your security allocations, stock spinoffs, stock splits, rights distributions, etc., and
- Cash (CDN) for customers who participate in the Canadian Link Service.

You'll see these same entitlement types in all browser views, and **Cash (USD)** will always be in the open position.

If you want to view the others, you can either click on **Expand All** to open them or just click on each individual twisty. **Collapse All** will close all entitlement type tables.

At any time, you can print the information that you see on this page.

Right-click anywhere on the page, then click **Print...** on the menu that appears. All open entitlement types will be printed.

Note

The event types under the **Cash** twisty. This version of CA Web handles Distribution event types, so those are what you'll see listed here.

You then have a series of five columns, each one containing two sub-columns. The first column, **Total Due**, shows you the amount in U.S. dollars that is due, and a breakdown of the events that comprise that total.

For all of the **Cash** items, the total due is the sum of the **Unallocated** and **Allocated** items. Adjustments such as repos, loans, and fails are not counted in this total. It also doesn't include any projected items.

Moving to the **MMI** twisty, you can see that it works the same way **as Cash (USD)**. Typically, the event type here will be "Interest." Adjustments here are possible, but not typical.

Here in the **Stock** twisty, you can see that there are no dollar amounts – just event counts. This is where you will see your stock payouts. Just like we saw with Cash (USD), the **Total Due** is the total of the unallocated and allocated events. Adjustments are common for Stock events, but these counts are still not included in the Total Due.

Stock events can also have some cash components, as is often the case with dividend reinvestments and cash-in-lieu payments. In these cases, the event may appear in both the **Cash (USD)** and **Stock** tables.

Click on any event count to view the Search Results page for that distribution payout.

© 2020 DTCC. All rights reserved. DTCC, DTCC (Stylized), ADVANCING FINANCIAL MARKETS. TOGETHER, and the Interlocker graphic are registered and unregistered trademarks of The Depository Trust & Clearing Corporation.

The services described herein are provided under the "DTCC" brand name by certain affiliates of The Depository Trust & Clearing Corporation ("DTCC"). DTCC itself does not provide such services. Each of these affiliates is a separate legal entity, subject to the laws and regulations of the particular country or countries in which such entity operates. Please see www.dtcc.com for more information on DTCC, its affiliates and the services they offer.

Doc Date: 2014

Publication Code: CA186 Service: Asset Services

Title: About the Allocations Overview Page

FOR MORE INFORMATION

Email DTCC Learning at:

CoreLearning@dtcc.com

or visit us on the web at:

www.dtcclearning.com