

ASSET SERVICES

ABOUT THE ALLOCATIONS OVERVIEW PAGE

About the Allocations Overview Page

DTCC ASSET SERVICES Global Corporate Actions

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Announcements Overview

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Distributions | Reorganizations | Redemptions

DTC Eligible *

	Cash Dividends	Principal and Interest	Stock Distributions	Optional Dividends	Other
	All	All	All	All	All
New events with DTC Elections	15	1	0	0	0
Late Announcements with DTC Elections	1	1	0	0	0
Late Announcements without DTC Elections	0	489	0	0	0
Key Data Updated on Event	263	14088	31	3	5
DTC Rate To Rate Change	19	202	0	0	0
DTC Zero Rate To Rate Change	77	10742	1	0	0
Cancelled/Deleted Events	24	100	33	0	1
Events with Late Ex Date	0	0	3	0	0

* Totals are based upon the DTC end of day, 7:30 PM ET

DTC Ineligible

	Cash Dividends	Principal and Interest	Stock Distributions	Optional Dividends	Other
	All	All	All	All	All
New Events with Issuer Elections	10	0	0	11	0
Late Announcements with Issuer Elections	1	0	0	0	0
Late Announcements Without Issuer Elections	2	1	0	0	1
Key Data Updated on Event	458	0	80	41	0
Issuer Rate To Rate Change	32	0	3	0	0
Issuer Zero Rate To Rate Change	37	0	1	11	0
Cancelled/Deleted Events	82	1	13	7	1
Events with Late Ex Date	0	0	45	20	0

Region: [Dropdown]

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The Allocations Overview page provides you with the ability to see all of your allocations information in one place, across distribution types and for a range of fourteen business days.

To get to the Allocations Overview page from anywhere in the Corporate Actions browser, mouse over the **Allocations** button on the menu bar. Then, click **Overview**.

This is the Allocations Overview page. Right now, you can view all of your distributions payment information from this one gateway page.

You'll notice that there are four different twistys, each representing a different entitlement type.

You have:

- **Cash (USD)**,
- **MMI**, for your interest payments on Money Market Instruments,
- **Stock**, for all of your security allocations, stock spinoffs, stock splits, rights distributions, etc., and
- **Cash (CDN)** for customers who participate in the Canadian Link Service.

You'll see these same entitlement types in all browser views, and **Cash (USD)** will always be in the open position.

If you want to view the others, you can either click on **Expand All** to open them or just click on each individual twisty. **Collapse All** will close all entitlement type tables.

At any time, you can print the information that you see on this page.

Right-click anywhere on the page, then click **Print...** on the menu that appears. All open entitlement types will be printed.

Note

The event types under the **Cash** twisty. This version of CA Web handles Distribution event types, so those are what you'll see listed here.

You then have a series of five columns, each one containing two sub-columns. The first column, **Total Due**, shows you the amount in U.S. dollars that is due, and a breakdown of the events that comprise that total.

For all of the **Cash** items, the total due is the sum of the **Unallocated** and **Allocated** items. Adjustments such as repos, loans, and fails are not counted in this total. It also doesn't include any projected items.

Moving to the **MMI** twisty, you can see that it works the same way as **Cash (USD)**. Typically, the event type here will be "Interest." Adjustments here are possible, but not typical.

Here in the **Stock** twisty, you can see that there are no dollar amounts – just event counts. This is where you will see your stock payouts. Just like we saw with Cash (USD), the **Total Due** is the total of the unallocated and allocated events. Adjustments are common for Stock events, but these counts are still not included in the Total Due.

Stock events can also have some cash components, as is often the case with dividend reinvestments and cash-in-lieu payments. In these cases, the event may appear in both the **Cash (USD)** and **Stock** tables.

Click on any event count to view the Search Results page for that distribution payout.

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