

## Transcript for "About Cash in Lieu" Help Topic

## Cash-In-Lieu Instructions Page

**DTCC** ASSET SERVICES Global Corporate Actions Welcome test\_user Log out

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Record Detail Page - 77434738

Quick Search Enter ID CA ID

1234567 SEDOL | 123456789 CBIS/CUSIP | Y | SAMPLE GROUP INC - Issuer Description: SG INC |  
 Asset Class: 1 | Asset Type: D10 | Event Group: Distributions | Event Type: Spin-Off | Country of Incorporation: US |  
 Country of Listing: US | CFI Code: ES300X | CCA Requires Attention: N | CCA Requires Review: N |  
 Queue: CTC\_3\_SimpM\_US\_01\_Or\_Plan\_SLABeginDate | Processed at DTCC: Yes | DTC Eligible: Yes |  
 Declared Mandatory/Voluntary: Mandatory | DTC Mandatory/Voluntary: Mandatory |  
 FSO Core MMV: IAAD | FSO Event Code: SOFF

Declared Workflow Status: CT | Workflow Status: CT | XBRL Indicator: N System Data

Event Details Comments Related Events Documentation Instructions View Audit Trail

Position Summary - 00001234 Test Company Inc.

Record Date Position	Entitlement	Record Date	Declared Payable Date	Status	Service Country
83,658,300	16,731,872	16-Oct-2013	30-Nov-2013	No elections	UNITED STATES OF AMERICA

Instruction Quantity (Cash-in-Lieu) - Option Number: 1 - Cut-off Date: 24-Oct-2013 08:00:00 PM EST

Cash-in-Lieu	Net Stock Quantity
0	16,731,872

Number of whole shares to be paid in CL

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This is your Cash-In-Lieu Instructions page for a particular issue. When an Issuer or Agent agrees to pay cash-in-lieu for fractional entitlements at the beneficial owner level, you can enter your instructions on this page.

It shows your Record Date Position, as well as your entitlement based on that position. You can also see the Record Date, this event's Declared Payable Date, and the status of your instructions on the event. When you first open this page, the status reads "No elections."

Below, you can see your Instruction Quantities: Cash-In-Lieu and Net Stock Quantity. Before you make an instruction, your Cash-In-Lieu quantity will be zero and your net stock quantity will be equal to your entitlement.

Here, you can enter the amount of shares to cash out. You can enter any amount up to, but not exceeding, your entitlement.

When you view this instruction later, you'll see that the Cash-In-Lieu Quantity is updated to show your instructed amount. Also, your Net Stock Quantity will reflect your entitlement minus your cashed-out shares.