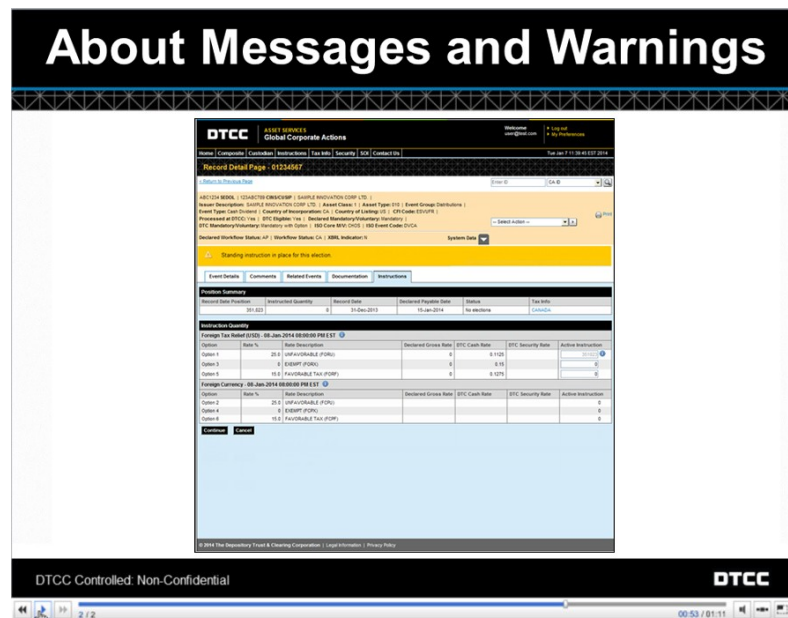


## ASSET SERVICES

### ABOUT MESSAGES AND WARNINGS



Any available informational, warning or error messages for the event, as well as any status messages regarding the instructions, will be displayed above the content area of the instructions tab. These messages follow “traffic signal” logic of red, yellow, green, and blue: red indicates an error, yellow is a warning, green indicates success, and blue is informational.

For example, if there are standing instructions in place for this event, a yellow message in this space informs you of that.

Here is an example of a blue informational message. This one appears if your profile settings do not allow you to make a particular kind of instruction.

Red messages indicate that there is an error. If you make a mistake when entering instructions, a red message in this space directs you to the data that needs correction.

For example, if you enter instruction quantities that add up to a number greater than the record date position amount, you will see an error message that reads “Total instruction quantity for all options may not exceed the record date position.”

A green “Success” message appears in this space after you have successfully submitted an instruction.

A very important example of a yellow caution message appears regarding ISO 20022 messages.

If your firm is submitting automated instructions to DTC via ISO 20022 messaging, and also uses the Corporate Actions Browser to instruct DTC on a particular event, once you use the browser, DTC will no longer accept an ISO 20022 instruction for that event.

In other words, from that point on, any additional changes or instructions for that event would have to be done using the browser.

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**Note**

That more than one message may be displayed in this area at once.

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Keep checking this message space for important information while you use the Corporate Actions browser.

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