



For example, they may include your account being credited or debited on post date due to a repo payment or charge against your account.

Repo adjustments that are pending or allocated appear under the **REPO** twisty on the **Adjustments** tab.

An **Event Summary** at the top of the Adjustments tab shows the **CUSIP, Event Type, Record Date,** and **Payable Date** for the distribution.

The area under the Event Summary lists all adjustments to the event. You can see more than one adjustment type here, listed out in separate tables. This example shows you a repo table, but if there were other adjustments to this event, you'd see those in this space. These tables contain slightly different information, depending on the adjustment type.

To prevent a single periodic principal and income distribution from being tracked without affecting the tracking of any other future distributions on the security, users have the ability to cancel the repo income tracking for adjustments on principal and interest events only which are scheduled to be posted to your account that day.

For repo adjustments, you can see information about the event's option that the adjustment applies to, as well as when the adjustment is scheduled to post to your account.

Specifically, this includes:

- The Option Number.
- The Option Type.
- The Post Date, or the date on which DTC is scheduled to apply the adjustment to your account.
- The Adjustment Amount.
- The Allocation Date/Time. If you hold a long repo net position, you will receive a credit adjustment on the payable date for any periodic principal and income distributions. If you have a short repo net position, you will receive a debit adjustment on the payable date. As is the case with stock loan adjustments, the allocation will occur intraday.
- And finally, REPO Status, which will appear on principal and interest repos if they were canceled or reactivated.

Please note that the **Post Date** may not reflect the **Payable Date**: the post date is when DTC anticipates applying the adjustment to your account. Note that DTC's post date may, in some cases, be several days after the event's payable date.

To see additional information regarding debits and credits to be applied, click any of the **View** hyperlinks. Each link opens a window that shows details of a repo adjustment.

For these adjustments, you will notice that the "prepared by" field will often read "Customer Service." The Customer Help Center's phone number is provided here – you can call for more information if you need it.

Here, we see that on April 15th, you were credited \$53,841.26 for a repo entered into with contra 0123. This repurchase agreement adjustment was recorded by DTC's Automated Transaction Processor ("ATP") system.

Please note that for stock loan and repo adjustments, you can take additional actions on this detail window.

Sometimes, you may need to modify a tracked adjustment entered by DTC processing staff. For instance, you may know of a rate difference. That's where the CA Web gives you the ability to do an adjustment payment order, or "APO."

An APO is a client modification to the cash portion of a stock lending or repo adjustment. Using this feature, you can create cash adjustments to a pending or past ("historical") repo adjustment. You can credit or debit yourself against the same contra participant.

If you and the contra agree to modify the adjustment amount, you can enter an adjustment payment order. Click the **Create APO** button and fill out the requested information. Only the contra party will have the ability to reject the APO.

Check out the Help topics to learn more about APOs.

You can see the repo adjustment amount in the REPO table. If you created an APO, you will see a new twisty on the page containing this information.

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## FOR MORE INFORMATION

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