

ASSET SERVICES

ABOUT ROUND UP

Round-Up Instructions Page

DTCC
 ASSET SERVICES
 Global Corporate Actions

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Wed Oct 23 13:52:56 EDT 2013

Record Detail Page - 77434737

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CA ID

1234567 SEDOL | 123456789 CUSIP | ADR-EACH DIV INTO 8 ORD USD 25/NOT | Issuer Description: SO PLC | Asset Class: 1 | Asset Type: 110 | Event Group: Distributions | Event Type: Stock Dividend | Country of Incorporation: GB | Country of Listing: US | CFI Code: | CCA Requires Attention: NO | CCA Requires Review: N | Queue: SH_STC_Late_mature RUCT | Processed at DTCC: Yes | DTC Eligible: Yes | Declared Mandatory/Voluntary: Mandatory | DTC Mandatory/Voluntary: Mandatory | ISO Core MNO: NAAND | ISO Event Code: DYSE

Declared Workflow Status: CT | Workflow Status: CT | XBRL Indicator: N

System Data

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Position Summary - 00001234 Test Company Inc.					
Record Date Position	Entitlement	Record Date	Declared Payable Date	Status	Service Country
83,558,350	8,355,835	16-Oct-2013	30-Nov-2013	No elections	UNITED STATES OF AMERICA

Instruction Quantity (Round-up) - Option Number - 1 - Cut-off Date: 24-Oct-2013 08:00:00 PM EST

Round-up Quantity:	Net Stock Quantity:
0	8,355,835

Number of additional shares to round up fraction

Instruction Quantity

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This is your Round-Up Instructions page for a particular issue. When an Issuer or Agent agrees to round up fractional entitlements to the beneficial owner level, you can enter your instructions on this page.

It shows your Record Date Position, as well as your entitlement received based on that position. You can also see the Record Date, this event's Declared Payable Date, and the status of your elections on the event. When you first open this page, the status reads "No elections".

Below, you can see your Instruction Quantities: Round-Up and Net Stock Quantity. Before you make an instruction, your Round-Up quantity will be zero and your Net Stock Quantity will be equal to your entitlement.

Here, you can enter the amount of shares to round up. You can enter any amount up to, but not exceeding, your entitlement.

When you view this instruction later, you'll see that the Round-Up Quantity is updated to show your instruction amount. Also, your Net Stock Quantity will reflect your entitlement plus your rounded-up shares.

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Title: About Round Up

FOR MORE INFORMATION

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