AUTOMATING ALTERNATIVES

Firms today have become so accustomed to a state of inefficient, paper-based processes that they can't scale their business to accommodate growing demand from investors. Findings in an industry study* reveal the true complexity of these multi-step processes.

Financial advisor makes proposal to end investor

End investor is provided AI offering documents

Client signs subscription docs and letter of authorization for funds transfer

Documents sent to the home-office operations team for processing

Financial intermediary sends documents to fund administrator

Subscription documents uploaded into workflow system

Broker-dealer compliance review

Documents reviewed to ensure they are in good order

Fund administrator enters information into his/ her internal systems

Fund administrator sends information to AI manager

Financial intermediary remits funds to AI manager

Al manager books the client position

Financial intermediary sends confirmation to end investor

Financial intermediary books the client position

Fund administrator sends confirmation to financial intermediary

Al manager sends confirmation to fund administrator

SIMPLIFY AND SCALE INSTEAD

A solution exists to help manage this subscription process. DTCC's **Alternative Investment Product (AIP) Services** automates firms' operations and helps them cut the time and cost spent on manual processes.

The platform links broker/dealers, fund managers, fund administrators and custodians on a single platform – positioning them to grow, compete and succeed.



AIP manages flow of information



AIP moves money electronically



AIP ensures order completion

LEARN WHAT AIP CAN DO FOR YOU.

CONTACT US



Securing Today. Shaping Tomorrow.®