

[To be Retyped on Participant's Letterhead]

[Date]

[Name of Relationship Manager if applicable]

The Depository and Clearing Corporation

Clearing Banks and Brokers, return form to: [RmSupport@dtcc.com](mailto:RmSupport@dtcc.com)

Mutual Funds and Equity Transfer Agents/Issuers, return form to: [NSCCSUPPORT@DTCC.COM](mailto:NSCCSUPPORT@DTCC.COM)

Any questions pertaining to the form can be directed to the e-mail addresses above

Please set up the following Datatrak/Autoroute files for firm: \_\_\_\_\_

DTCC Solutions Number - CBRS: \_\_\_\_\_

Smart Connection: FTP or NDM Destination ID: \_\_\_\_\_

Web Direct Files – attach DTCC Solutions Access Coordinator Form

CBRS Web Portal Access-attach DTCC Solutions Access Coordinator Form

**Business Contact:**

Name: \_\_\_\_\_

Phone #: \_\_\_\_\_

E-mail: \_\_\_\_\_

**Technical Contact:**

Name: \_\_\_\_\_

Phone #: \_\_\_\_\_

E-mail: \_\_\_\_\_

Production File Numbers	Test File Numbers
<u>Transaction Output Files</u>	
<input type="checkbox"/> Transaction Machine Readable Output (MRO) <b>02400264</b> <input type="checkbox"/> Transaction Request WebDirect Download <b>02401663</b> <input type="checkbox"/> Transaction EOD WebDirect Download <b>02401664</b> <input type="checkbox"/> CBI Stats MTD Submitter of Assets RPT <b>02402928</b> <input type="checkbox"/> CBI Stats MTD Receiver of Assets RPT <b>02402929</b>	<input type="checkbox"/> Transaction Machine Readable Output (MRO) <b>02980264</b> <input type="checkbox"/> Transaction Request WebDirect Download <b>02981663</b> <input type="checkbox"/> Transaction EOD WebDirect Download <b>02981664</b> <input type="checkbox"/> CBI Stats MTD Submitter of Assets RPT <b>02982928</b> <input type="checkbox"/> CBI Stats MTD Receiver of Assets RPT <b>02982929</b>
<u>Master File Output Files</u>	
<input type="checkbox"/> Cost Basis User Masterfile Machine Readable Output (MRO) <b>02561666</b> <input type="checkbox"/> Cost Basis User Masterfile WebDirect Download <b>02561667</b>	<input type="checkbox"/> Cost Basis User Masterfile Machine Readable Output (MRO) <b>02981666</b> <input type="checkbox"/> Cost Basis User Masterfile WebDirect Download <b>02981667</b>
<u>Daily Deposit Files (for Equity Transfer Agents and Issuers only)</u>	
<input type="checkbox"/> Daily Deposit File for Transfer Agents WebDirect Download <b>02401649</b> <input type="checkbox"/> Daily Reorg Deposit File for Transfer Agents WebDirect Download <b>02401912</b>	<input type="checkbox"/> Daily Deposit File for Equity Transfer Agents/Issuers WebDirect Download <b>02981649</b> <i>Reorg Deposit File is not available in the test environment</i>

DataTrak input

Cost Basis Reporting Service Input **36708**

Cost Basis Reporting Service Input **46708**